

TG THERAPEUTICS, INC.

Reported by WEISS MICHAEL S

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 06/19/17 for the Period Ending 06/19/17

Address 2 GANSEVOORT STREET, 9TH FLOOR

NEW YORK, NY 10014

Telephone (212) 554-4484

CIK 0001001316

Symbol TGTX

SIC Code 2834 - Pharmaceutical Preparations

Industry Biotechnology & Medical Research

Sector Healthcare

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | | 2. I | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|---|-------------|-----------|-----------|--|---|----------------------------|------------|---|------------|--------------------|--|--|---|---|---|--|
| WEISS MICHAEL S | | | | | | TG THERAPEUTICS, INC. [TGTX] | | | | | | | | | 10 | % Owner | |
| (Last) (First) (Middle) | | | | 3. I | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | | X Officer (give title below) Other (specify below) | | | | |
| 2 GANSEVOORT ST, 9TH FLOOR | | | | | | | | 6/1 | 9/20 | 17 | | CEO and Pr | esident | | | | |
| (Street) | | | | | 4. I | f An | nendme | nt, Date C | rigir | nal File | d (MM/D | Y) 6. Individual | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | |
| NEW YORK, NY 10014 (City) (State) (Zip) | | | | | | | | | | | | | | X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| | | | Table | I - N | on-Der | ivati | ive Secu | ırities Ac | quir | ed, Dis | posed o | f, or | Beneficially Own | ed | | | - |
| 1.Title of Security (Instr. 3) 2. Trans. | | | | ins. Date | | | 3. Trans. Co (Instr. 8) | de | 4. Securities Acquor Disposed of (D (Instr. 3, 4 and 5) | | | 5. Amount of Secu Following Reporte (Instr. 3 and 4) | | ities Beneficially Owned Transaction(s) | | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | Code | V | Amour | (A) or (D) | r Prio | ce | | | | (Instr. 4) |
| COMMON STOCK (1) 6/19/2 | | | | 0/2017 | 7 | | G | | 350000 | D | \$0.0 | 0 | 9795917 | | D | | |
| COMMON STOCK (RESTRICTED) 6/19/2 | | | | 0/2017 | 17 | | A | | 834877 (2) | Α | \$0.0 | 0 10 | 10630794 (3) | | I | See Note 4 (4) | |
| | Tabl | le II - Der | ivative | Secu | ırities I | Bene | ficially | Owned (| e.g. | , puts, | calls, w | arran | ts, options, conv | ertible sec | urities) | | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative | Date | Execution | | | Acquir Dispos | | | | | | | e and Amount of ties Underlying ative Security 3 and 4) | | 9. Number of derivative Securities Beneficially Owned | Security: | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | Security | | | | Code | v | (A) | (D) | Date Exer | rcisable I | Expiration Date | Title | Amount or Number of Shares | int or Number of | Following Reported Transaction(s) (Instr. 4) | Direct (D) or Indirect (I) (Instr. 4) | |

Explanation of Responses:

- (1) Reflects the transfer of 350,000 shares of TG Therapeutics stock to the Weiss Family and Descendants Trust.
- (2) Reflects an annual grant of restricted stock pursuant to the Strategic Advisory Agreement with Caribe BioAdvisors, LLC, pursuant to which Mr. Weiss provides the services of Chairman of the Board and Executive Chairman. The restricted shares will vest on the date that the Company's Market Capitalization is \$100 million greater than the Market Capitalization on the date of grant; provided however, if the market capitalization threshold is met prior to June 30, 2018 then the shares shall vest on June 30, 2018.
- (3) Included in Mr. Weiss' beneficial ownership are 4,910,010 shares of Common Stock issued to Opus Point Partners, LLC, of which Mr. Weiss is a co-founder, managing partner, and principal and beneficially owns a 50% interest.
- (4) The shares are held by Caribe BioAdvisors, LLC, of which Mr. Weiss is the sole member.

Reporting Owners

| Paparting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|-------------------|-------|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | |
| WEISS MICHAEL S | | | | | | | | |
| 2 GANSEVOORT ST, 9TH FLOOR | | | CEO and President | | | | | |
| NEW YORK, NY 10014 | | | | | | | | |

Signatures

/s/ Michael Weiss 6/19/2017

**Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.