

ULTRATECH INC

Reported by
GHOSH DAVE

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 05/12/17 for the Period Ending 05/10/17

Address	3050 ZANKER RD SAN JOSE, CA 95134
Telephone	4083218835
CIK	0000909791
Symbol	UTEK
SIC Code	3559 - Special Industry Machinery, Not Elsewhere Classified
Industry	Semiconductor Equipment & Testing
Sector	Technology
Fiscal Year	12/31

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *			2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
Ghosh Dave			ULTRATECH INC [UTEK]			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Senior Vice President, Global		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)			6. Individual or Joint/Group Filing (Check Applicable Line)		
3050 ZANKER ROAD			5/10/2017					
(Street)			4. If Amendment, Date Original Filed (MM/DD/YYYY)			<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
SAN JOSE, CA 95134								
(City) (State) (Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	5/10/2017		M		980	A	\$30.12	67352 (1)	D	
Common Stock	5/10/2017		S		980	D	\$30.5533 (2)	66372 (1)	D	
Common Stock	5/10/2017		M		6150	A	\$22.0	72522 (1)	D	
Common Stock	5/10/2017		S		6150	D	\$30.5533 (2)	66372 (1)	D	
Common Stock	5/10/2017		M		7200	A	\$27.75	73572 (1)	D	
Common Stock	5/10/2017		S		7200	D	\$30.5533 (2)	66372 (1)	D	
Common Stock	5/10/2017		M		4252	A	\$22.53	70624 (1)	D	
Common Stock	5/10/2017		S		4252	D	\$30.5533 (2)	66372 (1)	D	
Common Stock	5/10/2017		M		1898	A	\$22.53	68270 (1)	D	
Common Stock	5/10/2017		S		1898	D	\$30.5533 (2)	66372 (1)	D	
Common Stock	5/10/2017		M		2000	A	\$15.65	68372 (1)	D	
Common Stock	5/10/2017		M		1300	A	\$15.65	69672 (1)	D	
Common Stock	5/10/2017		S		1300	D	\$30.5533 (2)	68372 (1)	D	
Common Stock	5/10/2017		M		3300	A	\$12.25	71672 (1)	D	

Table II - Derivative Securities Beneficially Owned (e.g. , puts, calls, warrants, options, convertible securities)

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Incentive Stock Option (right to buy)	\$12.25	5/10/2017		M		3300		12/31/2010 (3)	2/7/2020	Common Stock	3300	\$0.0	1200	D	
Incentive Stock Option (right to buy)	\$15.65	5/10/2017		M		2000		12/31/2010 (3)	4/25/2020	Common Stock	2000	\$0.0	2500	D	
Incentive Stock Option (right to buy)	\$15.65	5/10/2017		M		1300		12/31/2010 (3)	4/25/2020	Common Stock	1300	\$0.0	1200	D	
Incentive Stock Option (right to buy)	\$22.53	5/10/2017		M		1898		12/31/2011 (3)	1/30/2021	Common Stock	1898	\$0.0	679	D	
Non-Qualified Stock Option (right to buy)	\$22.0	5/10/2017		M		6150		12/31/2011 (3)	10/23/2021	Common Stock	6150	\$0.0	2999	D	
Non-Qualified Stock Option (right to buy)	\$22.53	5/10/2017		M		4252		12/31/2011 (3)	1/30/2021	Common Stock	4252	\$0.0	2922	D	

