

EXTREME NETWORKS INC

FORM SD (Specialized Disclosure Report)

Filed 06/01/17

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| Address | 6480 VIA DEL ORO SAN JOSE, CA 95119 |
| Telephone | 408-579-2800 |
| CIK | 0001078271 |
| Symbol | EXTR |
| SIC Code | 3576 - Computer Communications Equipment |
| Industry | Communications & Networking |
| Sector | Technology |
| Fiscal Year | 06/30 |

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

FORM SD
Specialized Disclosure Report

EXTREME NETWORKS, INC.
(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of
incorporation or organization)

77-0430270
(I.R.S. Employer
Identification No.)

6480 Via Del Oro, San Jose, California
(Address of registrant's principal executive offices)

95134
(Zip Code)

Registrant's telephone number, including area code
(408) 579-2800

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

- Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2016.
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Section 1 – Conflict Minerals Disclosure**Item 1.01 Conflict Minerals Disclosure and Report**

The Conflict Minerals Report of the registrant is filed as Exhibit 1.01 to this report on Form SD. The Conflict Minerals Report is available on our website on the SEC Filings page of the Investor Relations section of our website at www.ExtremeNetworks.com. The content of any website referenced in this Form SD is included for general information only and is not incorporated by reference in this Form SD.

Item 1.02 Exhibit

See Item 1.01.

Section 2 – Exhibits**Item 2.01 – Exhibits**

Exhibit 1.01 – Conflict Minerals Report of Registrant, dated May 31, 2017.

EXHIBIT INDEX

| <u>Exhibit Number</u> | <u>Description</u> |
|----------------------------------|---|
| 1.01 | Conflict Minerals Report of Registrant, dated May 31, 2017. |

Extreme Networks, Inc.
Conflict Minerals Report
For The Calendar Year Ended December 31, 2016

This Conflict Minerals Report (the “Report”) for Extreme Networks, Inc. (“we” or “our”) is provided in accordance with Rule 13p-1 under the Securities Exchange Act of 1934 (“Rule 13p-1”) for the reporting period from January 1 to December 31, 2016. Rule 13p-1 requires the disclosure of certain information by companies that manufacture or contract to manufacture products that use minerals specified in Rule 13p-1 that are necessary to the functionality or production of these products. These minerals are columbite-tantalite (coltan), cassiterite, wolframite, gold, or their derivatives, which the Securities and Exchange Commission has limited to tantalum, tin, tungsten and gold (collectively, the “Minerals”).

We have determined that certain Minerals are necessary to the functionality or production of our networking equipment. We generally manufacture our products through contract manufacturers. As a result, we do not have direct relationships with some of our suppliers. In addition, due to the depth of the supply chain, we are far removed from the sources of ore from which these metals are produced and the smelters/refiners that process those ores. Consequently, we rely on our contract manufacturers, direct and indirect suppliers and industry initiatives for source information on the Minerals in our products.

For the reporting period from January 1 to December 31, 2016, we conducted reasonable country of origin (“RCOI”) activities followed by due diligence investigations to determine the source and chain of custody of the Minerals that are necessary to the functionality or production of our products.

We have reason to believe that a few smelters within our supply chain may be sourcing Minerals from the DRC-conflict areas. We believe that these smelters are certified Conflict Free by the Conflict Free Smelters Program (“CFSP”).

If any DRC-supported source is found to benefit armed groups in the DRC-conflict areas, we will work to remove such suppliers from our supply chain. As of the date of this filing, the DRC-conflict areas include: the Democratic Republic of the Congo, Angola, Burundi, Central African Republic, the Republic of the Congo, Rwanda, South Sudan, Tanzania, Uganda, and Zambia.

Company Overview

We are a leader in providing software-driven networking solutions for enterprise customers. We conduct our sales and marketing activities on a worldwide basis through distributors, resellers and the Company’s field sales organization. We were incorporated in California in 1996 and reincorporated in Delaware in 1999.

On October 28, 2016, the Company completed the acquisition of Zebra Technologies Corporation’s wireless LAN assets (the “WLAN Business”). Under the terms of the purchase agreement, the Company acquired customers, employees, technology and other assets as well as assumed certain contracts and other liabilities of the WLAN Business. This Report does not include information related to the RCOI and due diligence measures related to the WLAN Business, and such information shall be reported in our Conflict Minerals Report for the calendar year ended December 31, 2017.

Conflict Minerals Program

We have established a conflict minerals compliance program that is based on the framework established by the Organisation for Economic Cooperation and Development (“OECD”). We require our suppliers and our contract manufacturers to establish an ongoing process to determine the country of origin of any Minerals in our products.

Reasonable Country of Origin Inquiry

We conducted a RCOI on our suppliers representing approximately 89% of our total direct spend with suppliers related to the production of our products that contain the Minerals.

