

# CUMBERLAND PHARMACEUTICALS INC

Reported by  
**BONNER MICHAEL**

## **FORM 4**

(Statement of Changes in Beneficial Ownership)

Filed 03/23/18 for the Period Ending 03/19/18

|           |  |
|-----------|--|
| Address   | 2525 WEST END AVENUE<br>SUITE 950<br>NASHVILLE,, TN, 37203 |
| Telephone | 615-255-0068   |
| CIK       | 0001087294   |
| Symbol    | CPIX   |
| SIC Code  | 2834 - Pharmaceutical Preparations                         |
| Industry  | Pharmaceuticals  |
| Sector    | Healthcare   |

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|   |  |  |  |   |  |
|---|--|--|--|---|--|
| 1. Name and Address of Reporting Person *                         |  | 2. Issuer Name and Ticker or Trading Symbol                      |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)   |  |
| <b>Bonner Michael</b>   |  | <b>CUMBERLAND PHARMACEUTICALS INC [ CPIX ]</b>                   |  | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)<br><b>Chief Financial Officer</b> |  |
| (Last) (First) (Middle)<br><b>2525 WEST END AVENUE, SUITE 950</b> |  | 3. Date of Earliest Transaction (MM/DD/YYYY)<br><b>3/19/2018</b> |  |   |  |
| (Street)<br><b>NASHVILLE, TN 37203</b>                            |  | 4. If Amendment, Date Original Filed (MM/DD/YYYY)                |  | 6. Individual or Joint/Group Filing (Check Applicable Line)   |  |
| (City) (State) (Zip)  |  |  |  | <input type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person  |  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Trans. Date | 2A. Deemed Execution Date, if any | 3. Trans. Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |        | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|----------------|-----------------------------------|---------------------------|---|---|------------|--------|---|--|---|
|                                 |                |                                   | Code                      | V | Amount  | (A) or (D) | Price  |   |  |   |
| Common Stock                    | 3/19/2018      |                                   | P                         |   | 300   | A          | \$6.72 | 15997   | D  |   |
| Common Stock                    | 3/23/2018      |                                   | A                         |   | 2500<br>(1)   | A          | \$6.70 | 18497   | D  |   |
| Common Stock                    | 3/23/2018      |                                   | F                         |   | 843<br>(2)  | D          | \$6.70 | 17654   | D  |   |

**Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|----------------|-----------------------------------|---------------------------|---|--|---|-----------------|---|----------------------------|--|--|--|--|
|  |  |                |                                   | Code                      | V |  | Date Exercisable                        | Expiration Date | Title   | Amount or Number of Shares |  |  |  |  |

### Explanation of Responses:

- (1) Restricted stock award will vest 100% on March 20, 2022.
- (2) This transaction represents shares withheld/purchased by the Company to cover the tax withholding obligations for the vesting of shares.

### Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                |       |
|---|---------------|-----------|--------------------------------|-------|
|   | Director      | 10% Owner | Officer                        | Other |
| <b>Bonner Michael</b><br><b>2525 WEST END AVENUE, SUITE 950</b><br><b>NASHVILLE, TN 37203</b> |               |           | <b>Chief Financial Officer</b> |       |

### Signatures

**Michael Bonner**

**3/23/2018**

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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