

# CATALYST PHARMACEUTICALS, INC.

# Reported by O'KEEFFE CHARLES B

### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 05/15/17 for the Period Ending 05/11/17

Address 355 ALHAMBRA CIRCLE

**SUITE 1250** 

CORAL GABLES, FL 33134

Telephone (305) 529-2522

CIK 0001369568

Symbol CPRX

SIC Code 2834 - Pharmaceutical Preparations

Industry Biotechnology & Medical Research

Sector Healthcare

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
O'Keeffe Charles B					CATALYST PHARMACEUTICALS, INC. [ CPRX ]							X Director	X Director10% Owner				
(Last)	(First	) (Mie	(Middle)			3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify be				
355 ALHAM	IBRA CI	RCLE, S	SUITE 1	1250			5/1	1/20	17								
(Street)				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual	6. Individual or Joint/Group Filing (Check Applicable Line)				
CORAL GABLES, FL 33134 (City) (State) (Zip)													X Form filed by One Reporting Person Form filed by More than One Reporting Person				
		,	Table I - ]	Non-Der	ivat	ive Sec	urities Ac	quire	ed, D	isposed		eneficially Own					
1.Title of Security (Instr. 3) 2. Transport			rans. Date	Date 2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Ownership of Indirect Beneficia	7. Nature of Indirect Beneficial Ownership		
							Code	v	Amou	(A) c					or Indirect (I) (Instr. 4)		
Common stock, par value \$0.001 per share 5/11/201				11/2017			M		3125	0 A	\$1.04	463376			D		
	Tab	le II - Deri	vative Sec	curities <b>E</b>	Bene	ficially	Owned (	e.g. ,	puts	s, calls, v	warrants	s, options, conve	rtible sec	curities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deemed Execution Date, if any	Code	Derivativ		ve Securities d (A) or d of (D)	Date Exercisable and Expiration Date		Securities	Underlying e Security	nderlying Derivative ecurity Security		Ownership Form of Derivative Security:	Beneficial		
	Security			Code	v	(A)	(D)	Date Exerci	isable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)		
Warrants to purchase common stock	\$1.04	5/11/2017		X			31250	5/30/2	2012	5/30/2017	7 Commo Stock	on 31250	\$0.80 (1)	0	D		

#### **Explanation of Responses:**

(1) Securities were sold as units, each consisting of one share of the Issuer's common stock and one five-year warrant to purchase one share of the Issuer's common stock, at a price of \$0.80 per unit.

#### **Reporting Owners**

reporting owners									
Reporting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
O'Keeffe Charles B									
355 ALHAMBRA CIRCLE, SUITE 1250	X								
CORAL GABLES, FL 33134									

#### **Signatures**

/s/ Charles B. O'Keeffe 5/15/2017

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.