Suppliers were asked to utilize the Electronic Industry Citizenship Coalition/Global e-Sustainability Initiative (EICC/GeSI) Conflict Minerals Reporting Template to report on their usage and sourcing of materials containing the Minerals. The EICC/GeSI Conflict Minerals Reporting Template was developed by several of the world’s leading consumer electronics companies and is generally regarded as the most common reporting tool for conflict minerals content and sourcing information. However, not all suppliers responded using the template as requested.

Based on our RCOI we believe that the sources of the Minerals contained in our products are from: (i) smelters designated as conflict free by the Conflict Free Sourcing Initiative (“CFSI”); (ii) smelters that have not been designated as conflict free by the CFSI, but that we believe are from areas other than the DRC-conflict areas; and (iii) scrap or recycled sources.

Design of Due Diligence Measures

The following are the due diligence measures we performed as a result of our RCOI:

1. **Company Policy** . We established the Extreme Networks Conflict Minerals Policy, which has been communicated to our suppliers, which directs our suppliers to utilize a supply chain that does not support the conflict in the DRC-conflict areas. A copy of this policy is available on our website at <http://www.extremenetworks.com/company/legal/conflict-minerals>.
2. **Surveys** . We conducted supply-chain surveys with our direct Tier 1 suppliers using the EICC/GeSI Conflict Minerals Reporting Template to identify the smelters and refiners and to determine the risks of any sources from the DRC-conflict areas within our supply chain. Supplier responses were evaluated for plausibility, consistency and gaps, both in terms of which products were stated to contain or not contain the Minerals, and the origin of the Minerals. In addition, we validated the information received from our suppliers, to the extent possible, using different procedures such as comparing different supplier responses for inconsistencies related to the same Minerals.

3. **Follow-up on Survey Responses** . We followed-up with the suppliers surveyed, to the extent possible, on missing and inconsistent information.

4. **Industry Supply Chain Management Tools** . In addition to direct queries to our suppliers, we utilized industry supply chain management tools to determine if there is any risk that the Minerals being used in our products are from DRC-conflict areas and benefit armed groups in these areas. For example, we checked the conflict-free status of smelters and refiners on the CFSI's website.

5. **Continual Investigation** . We are continually trying to identify risks within our supply chain. If any DRC-supported source is found to benefit armed groups in the DRC-conflict areas, we plan to work to remove such suppliers from our supply chain in accordance with our environmental standards and our Conflict Minerals Policy.

Location of Smelters and Refiners

As part of the due diligence process we reviewed surveys on the Minerals from an aggregate total of approximately 14 suppliers. These surveys listed a total of approximately 295 smelters and refiners. Some of these suppliers provided information at a part number level and were able to specifically identify the smelters from which their Minerals were procured. Other suppliers provided their complete list of smelters, but were unable to identify the specific smelters from which the Minerals used for our products were procured. As some of the surveys we received are company-wide and not product specific, we believe these surveys may include smelters and refiners that do not provide the Minerals that are used in our products. Due to the use of company-wide surveys by some suppliers and the multiple levels of suppliers in our supply chain we are unable to determine with certainty at this time a complete list of smelters and refiners listed in the surveys that actually provide the specific Minerals used in our products. However, we believe the smelters and refiners used in our products may include those listed on Exhibit A.

Country of Origin

Due to the use of company-wide surveys by some suppliers and the multiple levels of suppliers in our supply chain we are unable to determine with certainty at this time a complete list of countries of origin listed in the surveys that actually provide the specific Minerals used in our products. However, we believe the smelters and refiners used in our products may include those from the countries of origin listed on Exhibit A and that the Minerals from such smelters and mines may originate from Brazil, the United States, Russia, Switzerland, South Africa, Japan, Australia, China, Thailand, Indonesia, Peru, Bolivia, Germany, Uzbekistan, Philippines, Sweden, Canada, Italy, Korea, Poland, Turkey, Kazakhstan, Kyrgyzstan, Saudi Arabia, Malaysia, Singapore, Mexico, Estonia, Taiwan, India, Netherlands, Spain, Vietnam, Belgium, Austria, New Zealand, Czech Republic, United Arab Emirates, France, and Macedonia. In addition, as mentioned above we have reason to believe some of the Minerals may have been sourced in the DRC-conflict areas, but that the smelters and refiners who identified these countries have all been certified as conflict free.

Steps to be taken to mitigate future risk

We intend to take the following steps to improve the program and further mitigate any risk that the necessary Minerals in our products could benefit armed groups in the DRC-conflict areas:

1. **Compliance Module** . We plan to continue the implementation of the compliance module within our Product Lifecycle Management system. Through this module, we expect to increase the response rate of our suppliers' surveys.
2. **Quality Management System** . We plan to continue to refine the RCOI and conflict minerals disclosure process in connection with our Quality Management System ("QMS"). The QMS includes a "corrective action-preventive action process" that is consistent with the OECD Framework.
3. **Work with Suppliers on Quality of Information** . We plan to continue to communicate our expectations regarding the quality of information to be provided by our suppliers. We also plan to request that all our suppliers provide a completed and accurate EICC/GeSI Conflict Minerals Reporting Template for the products that such suppliers manufacture for us that include the Minerals.
4. **Include WLAN Business** . We plan to expand our process next year to include suppliers related to the WLAN Business.

