

## WABCO HOLDINGS INC.

# Reported by MONTUPET JEAN PAUL L

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 06/06/17 for the Period Ending 05/24/17

Address 2770 RESEARCH DRIVE

**ROCHESTER HILLS, MI 48309** 

Telephone 248-270-9299

CIK 0001390844

Symbol WBC

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol							ool	_	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
MONTUPE	Γ JEAN I	PAUL L	ı		W	AB	CO Ho	oldings	Inc.	[ W	BC ]				incubic)		00/ 0	
(Last)	(Last) (First) (Middle)				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)								X_Director	X _ Director10% Owner  Officer (give title below) Other (specify below)			
C/O WABCO HOLDINGS INC., 2770 RESEARCH DRIVE					5/24/2017								Officer (giv	e title below	,0	mer (specify	below)	
	(Stree	et)			4. I	f An	nendmen	nt, Date O	rigin	al Fil	ed (MM/I	DD/YY	YYY)	6. Individual o	or Joint/G	roup Filing (	Check Appl	icable Line)
ROCHESTE														_ X _ Form filed b		rting Person One Reporting P	erson	
			Table l	I - Non-	Der	ivati	ve Secu	rities Acc	quire	ed, Di	sposed	of, or	· Be	eneficially Owne	ed			
1.Title of Security (Instr. 3)			2. Trans. l		ate 2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securities Acqu or Disposed of (D (Instr. 3, 4 and 5)		) `	` ′	5. Amount of Securiti Following Reported T (Instr. 3 and 4)	ties Beneficially Owned Transaction(s)		Ownership Form: I Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	V	Amou	(A) c nt (D)		rice				(I) (Instr. 4)	
Common Stock (1)	(2)			5/24/20	17			A		969	A	\$	0		6193		D	
	Tabl	e II - Deri	ivative	Securit	ies B	Bene	ficially (	Owned (	e.g. ,	puts,	calls, v	arra	nts	, options, conve	rtible sec	urities)		
lecurity Conversion or Exercise Price of Derivative Execution Date, if any			n (Ins	rans. ( tr. 8)	Code 5. Number Derivative Acquired Disposed (Instr. 3, 4)		e Securities (A) or of (D)	6. Date Exercisable and Expiration Date				s Underlying ve Security	Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	Ownership Form of Derivative	Beneficial		
	Security			С	ode	e V (A)	(D)			Expiration Date	Title		mount or Number of nares	or Number of Re		or Indirect (I) (Instr. 4)		

#### **Explanation of Responses:**

- (1) The deferred stock units were granted as part of the reporting person's annual retainer. The deferred stock units equal the reporting person's portion of his retainer fee divided by the closing price of WABCO's common stock on the day preceding the grant date. Each whole deferred stock unit represents a contingent right to receive one share of WABCO common stock. The deferred stock units are 100% vested upon grant and shall be distributed in shares of common stock as soon as practicable following the earliest to occur of: (i) the reporting person's death or Disability as defined in the Award Agreement; (ii) a "Change in Control" as defined in the Omnibus Incentive Plan; (iii) the reporting person's "separation from service" (as defined under applicable tax rules) from WABCO Holdings Inc.; (iv) 13 months after the grant date; or (v) if elected by the reporting person, an "optional payment date" which may not be earlier than five years and one month after the grant date.
- (2) Mr. Montupet chose June 24, 2023 as his optional payment date.

#### **Reporting Owners**

PB								
Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
MONTUPET JEAN PAUL L								
C/O WABCO HOLDINGS INC.	X							
2770 RESEARCH DRIVE	71							
ROCHESTER HILLS, MI 48309								

#### **Signatures**

/s/ Thomas P. Conaghan, Attorney-in-Fact 6/6/2017

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.