

# **EXTREME NETWORKS INC**

Reported by  
**GAULT ROBERT J**

## **FORM 4**

(Statement of Changes in Beneficial Ownership)

Filed 08/16/17 for the Period Ending 08/15/17

Address	6480 VIA DEL ORO SAN JOSE, CA 95119
Telephone	408-579-2800
CIK	0001078271
Symbol	EXTR
SIC Code	3576 - Computer Communications Equipment
Industry	Communications & Networking
Sector	Technology
Fiscal Year	06/30

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *			2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
<b>Gault Robert J</b>			<b>EXTREME NETWORKS INC [ EXTR ]</b>			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) <b>EVP Worldwide Sales Services a</b>		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)			6. Individual or Joint/Group Filing (Check Applicable Line)		
<b>6480 VIA DEL ORO</b>			<b>8/15/2017</b>			<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
(Street)			4. If Amendment, Date Original Filed (MM/DD/YYYY)					
<b>SAN JOSE, CA 95119</b>								
(City) (State) (Zip)								

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	8/15/2017	8/15/2017	F		9069 (1)	D	\$10.39	70131	D	
Common Stock	8/15/2017	8/15/2017	M		26667	A	\$0.0	96798	D	
Common Stock	8/15/2017	8/15/2017	F		9161 (2)	D	\$10.39	87637	D	
Common Stock	8/15/2017	8/15/2017	M		26400	A	\$0.0	114037	D	
Common Stock	8/15/2017	8/15/2017	F		12707 (3)	D	\$10.39	101330	D	
Common Stock	8/15/2017	8/15/2017	M		26640	A	\$0.0	127970	D	
Common Stock	8/15/2017	8/15/2017	F		13041 (2)	D	\$10.39	114929	D	

**Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)**

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Performance Shares	\$0.0	8/15/2017	8/15/2017	M		26640		8/15/2017 (4)	8/15/2019	Common Stock	26640	\$0.0 (5)	53360	D	
Performance Shares	\$0.0	8/15/2017	8/15/2017	M		26667		8/15/2016 (6)	8/15/2022	Common Stock	26667	\$0.0 (5)	53333	D	
RSU Award	\$0.0	8/15/2017	8/15/2017	M		26400		8/15/2017 (7)	8/15/2019	Common Stock	26400	\$0.0 (5)	53600	D	

### Explanation of Responses:

- The reporting person was granted 80,000 RSUs on August 15, 2015, which vest annually over 3 years in equal installments with the first vesting date on August 15, 2016. On August 15, 2017 a total of 26,400 shares vested. 9,069 shares represents the aggregate number of shares of Issuer common stock withheld for payment of the tax liability incident to the vesting of the RSUs issued in accordance with Rule 16b-3.
- Represents shares withheld from the Performance Based RSU award for the payment of applicable income and payroll withholding taxes due on release.
- Represents shares withheld from the RSU award for the payment of applicable income and payroll withholding taxes due on release.
- Performance grants were earned when EXTR shares reached \$5.00 per share for 30 consecutive trading days after January 1st 2017. Shares vest on the same schedule as the Time-Based RSUs granted at the same time.
- This is not an applicable reportable field for this type of grant.
- Performance grants were earned when EXTR shares reached \$3.50 per share for 30 consecutive trading days. Shares vest in equal installments on August 15, 2016, August 15, 2017 and August 15, 2018.
- This Time Based RSU award vests from the original grant date as to 1/3 on the one year anniversary and 1/12 each quarter thereafter.

### Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
<b>Gault Robert J</b> <b>6480 VIA DEL ORO</b> <b>SAN JOSE, CA 95119</b>			<b>EVP Worldwide Sales Services a</b>	

**Signatures**

**Quentin Wright, Power of Attorney**

**8/16/2017**

**\*\***Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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