Over time, we anticipate that the amount of information globally available regarding the traceability and sourcing of the Minerals will increase.

FORWARD-LOOKING STATEMENTS

Statements relating to due diligence improvements are forward-looking in nature and are based on our current expectations or beliefs. These forward-looking statements are not a guarantee of performance and are subject to a number of uncertainties and other factors that may be outside of our control and which could cause actual events to differ materially from those expressed or implied by the statements made herein.

DOCUMENTS INCORPORATED BY REFERENCE

Unless otherwise stated herein, any documents, third-party materials or references to websites (including the our website) are not incorporated by reference in, or considered to be a part of this Report, unless expressly incorporated by reference herein.

INHERENT LIMITATIONS ON DUE DILIGENCE MEASURES

Our due diligence measures can provide only reasonable, not absolute, assurance regarding the source and chain of custody of the Minerals. Our due diligence processes are based on the necessity of seeking data from our direct suppliers and those suppliers seeking similar information within their supply chains to identify the original sources of the Minerals. Such sources of information may yield inaccurate or incomplete information and may be subject to fraud.

Exhibit A

| Smelter ID # | Metal | Smelter Reference List | Country |
|--------------|-------|---|--------------------------|
| CID000015 | Gold | Advanced Chemical Company | UNITED STATES OF AMERICA |
| CID000019 | Gold | Aida Chemical Industries Co., Ltd. | JAPAN |
| CID000035 | Gold | Allgemeine Gold-und Silberscheideanstalt A.G. | GERMANY |
| CID000041 | Gold | Almalyk Mining and Metallurgical Complex (AMMC) | UZBEKISTAN |
| CID000058 | Gold | AngloGold Ashanti Córrego do Sítio Mineração | BRAZIL |
| CID000077 | Gold | Argor-Heraeus S.A. | SWITZERLAND |
| CID000082 | Gold | Asahi Pretec Corp. | JAPAN |
| CID000090 | Gold | Asaka Riken Co., Ltd. | JAPAN |
| CID000113 | Gold | Aurubis AG | GERMANY |
| CID000128 | Gold | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | PHILIPPINES |
| CID000157 | Gold | Boliden AB | SWEDEN |
| CID000176 | Gold | C. Hafner GmbH + Co. KG | GERMANY |
| CID000185 | Gold | CCR Refinery - Glencore Canada Corporation | CANADA |
| CID000189 | Gold | Cendres + Métaux S.A. | SWITZERLAND |
| CID000233 | Gold | Chimet S.p.A. | ITALY |
| CID000328 | Gold | Daejin Indus Co., Ltd. | KOREA (REPUBLIC OF) |
| CID000359 | Gold | DSC (Do Sung Corporation) | KOREA (REPUBLIC OF) |
| CID000362 | Gold | DODUCO GmbH | GERMANY |
| CID000401 | Gold | Dowa | JAPAN |
| CID000425 | Gold | Eco-System Recycling Co., Ltd. | JAPAN |
| CID000493 | Gold | OJSC Novosibirsk Refinery | RUSSIAN FEDERATION |
| CID000694 | Gold | Heimerle + Meule GmbH | GERMANY |
| CID000707 | Gold | Heraeus Ltd. Hong Kong | CHINA |
| CID000711 | Gold | Heraeus Precious Metals GmbH & Co. KG | GERMANY |
| CID000767 | Gold | Hunan Chenzhou Mining Co., Ltd. | CHINA |
| CID000778 | Gold | HwaSeong CJ Co., Ltd. | KOREA (REPUBLIC OF) |
| CID000801 | Gold | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | CHINA |

