

## **GREAT LAKES DREDGE & DOCK CORP**

# Reported by LAVOY KATHLEEN MACKIE

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 03/10/17 for the Period Ending 03/10/17

Address 2122 YORK ROAD

OAK BROOK, IL 60523

Telephone 6305743000

CIK 0001372020

Symbol GLDD

SIC Code 1600 - Heavy Construction Other Than Bldg Const - Contractors

Industry Construction & Engineering

Sector Industrials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person *              |   |                   |                                  |         |                  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |   |             |              |             |                                 |   |       |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)         |                        |   |   |  |  |
|--|---|-------------------|----------------------------------|---------|------------------|--|---|-------------|--------------|-------------|---------------------------------|---|-------|---|---|------------------------|---|---|--|--|
| LaVoy Kathleen Mackie                                  |   |                   |                                  |         |                  |  | Great Lakes Dredge & Dock CORP [<br>GLDD ]  |             |              |             |                                 |   |       |   |   |                        | 10  | % Owner   |  |  |
| (Last)   | (Last) (First) (Middle)                                   |                   |                                  |         | 3. I             | 3. Date of Earliest Transaction (MM/DD/YYYY)       |   |             |              |             |                                 |   |       |   | XOfficer (give title below)Other (specify below) Interim CLO & Interim Corp Sec |                        |   |   |  |  |
| C/O GREAT LAKES DREDGE &<br>DOCK CORP., 2122 YORK ROAD |   |                   |                                  |         |                  |  | 3/10/2017   |             |              |             |                                 |   |       |   |   |                        |   |   |  |  |
| (Street)   |   |                   |                                  |         | 4. I             | 4. If Amendment, Date Original Filed (MM/DD/YYYY)  |   |             |              |             |                                 |   |       | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |                        |   |   |  |  |
| OAK BROO   | K, IL 60  |                   | ip)                              |         |                  |  |   |             |              |             |                                 |   |       |   | X_Form filed b  |                        | rting Person<br>One Reporting P                       | erson   |  |  |
|  |   |                   | Table                            | I - Noi | n-Der            | ivati  | ive Secu  | ırities Acc | quir         | ed, Di      | spos                            | ed of   | f, or | Be  | neficially Owne   | d                      |   |   |  |  |
| 1.Title of Security (Instr. 3)                         |   |                   |                                  | Exect   |                  | 3. Trans. Co.<br>(Instr. 8)                        | de 4. Securities Acquired (<br>or Disposed of (D)<br>(Instr. 3, 4 and 5)                          |             |              |             | [                               | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s)<br>(Instr. 3 and 4) |       |   | 6. 7. Nature Ownership Form: Beneficial Direct (D) Ownership                    |                        |   |   |  |  |
|  |   |                   |                                  |         |                  |  |   | Code        | V            | Amor        |                                 | (A) or<br>(D)   | Pri   | ce  |   |                        |   |   | (Instr. 4)   |  |
| Common Stock   |   |                   |                                  | 3/10/2  | 017              |  |   | A           |              | 3348<br>(1) |                                 | A   | \$0   | )   | 78  | 370 (2)                |   | D   |  |  |
|  | Tabl  | le II - Der       | ivative                          | Secur   | ities I          | Bene   | ficially  | Owned (     | e.g. ,       | , puts      | , calls                         | s, wa   | ırrar | ıts,  | , options, conve  | rtible sec             | urities)  |   |  |  |
|  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Trans.<br>Date | 3A. Dee<br>Execution<br>Date, if | on (Iı  | Trans. (nstr. 8) | Code   | 5. Number of<br>Derivative Securitic<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |             |              |             | e Exercisable and<br>ation Date |   |       |   | s Underlying<br>e Security  | Derivative<br>Security | derivative<br>Securities<br>Beneficially<br>Owned     | Ownership<br>Form of<br>Derivative<br>Security: | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  | Security  |                   |                                  | Code    | Code             | v  | (A)   | (D)         | Date<br>Exer | cisable     | Expira<br>Date                  | ation   | Title |   | nount or Number of ares   |                        | Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Direct (D)<br>or Indirect<br>(I) (Instr.<br>4)  |  |  |

#### **Explanation of Responses:**

- (1) These shares are represented by restricted stock units. The restricted stock units vest in three equal annual installments beginning on March 8, 2018.
- (2) The total subtracts 2,811 shares withheld for payment of taxes on shares recently distributed upon vesting.

#### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                                |       |  |  |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|--|--|
| Reporting Owner Name / Address  | Director      | 10% Owner | Officer                        | Other |  |  |  |  |
| LaVoy Kathleen Mackie<br>C/O GREAT LAKES DREDGE & DOCK CORP.<br>2122 YORK ROAD<br>OAK BROOK, IL 60523 |               |           | Interim CLO & Interim Corp Sec |       |  |  |  |  |

#### **Signatures**

/s/Kathleen Mackie LaVoy

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.