

### **WESTWOOD HOLDINGS GROUP INC**

# Reported by **BYRNE SUSAN M**

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 07/20/17 for the Period Ending 07/18/17

Address 200 CRESCENT COURT

**SUITE 1200** 

**DALLAS, TX 75201** 

Telephone 2147566900

CIK 0001165002

Symbol WHG

SIC Code 6282 - Investment Advice

Industry Investment Management & Fund Operators

Sector Financials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. 1	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
BYRNE SUSAN M						WESTWOOD HOLDINGS GROUP INC [ WHG ]								X Director		1	0% Owner	
(Last) (First) (Middle)				3. 1	3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)  Vice Chairman of the Board						
200 CRESCENT COURT, SUITE 1200					7/18/2017								in or the	Domi				
(Street)				4. ]	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)						
DALLAS, TX 75201 (City) (State) (Zip)													X Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table	e I - Non				ırities A	Acq	uired, D	isposeo	d of, c	or Be	neficially Owne	ed			
1.Title of Security (Instr. 3)  2. Trans. Date			Ex	2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		Disposed of (D)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Ownership Form:	7. Nature of Indirect Beneficial		
							Code		Amount	(A) or (D)	Pri	ce					Ownership (Instr. 4)	
common stock 7/18.			7/18/2017				s		2613 (1)	D	\$60.00	69 (2)	283080		D			
common stock													6576			I	By spouse	
	Tab	le II - Der	ivativ	e Securi	ties l	Bene	ficially	Owned	( e.	g. , puts	, calls,	warr	ants,	options, conve	rtible sec	urities)		
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. De Executi Date, if	cution (Inst			Acquired Disposed	mber of ative Securities ired (A) or sed of (D) 3, 4 and 5)		6. Date Exercisable and Expiration Date		Sec De	curities	Underlying e Security	Derivative Security	derivative Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Cc	Code	V	(A)	(D)		Date Exercisable	Expirati Date	ion Tit	le Sha	nount or Number of ares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) The sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person and her spouse on June 7, 2017.
- (2) Price reflected is the weighted-average sale price for shares sold. The range of sale prices for the transaction reported was \$60.00 to \$60.13 per share. Full information regarding the number of shares sold at each separate price will be provided to the Commission staff, the issuer, or a security holder of the issuer upon request.

#### Reporting Owners

reporting Owners									
Paparting Owner Name / Addre	3.0	Relationships							
Reporting Owner Name / Addre	Director	10% Owner	Officer	Other					
BYRNE SUSAN M									
200 CRESCENT COURT	X			 Vice Chairman of the Board					
SUITE 1200	Λ			Vice Chairman of the Board					
DALLAS, TX 75201									

#### Signatures

Julie K. Gerron as attorney-in-fact 7/20/2017

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.