

## MODERN SLAVERY & HUMAN TRAFFICKING STATEMENT

### Introduction

This statement is made by the entities listed at the end of the statement, each a company required to publish a statement pursuant to the requirements of section 54 of the Modern Slavery Act 2015 (the “**Act**”), and constitutes the consolidated slavery and human trafficking statement for each of these companies for the financial year ending 31 December 2017.

This statement illustrates how CME Group Inc. (“**CME Group**”) applies its business principles, corporate values and ethical standards in practice and represents its commitment to challenge and confront the use of forced, compulsory, trafficked or child labor within our own organization and our supply chains.

### Our structure, business and supply chains

As the world's leading and most diverse derivatives marketplace, CME Group is where the world comes to manage risk. CME Group exchanges offer the widest range of global benchmark products across all major asset classes, including futures and options based on interest rates, equity indexes, foreign exchange, energy, agricultural products and metals. Around the world, CME Group brings buyers and sellers together through its CME Globex® electronic trading platform. CME Group also operates one of the world's leading central counterparty clearing providers through CME Clearing, which offers clearing and settlement services across asset classes for exchange traded and over-the-counter derivatives. CME Group products and services ensure that businesses around the world can effectively manage risk and achieve growth.

As a global organization, CME Group has suppliers located across the globe that provide a number of different services to various CME Group companies. These include suppliers of IT and communications services, property, office cleaning and other facilities management services, transport services such as taxis and couriers, marketing services such as printed merchandise, office equipment and supplies services, and professional services provided by auditors, legal counsel, banks and insurers.

### Our standards, policies and codes of conduct relating to slavery and human trafficking

The Act creates offenses, and imposes a duty on businesses supplying goods and services in the UK with an annual turnover of £36 million or more, to report each year on the steps they have undertaken to ensure their operations and supply chains are free from human trafficking and slavery. For the purposes of the Act, “modern slavery” encompasses slavery, servitude, forced labor and human trafficking. It includes both adults and children working against their free will.

### Code of Conduct

CME Group is committed to essential ethical standards. Our [Code of Conduct](#) (the “**Code**”), which applies to each CME Group company, mandates that each such company and its staff must comply with the laws and regulations in the jurisdictions where we operate and respect the human rights of others. Our business principle – *leading with conviction and integrity* – is incorporated into the Code.

The Code includes wording that explicitly outlines our opposition to all forms of slavery, forced or compulsory labor and child labor, both within our organization and within our supply chains as well as setting out our belief that all staff within CME Group are entitled to a safe and healthy work environment that is free from discrimination, harassment, threats, and acts of violence or intimidation. The Code specifically recognizes respecting human rights in the work place. We also recognize our responsibility to respect internationally recognized human rights in the conduct of our business and CME Group is committed to identifying, preventing and mitigating human rights violations resulting from or caused by our business activities.

### ***The Compliance & Ethics Helpline***

The Code contains details of CME Group's Compliance & Ethics Helpline, a 24/7 contact line that provides the opportunity to make confidential reports, raise complaints and request guidance about compliance or ethical issues, including matters referred to in the Code. This Helpline provides another avenue through which we can ensure that any potential slavery, human trafficking or wider human rights impacts are identified and dealt with appropriately in our organization.

### ***Guide to Conducting Business with Third Parties***

CME Group has adopted a [Guide to Conducting Business with Third Parties](#) (the "Guide"), which expands existing contractual commitments by third parties to comply with applicable laws and the expectations of CME Group.

In 2017, the Guide was enhanced to include our commitment and requirements for complying with the Act.

### **Due diligence and steps taken related to slavery and human trafficking**

In 2017, our Third Party Risk Management ("TPRM") Program added human rights risk assessments as part of its work flow when conducting risk assessments of third parties. It now includes screening for potential human rights violations as part of our standard due diligence. TPRM screens all vendors for human rights violations when there is any procurement activity. If any issues are identified, they are reviewed prior to completing any purchases with the vendor. In conjunction with the review of our TPRM Program, we also began to conduct a review of our standard third-party supplier agreements.

### **Measuring effectiveness**

The new modern slavery, human trafficking and general human rights wording that has been added to the Code and the Guide, combined with the operation of our Compliance & Ethics Helpline and our supply chain due diligence methodology are symbolic of the steps we have taken on our journey to strengthen, develop and establish underlying principles, systems and processes to systematically address modern slavery in our organization and our supply chains. This is an on-going process, and we will report on any relevant performance indicators in the coming years.

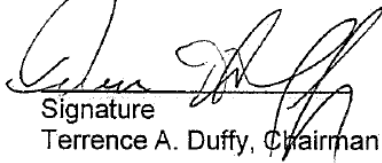
## Education

Annually, CME Group requires all staff to certify to the Code. As stated above, the Code incorporates specific commitments in relation to modern slavery.

Questions regarding this statement should be directed to the CME Group Corporate Compliance Department at [Corporate.Compliance@cmegroup.com](mailto:Corporate.Compliance@cmegroup.com).

## APPROVALS

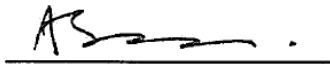
The Board of Directors of Chicago Mercantile Exchange Inc. approved this statement on 8 May 2018.



Signature

Terrence A. Duffy, Chairman and Chief Executive Officer, June 5, 2018

The Board of Directors of CME Finance Holdings Limited approved this statement on 6 April 2018.



Signature

Adrienne Seaman, Director, 15 May 2018

The Board of Directors of CME Marketing Europe Limited approved this statement on 8 March 2018.



Signature

Adrienne Seaman, Director, 15 May 2018

The Board of Directors of CME Operations Limited approved this statement on 8 March 2018.



Signature

Adrienne Seaman, Director, 15 May 2018