

# OHA INVESTMENT CORP

## **FORM 8-K** (Current report filing)

Filed 07/26/17 for the Period Ending 06/07/17

Address	1114 AVENUE OF THE AMERICAS 27TH FLOOR NEW YORK, NY 10036
Telephone	(212) 852-1900
CIK	0001297704
Symbol	OHAI
Industry	Closed End Funds
Sector	Financials
Fiscal Year	12/31

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

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**FORM 8-K**

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**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): **June 7, 2017**

**OHA INVESTMENT CORPORATION**  
(Exact name of registrant as specified in its charter)

**Maryland**  
(State or other jurisdiction of  
incorporation)

**814-00672**  
(Commission  
File Number)

**20-1371499**  
(I.R.S. Employer  
Identification No.)

**1114 Avenue of the Americas,  
27<sup>th</sup> Floor  
New York, New York**  
(Address of principal executive offices)

**10036**  
(Zip Code)

Registrant's telephone number, including area code: **(212) 852-1900**

**Not Applicable**  
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2.):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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**Item 5.07. Submission of Matters to a Vote of Security Holders.**

On June 7, 2017, OHA Investment Corporation, a Maryland corporation (the “Company”), concluded its Annual Meeting of Stockholders (the “Annual Meeting”). As of April 14, 2017, the record date for the Annual Meeting, 20,172,392 shares of common stock were eligible to be voted. Of the shares eligible to be voted, 18,802,201 were voted in person or by proxy. All matters voted upon at the Annual Meeting were approved with the required votes. The matters that were voted upon at the Annual Meeting, and the number of votes cast for, against or withheld, as well as the number of abstentions and broker non-votes, as to each such matter, where applicable, are set forth below:

Proposal 1: Election of Directors

The Company’s stockholders elected one Class I director to serve a three-year term expiring at the 2020 Annual Meeting of Stockholders or until his respective successor is duly elected and qualified. The voting results were as follows:

	Votes For	Votes Against	Abstentions	Broker Non-Votes
James Stern	10,129,325	2,064,823	165,398	6,442,655

Proposal 2: Ratification of Independent Registered Public Accounting Firm

The Company’s stockholders ratified the appointment of Ernst & Young LLP as the Company’s independent registered public accounting firm for the fiscal year ending December 31, 2017. The voting results were as follows:

Votes For	Votes Against	Abstentions
17,971,928	374,723	156,686

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Company has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

OHA Investment Corporation

By: /s/ Cory E. Gilbert  
**Cory E. Gilbert**  
*Chief Financial Officer*

Date: July 25, 2017