

## DYNAVAX TECHNOLOGIES CORP

# Reported by CANO FRANCIS

### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 06/02/17 for the Period Ending 06/02/17

Address 2929 SEVENTH STREET

SUITE 100

BERKELEY, CA 94710

Telephone 5108485100

CIK 0001029142

Symbol DVAX

SIC Code 2834 - Pharmaceutical Preparations

Industry Biotechnology & Medical Research

Sector Healthcare

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person *         |   |                   |  |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |   |                              |                  |                                |   |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                                      |   |  |            |
|--|---|-------------------|--|--|--|---|------------------------------|------------------|--------------------------------|---|---|---|--------------------------------------|---|--|------------|
| CANO FRANCIS                                   |   |                   |  |  | DYNAVAX TECHNOLOGIES CORP [<br>DVAX ]              |   |                              |                  |                                |   |   | _X _ Director   | ,                                    | 1   | 0% Owner                                       |            |
| (Last) (First) (Middle)                        |   |                   |  | 3.   | 3. Date of Earliest Transaction (MM/DD/YYYY)       |   |                              |                  |                                |   |   | Officer (giv  | e title below                        | ()O   | ther (specify                                  | below)     |
| C/O DYNA<br>CORPORA<br>STREET, S               | TION, 2   | 929 SEV           |  |  |  |   | 6/2                          | 2/201            | 7                              |   |   |   |                                      |   |  |            |
| (Street)                                       |   |                   |  | 4.   | 4. If Amendment, Date Original Filed (MM/DD/YYYY)  |   |                              |                  |                                |   | DD/YYYY)  | 6. Individual or Joint/Group Filing (Check Applicable Line)             |                                      |   |  |            |
| BERKELEY                                       | •   |                   | Cip)                                   |  |  |   |                              |                  |                                |   |   | _X _ Form filed by  |                                      | rting Person<br>One Reporting P                       | erson  |            |
|  |   |                   | Table I                                | - Non-De   | eriva  | tive Secu   | rities Ac                    | cquire           | d, D                           | isposed   | of, or Ben  | eficially Own   | ed                                   |   |  |            |
| 1.Title of Security (Instr. 3) 2. Trans. I     |   |                   | . Trans. Date                          | ate Execution Date, if any  3. Trans. Code (Instr. 8)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  Code V Amount (D) Price  4. Securities Acquired (A) Following Reported Transaction(s) (Instr. 3 and 4) |  |   |                              |                  |                                | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |   |                                      |   |  |            |
|  | Tal   | ble II - Dei      | rivative S                             | Securities   | Ben  | eficially (   | Owned (                      | ( e.g. ,         | puts                           | s, calls, v   | varrants,   | options, conve  | rtible sec                           | urities)  |  |            |
| 1. Title of Derivate<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Trans.<br>Date | 3A. Deeme<br>Execution<br>Date, if any | (Instr. 8)   |  | 5. Number<br>Derivative<br>Acquired (A<br>Disposed o<br>(Instr. 3, 4) | Securities<br>A) or<br>f (D) |                  | e Exercisable and<br>tion Date |   | 7. Title and<br>Securities U<br>Derivative S<br>(Instr. 3 and | nderlying<br>lecurity   | Derivative<br>Security<br>(Instr. 5) | derivative<br>Securities<br>Beneficially<br>Owned     | Form of Derivative Security:                   | Beneficial |
|  | Security  |                   |  | Code   | V  | (A)   | (D)                          | Date<br>Exercise |                                | Expiration<br>Date  | Title   | Amount or<br>Number of<br>Shares  |                                      | Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Direct (D)<br>or Indirect<br>(I) (Instr.<br>4) |            |
| Option (Right to<br>Buy)                       | \$5.90  | 6/2/2017          |  | A  |  | 7500  |                              | <u>(1)</u>       | )                              | 6/1/2024  | Common<br>Stock   | 7500  | <u>(2)</u>                           | 7500  | D  |            |

#### **Explanation of Responses:**

- (1) This option vests fully on June 2, 2018, on the one year anniversary of the grant date.
- (2) Not applicable.

#### Reporting Owners

| reporting 5 whers                    |               |           |         |       |  |  |  |
|--------------------------------------|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address       | Relationships |           |         |       |  |  |  |
| Reporting Owner Name / Address       | Director      | 10% Owner | Officer | Other |  |  |  |
| CANO FRANCIS                         |               |           |         |       |  |  |  |
| C/O DYNAVAX TECHNOLOGIES CORPORATION | X             |           |         |       |  |  |  |
| 2929 SEVENTH STREET, SUITE 100       | Λ             |           |         |       |  |  |  |
| BERKELEY, CA 94710                   |               |           |         |       |  |  |  |

#### **Signatures**

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.