

# **EXTREME NETWORKS INC**

Reported by  
**KHANNA RAJ**

## **FORM 4**

(Statement of Changes in Beneficial Ownership)

Filed 11/13/17 for the Period Ending 11/09/17

|             |  |
|-------------|--|
| Address     | 6480 VIA DEL ORO<br>SAN JOSE, CA, 95119  |
| Telephone   | 408-579-2800                             |
| CIK         | 0001078271                               |
| Symbol      | EXTR                                     |
| SIC Code    | 3576 - Computer Communications Equipment |
| Industry    | Communications & Networking              |
| Sector      | Technology                               |
| Fiscal Year | 06/30                                    |

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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hours per response... 0.5

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|   |         |          |   |  |  |   |  |  |
|---|---------|----------|---|--|--|---|--|--|
| 1. Name and Address of Reporting Person * |         |          | 2. Issuer Name and Ticker or Trading Symbol       |  |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)   |  |  |
| <b>Khanna Raj</b>                         |         |          | <b>EXTREME NETWORKS INC [ EXTR ]</b>              |  |  | <input checked="" type="checkbox"/> Director _____ 10% Owner<br>_____ Officer (give title below) _____ Other (specify below)                    |  |  |
| (Last)                                    | (First) | (Middle) | 3. Date of Earliest Transaction (MM/DD/YYYY)      |  |  |   |  |  |
| <b>6480 VIA DEL ORO</b>                   |         |          | <b>11/9/2017</b>                                  |  |  |   |  |  |
| (Street)                                  |         |          | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |  |  | 6. Individual or Joint/Group Filing (Check Applicable Line)   |  |  |
| <b>SAN JOSE, CA 95119</b>                 |         |          |   |  |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |  |  |
| (City)                                    |         |          | (State)   |  |  | (Zip)   |  |  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Trans. Date | 2A. Deemed Execution Date, if any | 3. Trans. Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|----------------|-----------------------------------|---------------------------|---|---|------------|-------|---|--|---|
|                                 |                |                                   | Code                      | V | Amount  | (A) or (D) | Price |   |  |   |
| Common Stock                    | 11/9/2017      | 11/9/2017                         | M                         |   | 26258   | A          | \$0.0 | 109523  | D  |   |

**Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|----------------|-----------------------------------|---------------------------|---|--|-----|---|-----------------|---|----------------------------|--|--|--|--|
|  |  |                |                                   | Code                      | V | (A)  | (D) | Date Exercisable                        | Expiration Date | Title   | Amount or Number of Shares |  |  |  |  |
| RSU Award                                | \$0.0  | 11/9/2017      | 11/9/2017 (1)                     | M                         |   | 26258  |     | 11/18/2017                              | 11/18/2017      | Common Stock  | 26258                      | \$0.0 (2)                                  | 0  | D  |  |
| RSU Award                                | \$0.0  | 11/9/2017      | 11/9/2017                         | A                         |   | 14873  |     | 11/9/2018 (3)                           | 11/9/2018       | Common Stock  | 14873                      | \$0.0                                      | 14873  | D  |  |

### Explanation of Responses:

- (1) This restricted stock unit vested on November 9th, 2017, the same date our Annual Meeting was held.
- (2) This is not an applicable reportable field for this type of grant.
- (3) Grant of restricted stock units pursuant to the Extreme Networks, Inc. 2013 Equity Incentive Plan. Vests in full one year after the date of grant.

### Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| <b>Khanna Raj</b><br><b>6480 VIA DEL ORO</b><br><b>SAN JOSE, CA 95119</b> | X             |           |         |       |

### Signatures

Quentin Wright, Power of Attorney

11/13/2017

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.