

# ULTRATECH INC Reported by LANDON TAMMY DENICE

### FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

## Filed 06/01/17 for the Period Ending 05/26/17

Address 3050 ZANKER RD

SAN JOSE, CA 95134

Telephone 4083218835

CIK 0000909791

SIC Code 3559 - Special Industry Machinery, Not Elsewhere Classified

Industry Semiconductor Equipment & Testing

Sector Technology

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *													5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Landon Tan	ımy Deni	ice		Ul	LTF	RATE	CH IN	C [ U	JTE	K ]						
(Last) (First) (Middle)				3. ]	Date	of Earl	iest Trans	action	n (MM	I/DD/YYY	Y)	Director				
													X _ Officer (give title below) Other (specify below)  SVP, Operations			
3050 ZANKER ROAD							5/2	6/20	17			SVF, Operat	10118			
	(Stre	eet)		4. ]	If An	nendme	ent, Date (	Origin	nal Fi	led (MM/I	DD/YYYY	6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
SAN JOSE, CA 95134					5/31/2017								X_Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)												Form filed by	Form fried by More than One Reporting Person			
		ı	Table I - 1	Non-Dei	ivati	ive Sec	urities Ac	quir	ed, D	isposed	of, or B	eneficially Own	ed			_
1. Title of Security (Instr. 3)			rans. Date	Execu		3. Trans. Co (Instr. 8)	3. Trans. Code (Instr. 8)		sposed of (I . 3, 4 and 5)	Ď) ` ´		Amount of Securities Beneficial ollowing Reported Transaction(s) nstr. 3 and 4)		Form:	7. Nature of Indirect Beneficial Ownership	
							Code	V	Amo	(A) c						(Instr. 4)
	Tab	le II - Deri	vative Sec	curities l	Bene	ficially	Owned (	e.g. ,	, puts	s, calls, v	varrants	s, options, conve	ertible sec	curities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any	4. Trans. (Instr. 8)		ode 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	_
Non-Qualified Stock Option (right to buy)	\$27.75	5/26/2017		D			6452	12/31	/2011	7/24/2021	Commo Stock	on 6452	\$30.16 (1)	0	D	

#### **Explanation of Responses:**

(1) The Form 4 originally filed by the Reporting Person on May 31, 2017 overstated the Price of Derivative Security by \$0.44 for the disposition of the Non-Qualified Stock Option with Exercise Price of \$27.75 and number of shares of 6,452. The Price of Derivative Security reported on this amended Form 4 (Column 8 of Table II) is the correct Price of Derivative Security disposed on 05/26/17.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Landon Tammy Denice							
3050 ZANKER ROAD			SVP, Operations				
SAN JOSE, CA 95134							

#### **Signatures**

Tammy Landon 6/1/2017

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.