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|-----------|------|---|--------------------------|
| CID000807 | Gold | Ishifuku Metal Industry Co., Ltd. | JAPAN |
| CID000814 | Gold | Istanbul Gold Refinery | TURKEY |
| CID000823 | Gold | Japan Mint | JAPAN |
| CID000855 | Gold | Jiangxi Copper Co., Ltd. | CHINA |
| CID000920 | Gold | Asahi Refining USA Inc. | UNITED STATES OF AMERICA |
| CID000924 | Gold | Asahi Refining Canada Ltd. | CANADA |
| CID000927 | Gold | JSC Ekaterinburg Non-Ferrous Metal Processing Plant | RUSSIAN FEDERATION |
| CID000929 | Gold | JSC Uralelectromed | RUSSIAN FEDERATION |
| CID000937 | Gold | JX Nippon Mining & Metals Co., Ltd. | JAPAN |
| CID000956 | Gold | Kazakhmys Smelting LLC | KAZAKHSTAN |
| CID000957 | Gold | Kazzinc | KAZAKHSTAN |
| CID000981 | Gold | Kojima Chemicals Co., Ltd. | JAPAN |
| CID001029 | Gold | Kyrgyzaltyn JSC | KYRGYZSTAN |
| CID001032 | Gold | L'azurde Company For Jewelry | SAUDI ARABIA |
| CID001056 | Gold | Lingbao Gold Co., Ltd. | CHINA |
| CID001058 | Gold | Lingbao Jinyuan Tonghui Refinery Co., Ltd. | CHINA |
| CID001078 | Gold | LS-NIKKO Copper Inc. | KOREA (REPUBLIC OF) |
| CID001093 | Gold | Luoyang Zijin Yinhui Gold Refinery Co., Ltd. | CHINA |
| CID001113 | Gold | Materion | UNITED STATES OF AMERICA |
| CID001119 | Gold | Matsuda Sangyo Co., Ltd. | JAPAN |
| CID001147 | Gold | Metalor Technologies (Suzhou) Ltd. | CHINA |
| CID001149 | Gold | Metalor Technologies (Hong Kong) Ltd. | CHINA |
| CID001152 | Gold | Metalor Technologies (Singapore) Pte., Ltd. | SINGAPORE |
| CID001153 | Gold | Metalor Technologies S.A. | SWITZERLAND |
| CID001157 | Gold | Metalor USA Refining Corporation | UNITED STATES OF AMERICA |
| CID001161 | Gold | Metalúrgica Met-Mex Peñoles S.A. De C.V. | MEXICO |
| CID001188 | Gold | Mitsubishi Materials Corporation | JAPAN |
| CID001193 | Gold | Mitsui Mining and Smelting Co., Ltd. | JAPAN |
| CID001204 | Gold | Moscow Special Alloys Processing Plant | RUSSIAN FEDERATION |

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| CID001220 | Gold | Nadir Metal Rafineri San. Ve Tic. A.Ş. | TURKEY |
| CID001236 | Gold | Navoi Mining and Metallurgical Combinat | UZBEKISTAN |
| CID001259 | Gold | Nihon Material Co., Ltd. | JAPAN |
| CID001322 | Gold | Elemetal Refining, LLC | UNITED STATES OF AMERICA |
| CID001326 | Gold | OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) | RUSSIAN FEDERATION |
| CID001352 | Gold | PAMP S.A. | SWITZERLAND |
| CID001362 | Gold | Penglai Penggang Gold Industry Co., Ltd. | CHINA |
| CID001386 | Gold | Prioksky Plant of Non-Ferrous Metals | RUSSIAN FEDERATION |
| CID001397 | Gold | PT Aneka Tambang (Persero) Tbk | INDONESIA |
| CID001498 | Gold | PX Précinox S.A. | SWITZERLAND |
| CID001512 | Gold | Rand Refinery (Pty) Ltd. | SOUTH AFRICA |
| CID001534 | Gold | Royal Canadian Mint | CANADA |
| CID001546 | Gold | Sabin Metal Corp. | UNITED STATES OF AMERICA |
| CID001555 | Gold | Samduck Precious Metals | KOREA (REPUBLIC OF) |
| CID001562 | Gold | Samwon Metals Corp. | KOREA (REPUBLIC OF) |
| CID001573 | Gold | Schone Edelmetaal B.V. | NETHERLANDS |
| CID001585 | Gold | SEMPSA Joyería Platería S.A. | SPAIN |
| CID001619 | Gold | Shandong Tiancheng Biological Gold Industrial Co., Ltd. | CHINA |
| CID001622 | Gold | Shandong Zhaojin Gold & Silver Refinery Co., Ltd. | CHINA |
| CID001736 | Gold | Sichuan Tianze Precious Metals Co., Ltd. | CHINA |
| CID001754 | Gold | So Accurate Group, Inc. | UNITED STATES OF AMERICA |
| CID001756 | Gold | SOE Shyolkovsky Factory of Secondary Precious Metals | RUSSIAN FEDERATION |
| CID001761 | Gold | Solar Applied Materials Technology Corp. | TAIWAN, PROVINCE OF CHINA |
| CID001798 | Gold | Sumitomo Metal Mining Co., Ltd. | JAPAN |
| CID001875 | Gold | Tanaka Kikinzoku Kogyo K.K. | JAPAN |
| CID001909 | Gold | Great Wall Precious Metals Co., Ltd. of CBPM | CHINA |
| CID001916 | Gold | The Refinery of Shandong Gold Mining Co., Ltd. | CHINA |
| CID001938 | Gold | Tokuriki Honten Co., Ltd. | JAPAN |

