

NORTHERN TECHNOLOGIES INTERNATIONAL CORP

FORM DEFA14A

(Additional Proxy Soliciting Materials (definitive))

Filed 11/27/17

Address	4201 WOODLAND ROAD PO BOX 69 CIRCLE PINES, MN, 55014
Telephone	(763) 225-6601
CIK	0000875582
Symbol	NTIC
SIC Code	3470 - Coating, Engraving and Allied Services
Industry	Environmental Services & Equipment
Sector	Industrials
Fiscal Year	08/31

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 14A

Proxy Statement Pursuant to Section 14(a) of
the Securities Exchange Act of 1934 (Amendment No.)

Filed by the Registrant

Filed by a Party other than the Registrant

Check the appropriate box:

- Preliminary Proxy Statement
 Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))
 Definitive Proxy Statement
 Definitive Additional Materials
 Soliciting Material Pursuant to §240.14a-12

Northern Technologies International Corporation
(Name of Registrant as Specified In Its Charter)

(Name of Person(s) Filing Proxy Statement, if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

- No fee required.
 Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.
- (1) Title of each class of securities to which transaction applies: _____
- (2) Aggregate number of securities to which transaction applies: _____
- (3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined): _____
- (4) Proposed maximum aggregate value of transaction: _____
- (5) Total fee paid: _____
- Fee paid previously with preliminary materials.
 Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.
- (1) Amount Previously Paid: _____
- (2) Form, Schedule or Registration Statement No.: _____
- (3) Filing Party: _____
- (4) Date Filed: _____

***** Exercise Your *Right* to Vote *****
**Important Notice Regarding the Availability of Proxy Materials for the
Stockholder Meeting to Be Held on January 12, 2018.**

**NORTHERN TECHNOLOGIES
INTERNATIONAL CORPORATION**

NORTHERN TECHNOLOGIES INTERNATIONAL CORPORATION
4201 WOODLAND ROAD, PO. BOX 69
CIRCLE PINES, MN 55014

Meeting Information

Meeting Type: Annual Meeting
For holders as of: November 17, 2017
Date: January 12, 2018 **Time:** 2:00 p.m., local time
Location: 4201 Woodland Road
Circle Pines, MN 55014

You are receiving this communication because you hold shares in the company named above.

This is not a ballot. You cannot use this notice to vote these shares. This communication presents only an overview of the more complete proxy materials that are available to you on the Internet. You may view the proxy materials online at www.proxyvote.com or easily request a paper copy (see reverse side).

We encourage you to access and review all of the important information contained in the proxy materials before voting.

See the reverse side of this notice to obtain proxy materials and voting instructions.

EM4004-497694

— **Before You Vote** —
How to Access the Proxy Materials

Proxy Materials Available to VIEW or RECEIVE:
COMBINED ANNUAL REPORT AND PROXY STATEMENT DOCUMENT

How to View Online:

Have the information that is printed in the box marked by the arrow → [XXXXXXXXXXXXXXXX] (located on the following page) and visit: www.proxyvote.com.

How to Request and Receive a PAPER or E-MAIL Copy:

If you want to receive a paper or e-mail copy of these documents, you must request one. There is NO charge for requesting a copy. Please choose one of the following methods to make your request:

- 1) BY INTERNET: www.proxyvote.com
- 2) BY TELEPHONE: 1-800-579-1639
- 3) BY E-MAIL*: sendmaterial@proxyvote.com

* If requesting materials by e-mail, please send a blank e-mail with the information that is printed in the box marked by the arrow → [XXXXXXXXXXXXXXXX] (located on the following page) in the subject line.

Requests, instructions and other inquiries sent to this e-mail address will NOT be forwarded to your investment advisor. Please make the request as instructed above on or before December 29, 2017 to facilitate timely delivery.

— **How To Vote** —
Please Choose One of the Following Voting Methods

Vote In Person: Many stockholder meetings have attendance requirements including, but not limited to, the possession of an attendance ticket issued by the entity holding the meeting. Please check the meeting materials for any special requirements for meeting attendance. At the meeting, you will need to request a ballot to vote these shares.

Vote By Internet: To vote now by Internet, go to www.proxyvote.com. Have the information that is printed in the box marked by the arrow → [XXXXXXXXXXXXXXXX] (located on the following page) available and follow the instructions.

Vote By Mail: You can vote by mail by requesting a paper copy of the materials, which will include a proxy card.

E34005-P97694

Voting Items

The Board of Directors recommends you vote FOR all of the following nominees:

1. Election of Directors

Nominees:

- | | |
|------------------------|----------------------------------|
| 01) Barbara D. Colwell | 05) Ramani Narayan, Ph.D. |
| 02) Soo-Keong Koh | 06) Richard J. Rigon |
| 03) Sunggyu Lee, Ph.D. | 07) Konstantin von Falckenhausen |
| 04) G. Patrick Lynch | |

The Board of Directors recommends you vote FOR the following proposals:

2. Approve, on an advisory basis, the compensation of our named executive officers, as disclosed in the proxy statement.
3. Ratify the selection of Baker Tilly Virchow Krause LLP as independent registered public accounting firm for the fiscal year ending August 31, 2018.
4. To approve an amendment to our Restated Certificate of Incorporation to increase the number of authorized shares of common stock from 10,000,000 to 15,000,000.

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