

## EASTMAN KODAK CO

# Reported by **BULLWINKLE DAVID E**

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 08/21/17 for the Period Ending 08/17/17

Address 343 STATE ST

**ROCHESTER, NY 14650-0910** 

Telephone 7167244000

CIK 0000031235

Symbol KODK

SIC Code 3861 - Photographic Equipment and Supplies

Industry Household Electronics

Sector Technology

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *															5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Bullwinkle D	avid E						TMAN						-		Director		1.00	% Owner		
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)									Director 10% Owner X Officer (give title below) Other (specify below) CFO and Senior Vice President						
EASTMAN I STATE STR		COMP	PANY,	343				8/1	17/20	17				C	ro and sen	nor vice	rresident			
	(Stre	eet)			4.	If A	mendme	nt, Date	Origin	al Fil	ed (MM	1/DI	D/YYYY	) 6.	Individual o	or Joint/G	roup Filing (	(Check Appl	icable Line)	
ROCHESTE (C			Cip)											_ >	<b>X</b> _ Form filed b _ Form filed by		rting Person One Reporting P	'erson		
			Table	I - No	on-De	riva	tive Secu	ırities A	cquire	ed, Di	ispose	d o	f, or Be	enefi	cially Owne	ed				
1.Title of Security (Instr. 3)				2. Trar	ns. Date	Exe	Deemed ecution e, if any	3. Trans. ( (Instr. 8)	Code	or Dis	urities A posed of 3, 4 and	f (D)		Follo	nount of Securit wing Reported 3 and 4)			Form:	7. Nature of Indirect Beneficial	
							Code	V	Amou	(A) (D)		Price					Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
Common Stock, par value \$.01				8/17/	/2017			P		1100	A		\$7.23		:	17430		D		
	Tab	le II - Dei	rivative	Secu	rities	Ben	eficially	Owned	( e.g. ,	puts	, calls,	Wa	arrants	s, opt	tions, conve	rtible sec	urities)		•	
1. Title of Derivate Security Conversion or Exercise Price of Derivative 3. Trans. Date		3. Trans.	3A. Deemed 4. Tra			ns. Code 5. Numb		of Securities A) or of (D)	6. Date Exercisable an Expiration Date		sable an	d 7 S	_		erlying Derivative		Securities Beneficially Owned	10. Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security				Code	v	(A)	(D)	Date Exercisa	Date Expiration Date		п	Title	Amount or Number of Shares			Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)		
Restricted Stock Units	<b>\$0</b> (1)								<u>(1)</u>	. 9	0/3/2017		Comm Stock, j value \$	par	644		644	D		
Restricted Stock Units	<b>\$0</b> (2)								<u>(2)</u>	1	2/15/201	17	Comm Stock, j value \$	par	647		647	D		
Restricted Stock Units	<b>\$0</b> (3)								<u>(3)</u>	9	0/3/2018		Common Stock, par value \$01		2220		2220	D		
Restricted Stock Units	<b>\$0</b> (4)								<u>(4)</u>	! 7	7/1/2019		Comm Stock, j value \$.	par	12316		12316	D		
Stock Option (Right to Buy)	\$23.78								<u>(5)</u>	9	0/2/2021		Comm Stock, j value \$.	par	5805 (5)		5805 ( <u>5</u> )	D		
Stock Option (Right to Buy)	\$20.25								<u>(6)</u>	1	2/14/202	21	Comm Stock, j value \$.	par	5349 (6)		5349 (6)	D		
Stock Option (Right to Buy)	\$13.76					(7) 9/2/2022 Stock,		Comm Stock, j value \$.	par	7965 (7)		7965 (7)	D							
Stock Option (Right to Buy)	\$16.24								(8)	6.	/30/2023	3	Comm Stock, j value \$.	par	45942 (8)		45942 <sup>(8)</sup>	D		

#### **Explanation of Responses:**

- (1) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/2014 grant date.
- (2) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 12/15/2014 grant date.
- (3) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/2015 grant date.

- (4) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 7/1/2016 grant date.
- (5) This option vests one-third on each of the first three anniversaries of the 9/3/2014 grant date.
- (6) This option vests one-third on each of the first three anniversaries of the 12/15/2014 grant date.
- (7) This option vests one-third on each of the first three anniversaries of the 9/3/2015 grant date.
- (8) This option vests one-third on each of the first three anniversaries of the 7/1/2016 grant date.

**Reporting Owners** 

Reporting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Bullwinkle David E EASTMAN KODAK COMPANY 343 STATE STREET ROCHESTER, NY 14650			CFO and Senior Vice President						

#### **Signatures**

/s/ Sharon E. Underberg, Attorney-in-fact for David E. Bullwinkle

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.