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| CID001947 | Gold | Tongling Nonferrous Metals Group Co., Ltd. | CHINA |
| CID001955 | Gold | Torecom | KOREA (REPUBLIC OF) |
| CID001977 | Gold | Umicore Brasil Ltda. | BRAZIL |
| CID001980 | Gold | Umicore S.A. Business Unit Precious Metals Refining | BELGIUM |
| CID001993 | Gold | United Precious Metal Refining, Inc. | UNITED STATES OF AMERICA |
| CID002003 | Gold | Valcambi S.A. | SWITZERLAND |
| CID002030 | Gold | Western Australian Mint trading as The Perth Mint | AUSTRALIA |
| CID002100 | Gold | Yamamoto Precious Metal Co., Ltd. | JAPAN |
| CID002129 | Gold | Yokohama Metal Co., Ltd. | JAPAN |
| CID002224 | Gold | Zhongyuan Gold Smelter of Zhongjin Gold Corporation | CHINA |
| CID002243 | Gold | Zijin Mining Group Co., Ltd. Gold Refinery | CHINA |
| CID002282 | Gold | Morris and Watson | NEW ZEALAND |
| CID002290 | Gold | SAFINA A.S. | CZECH REPUBLIC |
| CID002312 | Gold | Guangdong Jinding Gold Limited | CHINA |
| CID002314 | Gold | Umicore Precious Metals Thailand | THAILAND |
| CID002459 | Gold | Geib Refining Corporation | UNITED STATES OF AMERICA |
| CID002509 | Gold | MMTC-PAMP India Pvt., Ltd. | INDIA |
| CID002510 | Gold | Republic Metals Corporation | UNITED STATES OF AMERICA |
| CID002511 | Gold | KGHM Polska Miedź Spółka Akcyjna | POLAND |
| CID002516 | Gold | Singway Technology Co., Ltd. | TAIWAN, PROVINCE OF CHINA |
| CID002560 | Gold | Al Etihad Gold Refinery DMCC | UNITED ARAB EMIRATES |
| CID002561 | Gold | Emirates Gold DMCC | UNITED ARAB EMIRATES |
| CID002580 | Gold | T.C.A S.p.A | ITALY |
| CID002582 | Gold | Remondis Argentia B.V. | NETHERLANDS |
| CID002605 | Gold | Korea Zinc Co., Ltd. | KOREA (REPUBLIC OF) |
| CID002615 | Gold | TOO Tau-Ken-Altyn | KAZAKHSTAN |
| CID002761 | Gold | SAAMP | FRANCE |
| CID002777 | Gold | SAXONIA Edelmetalle GmbH | GERMANY |
| CID002778 | Gold | WIELAND Edelmetalle GmbH | GERMANY |

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| CID002779 | Gold | Ögussa Österreichische Gold- und Silber-Scheideanstalt GmbH | AUSTRIA |
| CID002850 | Gold | AU Traders and Refiners | SOUTH AFRICA |
| CID002852 | Gold | Gujarat Gold Centre | INDIA |
| CID002853 | Gold | Sai Refinery | INDIA |
| CID002857 | Gold | Modeltech Sdn Bhd | MALAYSIA |
| CID002863 | Gold | Bangalore Refinery | INDIA |
| CID000211 | Tantalum | Changsha South Tantalum Niobium Co., Ltd. | CHINA |
| CID000291 | Tantalum | Conghua Tantalum and Niobium Smeltry | CHINA |
| CID000410 | Tantalum | Duoluoshan | CHINA |
| CID000456 | Tantalum | Exotech Inc. | UNITED STATES OF AMERICA |
| CID000460 | Tantalum | F&X Electro-Materials Ltd. | CHINA |
| CID000616 | Tantalum | Guangdong Zhiyuan New Material Co., Ltd. | CHINA |
| CID000731 | Tantalum | Hi-Temp Specialty Metals, Inc. | UNITED STATES OF AMERICA |
| CID000914 | Tantalum | JiuJiang JinXin Nonferrous Metals Co., Ltd. | CHINA |
| CID000917 | Tantalum | Jiujiang Tanbre Co., Ltd. | CHINA |
| CID000973 | Tantalum | King-Tan Tantalum Industry Ltd. | CHINA |
| CID001076 | Tantalum | LSM Brasil S.A. | BRAZIL |
| CID001163 | Tantalum | Metallurgical Products India Pvt., Ltd. | INDIA |
| CID001175 | Tantalum | Mineração Taboca S.A. | BRAZIL |
| CID001192 | Tantalum | Mitsui Mining and Smelting Co., Ltd. | JAPAN |
| CID001200 | Tantalum | Molycorp Silmet A.S. | ESTONIA |
| CID001277 | Tantalum | Ningxia Orient Tantalum Industry Co., Ltd. | CHINA |
| CID001508 | Tantalum | QuantumClean | UNITED STATES OF AMERICA |
| CID001522 | Tantalum | RFH Tantalum Smeltry Co., Ltd. | CHINA |
| CID001769 | Tantalum | Solikamsk Magnesium Works OAO | RUSSIAN FEDERATION |
| CID001869 | Tantalum | Taki Chemical Co., Ltd. | JAPAN |
| CID001891 | Tantalum | Telex Metals | UNITED STATES OF AMERICA |
| CID001969 | Tantalum | Ulba Metallurgical Plant JSC | KAZAKHSTAN |
| CID002232 | Tantalum | Zhuzhou Cemented Carbide Group Co., Ltd. | CHINA |

