

# LAM RESEARCH CORP

Reported by  
**BETTINGER DOUGLAS R**

## FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 02/13/18 for the Period Ending 02/09/18

Address	4650 CUSHING BLVD FREMONT, CA, 94538
Telephone	5106590200
CIK	0000707549
Symbol	LRCX
SIC Code	3559 - Special Industry Machinery, Not Elsewhere Classified
Industry	Semiconductor Equipment & Testing
Sector	Technology
Fiscal Year	06/25

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL  
OMB Number: 3235-0287  
Estimated average burden  
hours per response... 0.5

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <b>Bettinger Douglas R</b>  (Last) (First) (Middle) <b>4650 CUSHING PARKWAY</b>  (Street) <b>FREMONT, CA 94538</b>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <b>LAM RESEARCH CORP [ LRCX ]</b>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  ____ Director _____ 10% Owner <input checked="" type="checkbox"/> <b>X</b> Officer (give title below) _____ Other (specify below) <b>EVP, Chief Financial Officer</b>
	3. Date of Earliest Transaction (MM/DD/YYYY) <b>2/9/2018</b>	

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	2/9/2018		M		23262 <u>(1)</u>	A	\$165.87	66705 <u>(2)</u>	D	
Common Stock	2/9/2018		F		10645	D	\$165.87	56060 <u>(2)</u>	D	
Common Stock	2/9/2018		F		2050	D	\$165.87	54010 <u>(2)</u>	D	

**Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)**

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Market-based Performance Restricted Stock Unit	\$0.0	2/9/2018		M		15508 <u>(1)</u>		<u>(3)</u>	<u>(3)</u>	Common Stock	15508.0	\$0	0	D	

**Explanation of Responses:**

- (1) On 2/11/15, the Reporting Person received a target grant of 15,508 Market-based Performance Restricted Stock Units vesting between 0% and 150% on 2/11/18. The performance of this award was based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 2/2/15 to 2/1/18. The performance payout was approved at 150% of target.
- (2) Amount reported includes shares subject to unvested Restricted Stock Units.
- (3) These Market-based Performance Restricted Stock Units vest between 0% and 150% on 2/11/18. The performance of this award is based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 2/2/15 to 2/1/18.

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
<b>Bettinger Douglas R</b> <b>4650 CUSHING PARKWAY</b> <b>FREMONT, CA 94538</b>			<b>EVP, Chief Financial Officer</b>	

**Signatures**

Leign Cramer by Power of Attorney

2/13/2018

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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