

# AXON ENTERPRISE, INC.

## **FORM 8-K** (Current report filing)

Filed 10/25/17 for the Period Ending 10/25/17

Address	17800 N. 85TH ST. SCOTTSDALE, AZ, 85255
Telephone	480-991-0797
CIK	0001069183
Symbol	AAXN
SIC Code	3480 - Ordnance and Accessories, (No Vehicles/Guided Missiles)
Industry	Aerospace & Defense
Sector	Industrials
Fiscal Year	12/31

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

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**Form 8-K**

**CURRENT REPORT  
Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

**October 25, 2017**  
Date of Report (Date of earliest event reported)

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**Axon Enterprise, Inc.**

*(Exact name of registrant as specified in its charter)*

**Delaware**  
(State or other jurisdiction of  
incorporation)

**001-16391**  
(Commission File Number)

**86-0741227**  
(IRS Employer  
Identification No.)

**17800 N. 85<sup>th</sup> St.**  
**Scottsdale, Arizona 85255**  
(Address of principal executive offices, including zip code)

**(480) 991-0797**  
(Registrant's telephone number, including area code)

**Not Applicable**  
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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### **Item 8.01 Other Events**

On October 25, 2017, Axon Enterprise, Inc. (the "Company" or "Axon") submitted its response to a comment letter issued by the Securities and Exchange Commission ("SEC") dated August 10, 2017 relating to the SEC's review of the Company's Form 10-K for the year ended December 31, 2016 and Form 10-Q for the quarter ended March 31, 2017 (the "Comment Letter").

The Company notes that pursuant to Section 408(c) of the Sarbanes-Oxley Act of 2002 ("Sarbanes-Oxley"), the SEC is required to review the disclosures made by public company registrants no less frequently than once every three years. The SEC completed its last review of the Company's disclosures on October 30, 2015. The Company has no reason to believe the Comment Letter does not relate to the SEC's customary review of the Company's disclosures pursuant to Section 408 of Sarbanes-Oxley.

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: October 25, 2017

Axon Enterprise, Inc.

By: /s/ DOUGLAS E. KLINT

Douglas E. Klint

EVP, General Counsel