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| CID002307 | Tantalum | Yichun Jin Yang Rare Metal Co., Ltd. | CHINA |
| CID002492 | Tantalum | Hengyang King Xing Lifeng New Materials Co., Ltd. | CHINA |
| CID002504 | Tantalum | D Block Metals, LLC | UNITED STATES OF AMERICA |
| CID002506 | Tantalum | Jiujiang Zhongao Tantalum & Niobium Co., Ltd. | CHINA |
| CID002508 | Tantalum | XinXing HaoRong Electronic Material Co., Ltd. | CHINA |
| CID002512 | Tantalum | Jiangxi Dinghai Tantalum & Niobium Co., Ltd. | CHINA |
| CID002539 | Tantalum | KEMET Blue Metals | MEXICO |
| CID002540 | Tantalum | Plansee SE Liezen | AUSTRIA |
| CID002544 | Tantalum | H.C. Starck Co., Ltd. | THAILAND |
| CID002545 | Tantalum | H.C. Starck GmbH Goslar | GERMANY |
| CID002546 | Tantalum | H.C. Starck GmbH Laufenburg | GERMANY |
| CID002547 | Tantalum | H.C. Starck Hermsdorf GmbH | GERMANY |
| CID002548 | Tantalum | H.C. Starck Inc. | UNITED STATES OF AMERICA |
| CID002549 | Tantalum | H.C. Starck Ltd. | JAPAN |
| CID002550 | Tantalum | H.C. Starck Smelting GmbH & Co. KG | GERMANY |
| CID002556 | Tantalum | Plansee SE Reutte | AUSTRIA |
| CID002557 | Tantalum | Global Advanced Metals Boyertown | UNITED STATES OF AMERICA |
| CID002558 | Tantalum | Global Advanced Metals Aizu | JAPAN |
| CID002568 | Tantalum | KEMET Blue Powder | UNITED STATES OF AMERICA |
| CID002571 | Tantalum | Tranzact, Inc. | UNITED STATES OF AMERICA |
| CID002590 | Tantalum | E.S.R. Electronics | UNITED STATES OF AMERICA |
| CID002707 | Tantalum | Resind Indústria e Comércio Ltda. | BRAZIL |
| CID002842 | Tantalum | Jiangxi Tuohong New Raw Material | CHINA |
| CID002847 | Tantalum | Power Resources Ltd. | MACEDONIA (THE FORMER YUGOSLAV REPUBLIC OF) |
| CID000228 | Tin | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd. | CHINA |
| CID000244 | Tin | Jiangxi Ketai Advanced Material Co., Ltd. | CHINA |
| CID000295 | Tin | Cooperativa Metalurgica de Rondônia Ltda. | BRAZIL |
| CID000306 | Tin | CV Gita Pesona | INDONESIA |

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| CID000307 | Tin | PT Justindo | INDONESIA |
| CID000309 | Tin | PT Aries Kencana Sejahtera | INDONESIA |
| CID000313 | Tin | CV Serumpun Sebalai | INDONESIA |
| CID000315 | Tin | CV United Smelting | INDONESIA |
| CID000402 | Tin | Dowa | JAPAN |
| CID000438 | Tin | EM Vinto | BOLIVIA (PLURINATIONAL STATE OF) |
| CID000468 | Tin | Fenix Metals | POLAND |
| CID000538 | Tin | Gejiu Non-Ferrous Metal Processing Co., Ltd. | CHINA |
| CID000942 | Tin | Gejiu Kai Meng Industry and Trade LLC | CHINA |
| CID001070 | Tin | China Tin Group Co., Ltd. | CHINA |
| CID001105 | Tin | Malaysia Smelting Corporation (MSC) | MALAYSIA |
| CID001142 | Tin | Metallic Resources, Inc. | UNITED STATES OF AMERICA |
| CID001173 | Tin | Mineração Taboca S.A. | BRAZIL |
| CID001182 | Tin | Minsur | PERU |
| CID001191 | Tin | Mitsubishi Materials Corporation | JAPAN |
| CID001231 | Tin | Nankang Nanshan Tin Manufactory Co., Ltd. | CHINA |
| CID001314 | Tin | O.M. Manufacturing (Thailand) Co., Ltd. | THAILAND |
| CID001337 | Tin | Operaciones Metalurgical S.A. | BOLIVIA (PLURINATIONAL STATE OF) |
| CID001399 | Tin | PT Artha Cipta Langgeng | INDONESIA |
| CID001402 | Tin | PT Babel Inti Perkasa | INDONESIA |
| CID001419 | Tin | PT Bangka Tin Industry | INDONESIA |
| CID001421 | Tin | PT Belitung Industri Sejahtera | INDONESIA |
| CID001428 | Tin | PT Bukit Timah | INDONESIA |
| CID001434 | Tin | PT DS Jaya Abadi | INDONESIA |
| CID001438 | Tin | PT Eunindo Usaha Mandiri | INDONESIA |
| CID001448 | Tin | PT Karimun Mining | INDONESIA |
| CID001453 | Tin | PT Mitra Stania Prima | INDONESIA |
| CID001457 | Tin | PT Panca Mega Persada | INDONESIA |
| CID001458 | Tin | PT Prima Timah Utama | INDONESIA |

