

### **ODYSSEY MARINE EXPLORATION INC**

# Reported by **NUDI JAY**

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 12/21/16 for the Period Ending 12/20/16

Address 5215 WEST LAUREL STREET

**TAMPA, FL 33607** 

Telephone (813) 876-1776

CIK 0000798528

Symbol OMEX

SIC Code 4400 - Water transportation

Industry Business Support Services

Sector Industrials

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *				2. 1	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Nudi Jay						SSEY OMI	MARII EX	NE I	EXP	LOR	ΑT	Director 10% Owner					
(Last) (First) (Middle)				3. 1	3. Date of Earliest Transaction (MM/DD/YYYY)								XOfficer (give title below)Other (specify below) Interim CFO,Treasurer/PAO				
5215 WEST LAUREL STREET								20/2									
	(Str	eet)		4. ]	f Ar	nendme	ent, Date (	Origir	nal Fi	led (MM	/DI	O/YYYY)	6. Individual of	or Joint/G	roup Filing	(Check Appl	icable Line)
TAMPA, FL 33607 (City) (State) (Zip)													X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
		,	Гable I - I	Non-Der				•		•			neficially Own				<u>.                                    </u>
1.Title of Security (Instr. 3)  2. Trans. E			rans. Date	Exec	Deemed ution , if any	3. Trans. C (Instr. 8)	Code	or Disposed of (Instr. 3, 4 and		(D)	F	5. Amount of Securities Beneficially Owne Following Reported Transaction(s) (Instr. 3 and 4)			6. 7. Nature Ownership Form: Beneficial Direct (D) Ownership or Indirect (I) (Instr. 4)	of Indirect Beneficial	
						Code	v	Amo	unt (A)		Price						
Common Stock 12/20/203				20/2016	16		A		1833	7 A		\$0	29	9854 <u>(1)</u>		D	
Common Stock 12/20/20				20/2016	16		F		4990	D \$3.4		\$3.47	24858 (1)		D		
	Tab	ole II - Deri	vative Sec	urities l	Bene	ficially	Owned (	( e.g.	, puts	s, calls,	wa	arrants,	options, conve	rtible sec	eurities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)	Derivativ Securities		ve es Acquired isposed of		6. Date Exercisable and Expiration Date		1			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	de V (A)	(D)	Date Exerci		Expiration Date		Title	Amount or Number of Shares					
Restricted Stock Unit (RSU)	<u>(2)</u>	12/20/2016		M			1069		<u>3)</u>	12/20/20	16	Common Stock	1069	\$0	0	D	
Restricted Stock Unit (RSU)	<u>(2)</u>	12/20/2016		M			900	<u>(</u>	<u>4)</u>	12/20/201		Common Stock	900	\$0	900	D	
Restricted Stock Unit (RSU)	<u>(2)</u>	12/20/2016		M			16368	(	<u>5)</u>	12/20/20	18	Common Stock	16368	\$0	32737	D	

#### **Explanation of Responses:**

- (1) The number of shares shown gives effect to reverse stock splits that became effective on February 19, 2016.
- (2) Each Restricted Stock Unit represents a contingent right to receive one share of OMEX common stock.
- (3) Restricted Stock Units vest in three equal annual installments on December 20, 2014, 2015, 2016.
- (4) Restricted Stock Units vest in three equal annual installments on December 20, 2015, 2016, 2017.
- (5) Restricted Stock Units vest in three equal annual installments on December 20, 2016, 2017, 2018.

#### **Reporting Owners**

reporting owners										
Reporting Owner Name / Address	Relationships									
Reporting Owner Name / Address	Director	10% Owner	Officer	Other						
Nudi Jay										
5215 WEST LAUREL STREET			Interim CFO,Treasurer/PAO							
TAMPA, FL 33607										

#### **Signatures**

/s/ Jay A. Nudi

\*\*Signature of Reporting Person

12/21/2016

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.