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Upcoming Events

November 10

New Lessons for the Upcoming Proxy Season The Tower Club

20 N. Wacker Drive
7:30 a.m. - 9:30 a.m.

[Register](#)

December 13

Where to From Here? An Economist's Perspective on the Future of the U.S. & Global Economies

Guest speaker:

Michael Moskow, vice
chairman of the Chicago
Council on Global Affairs and
former CEO of the Federal
Reserve Bank of Chicago

The Metropolitan Club

233 S. Wacker Drive
4:30 p.m. - 7:00 p.m.

[Register](#)

From the President

If it's November in Chicago, the leaves and acorns have fallen, the wind is tearing through the streets like a hawk, and IROs are pondering the issues of proxy season. As always, your go-to resource on this topic is NIRI-Chicago. At the September workshop, the morning session on proxy season trends was one of the liveliest discussions among the panel and audience members. It also proved to be a big topic in October at the "NIRI in Your Neighborhood" roundtable discussion in the Northern suburbs. Now it will be a breakfast panel at our regular meeting this month downtown.



If you have not already registered, please consider doing so. Thursday, November 10 at the Tower Club in the Lyric Opera House, with breakfast and networking beginning at 7:30 a.m., is the date, time and venue. Our panelists from the East Coast include Tom Ball from Morrow & Co., LLC and Keith Gottfried from Blank Rome LLP. Both are well-known to other NIRI chapters around the country and are making their debut appearances at NIRI-Chicago. Who better qualified to give us their perspectives on the end-game from the last proxy season and the trends ahead than a top proxy solicitor and securities attorney?

If you have not been at the Chicago Chapter website recently, please visit it to note upcoming meetings, and you'll also see recently posted photos from the workshop at the Wyndham. In addition, you can follow Chapter events on Twitter now. Our hashtag is #NIRIChi. We also are looking at other information options such as app for your smartphone or tablet. Stay tuned for updates.

We hope to see you in person November 10 at the breakfast panel meeting at the Tower Club.

Steve Carr
President, NIRI-Chicago



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In the News

- The growth of private company trading has been driven by a lack of IPOs and the reluctance of some high profile tech names to go public. With new opportunities to tap into capital, are we in for an even longer IPO dry spell? Liquidnet is just the latest to jump into the [private funding](#) pool.
- Related story: [When the IPO Window Closes](#). And will it be raining IPOs someday soon with highest IPO backlog since 2007?
- Regulatory reform run amuck: No one likes the Volcker Rule any more. Not the industry. Not the industry's critics. Not even Paul Volcker himself.
- [Dodd-Frank cheat sheet](#): Get the latest on the progress of new mandated regulatory agencies, complete with details on what they've been doing the past 12 months and how they are planning to position themselves within the finance industry.
- [Corporate culture quantified?](#) Why a strong, yet adaptable, culture really can drive results.
- Is it insider information or just really good research? One view: Why the that [insider trading](#) should be legalized is weak.
- Is your company LinkedIn? Social media platform now offers [IR Web Report](#) -- just one more way to reach investors.

Member News

Welcome to NIRI-Chicago's newest member: Smooch Repovich Reynolds, CEO, Leadership Point Consulting LLC.

Thanks for reading the MEMBER CONNECTION.

If you have news to share -- promotions, new jobs, awards -- we'd love to tell our membership. Please send your information [here](#).

Janine Warell, Vice President, Communications