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| CID001460 | Tin | PT Refined Bangka Tin | INDONESIA |
| CID001463 | Tin | PT Sariwiguna Binasentosa | INDONESIA |
| CID001468 | Tin | PT Stanindo Inti Perkasa | INDONESIA |
| CID001471 | Tin | PT Sumber Jaya Indah | INDONESIA |
| CID001477 | Tin | PT Timah (Persero) Tbk Kundur | INDONESIA |
| CID001482 | Tin | PT Timah (Persero) Tbk Mentok | INDONESIA |
| CID001490 | Tin | PT Tinindo Inter Nusa | INDONESIA |
| CID001493 | Tin | PT Tommy Utama | INDONESIA |
| CID001539 | Tin | Rui Da Hung | TAIWAN, PROVINCE OF CHINA |
| CID001758 | Tin | Soft Metais Ltda. | BRAZIL |
| CID001898 | Tin | Thaisarco | THAILAND |
| CID001908 | Tin | Gejiu Yunxin Nonferrous Electrolysis Co., Ltd. | CHINA |
| CID002015 | Tin | VQB Mineral and Trading Group JSC | VIETNAM |
| CID002036 | Tin | White Solder Metalurgia e Mineraço Ltda. | BRAZIL |
| CID002158 | Tin | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | CHINA |
| CID002180 | Tin | Yunnan Tin Company Limited | CHINA |
| CID002455 | Tin | CV Venus Inti Perkasa | INDONESIA |
| CID002468 | Tin | Magnu's Minerais Metais e Ligas Ltda. | BRAZIL |
| CID002478 | Tin | PT Tirus Putra Mandiri | INDONESIA |
| CID002479 | Tin | PT Wahana Perkit Jaya | INDONESIA |
| CID002500 | Tin | Melt Metais e Ligas S.A. | BRAZIL |
| CID002517 | Tin | O.M. Manufacturing Philippines, Inc. | PHILIPPINES |
| CID002530 | Tin | PT Inti Stania Prima | INDONESIA |
| CID002570 | Tin | CV Ayi Jaya | INDONESIA |
| CID002572 | Tin | Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company | VIETNAM |
| CID002573 | Tin | Nghe Tinh Non-Ferrous Metals Joint Stock Company | VIETNAM |
| CID002574 | Tin | Tuyen Quang Non-Ferrous Metals Joint Stock Company | VIETNAM |
| CID002592 | Tin | CV Dua Sekawan | INDONESIA |

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| CID002593 | Tin | CV Tiga Sekawan | INDONESIA |
| CID002696 | Tin | PT Cipta Persada Mulia | INDONESIA |
| CID002703 | Tin | An Vinh Joint Stock Mineral Processing Company | VIETNAM |
| CID002706 | Tin | Resind Indústria e Comércio Ltda. | BRAZIL |
| CID002757 | Tin | PT O.M. Indonesia | INDONESIA |
| CID002773 | Tin | Metallo-Chimique N.V. | BELGIUM |
| CID002774 | Tin | Elmet S.L.U. | SPAIN |
| CID002776 | Tin | PT Bangka Prima Tin | INDONESIA |
| CID002816 | Tin | PT Sukses Inti Makmur | INDONESIA |
| CID002825 | Tin | An Thai Minerals Co., Ltd. | VIETNAM |
| CID002829 | Tin | PT Kijang Jaya Mandiri | INDONESIA |
| CID002844 | Tin | HuiChang Hill Tin Industry Co., Ltd. | CHINA |
| CID002848 | Tin | Gejiu Fengming Metallurgy Chemical Plant | CHINA |
| CID002849 | Tin | Guanyang Guida Nonferrous Metal Smelting Plant | CHINA |
| CID002858 | Tin | Modeltech Sdn Bhd | MALAYSIA |
| CID002859 | Tin | Gejiu Jinye Mineral Company | CHINA |
| CID000004 | Tungsten | A.L.M.T. TUNGSTEN Corp. | JAPAN |
| CID000105 | Tungsten | Kennametal Huntsville | UNITED STATES OF AMERICA |
| CID000218 | Tungsten | Guangdong Xianglu Tungsten Co., Ltd. | CHINA |
| CID000258 | Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd. | CHINA |
| CID000499 | Tungsten | Fujian Jinxin Tungsten Co., Ltd. | CHINA |
| CID000568 | Tungsten | Global Tungsten & Powders Corp. | UNITED STATES OF AMERICA |
| CID000766 | Tungsten | Hunan Chenzhou Mining Co., Ltd. | CHINA |
| CID000769 | Tungsten | Hunan Chunchang Nonferrous Metals Co., Ltd. | CHINA |
| CID000825 | Tungsten | Japan New Metals Co., Ltd. | JAPAN |
| CID000875 | Tungsten | Ganzhou Huaxing Tungsten Products Co., Ltd. | CHINA |
| CID000966 | Tungsten | Kennametal Fallon | UNITED STATES OF AMERICA |
| CID001889 | Tungsten | Tejing (Vietnam) Tungsten Co., Ltd. | VIETNAM |
| CID002011 | Tungsten | Vietnam Youngsun Tungsten Industry Co., Ltd. | VIETNAM |

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| CID002044 | Tungsten | Wolfram Bergbau und Hütten AG | AUSTRIA |
| CID002082 | Tungsten | Xiamen Tungsten Co., Ltd. | CHINA |
| CID002095 | Tungsten | Xinhai Rendan Shaoguan Tungsten Co., Ltd. | CHINA |
| CID002313 | Tungsten | Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd. | CHINA |
| CID002315 | Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | CHINA |
| CID002316 | Tungsten | Jiangxi Yaosheng Tungsten Co., Ltd. | CHINA |
| CID002317 | Tungsten | Jiangxi Xinsheng Tungsten Industry Co., Ltd. | CHINA |
| CID002318 | Tungsten | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | CHINA |
| CID002319 | Tungsten | Malipo Haiyu Tungsten Co., Ltd. | CHINA |
| CID002320 | Tungsten | Xiamen Tungsten (H.C.) Co., Ltd. | CHINA |
| CID002321 | Tungsten | Jiangxi Gan Bei Tungsten Co., Ltd. | CHINA |
| CID002494 | Tungsten | Ganzhou Seadragon W & Mo Co., Ltd. | CHINA |
| CID002502 | Tungsten | Asia Tungsten Products Vietnam Ltd. | VIETNAM |
| CID002513 | Tungsten | Chenzhou Diamond Tungsten Products Co., Ltd. | CHINA |
| CID002518 | Tungsten | Dayu Jincheng Tungsten Industry Co., Ltd. | CHINA |
| CID002535 | Tungsten | Jiangxi Xiushui Xianggan Nonferrous Metals Co., Ltd. | CHINA |
| CID002536 | Tungsten | Ganzhou Yatai Tungsten Co., Ltd. | CHINA |
| CID002541 | Tungsten | H.C. Starck GmbH | GERMANY |
| CID002542 | Tungsten | H.C. Starck Smelting GmbH & Co.KG | GERMANY |
| CID002543 | Tungsten | Nui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC | VIETNAM |
| CID002551 | Tungsten | Jiangwu H.C. Starck Tungsten Products Co., Ltd. | CHINA |
| CID002579 | Tungsten | Hunan Chuangda Vanadium Tungsten Co., Ltd. Wuji | CHINA |
| CID002589 | Tungsten | Niagara Refining LLC | UNITED STATES OF AMERICA |
| CID002647 | Tungsten | Jiangxi Dayu Longxintai Tungsten Co., Ltd. | CHINA |
| CID002649 | Tungsten | Hydrometallurg, JSC | RUSSIAN FEDERATION |
| CID002724 | Tungsten | Unecha Refractory metals plant | RUSSIAN FEDERATION |
| CID002815 | Tungsten | South-East Nonferrous Metal Company Limited of Hengyang City | CHINA |

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| CID002827 | Tungsten | Philippine Chuangxin Industrial Co., Inc. | PHILIPPINES |
| CID002830 | Tungsten | Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd. | CHINA |
| CID002833 | Tungsten | ACL Metais Eireli | BRAZIL |
| CID002843 | Tungsten | Woltech Korea Co., Ltd. | KOREA (REPUBLIC OF) |
| CID002845 | Tungsten | Moliren Ltd | RUSSIAN FEDERATION |
| CID000292 | Tin | Alpha | UNITED STATES OF AMERICA |
| CID000969 | Gold | Kennecott Utah Copper LLC | UNITED STATES OF AMERICA |
| CID001325 | Gold | Ohura Precious Metal Industry Co., Ltd. | JAPAN |
| CID002503 | Tin | PT ATD Makmur Mandiri Jaya | INDONESIA |
| CID002835 | Tin | PT Menara Cipta Mulia | INDONESIA |
| CID002870 | Tin | PT Lautan Harmonis Sejahtera | INDONESIA |