

# CA, INC. Reported by LOFGREN CHRISTOPHER B

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 04/04/17 for the Period Ending 03/31/17

Address 520 MADISON AVENUE

NEW YORK, NY 10022

Telephone 1-800-225-5224

CIK 0000356028

Symbol CA

SIC Code 7372 - Prepackaged Software

Industry Software

Sector Technology

Fiscal Year 03/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			•		<b>a</b> 1			100:1		- T	1: 0		1.	5 D 1 .: 1:	·		( ) . T	
1. Name and Address of Reporting Person *					2. ]	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Lofgren Ch	ristonha	r R			$\mathbf{C}$	<b>4.</b> 1	INC. [	CA 1					(	Check an ap	plicable)			
			Middle)		_		e of Earli		actic	n (M	M/DD/YYY	VV)		_X _ Director		1	0% Owner	
(Last	) (F1	rst) (N	viidale)		J. 1	Dan	or Larin	est ITans	actic	)11 (1VII	VI/DD/11	11)		Officer (gi	ve title below	)O	ther (specify	below)
520 MADIS	ON AV	ENUE						3/3	31/2	017								
	(S	treet)			4. ]	If A	mendme	nt, Date	Origi	nal F	iled (MM	/DD/	YYYY)	6. Individual	or Joint/G	roup Filing (	Check Appl	icable Line)
NEW YOR	K, NY 1	0022												X Form filed				
(0	City) (	State) (Z	Zip)											Form filed by	More than C	One Reporting P	erson	
									•	<del>, ´</del>	•			ficially Own			1.	
1. Title of Security (Instr. 3)	2. 1			2. Trans.		Execution		3. Trans. C (Instr. 8)	ode	or Disposed of (D)		Foll	Amount of Securities Beneficially Owned Following Reported Transaction(s)			6. Ownership		
						Date	e, if any			(Inst	r. 3, 4 and	5) 	(Ins	tr. 3 and 4)			Form: Direct (D)	Beneficial Ownership
										(A)	or		or Indi			or Indirect (I) (Instr.	et (Instr. 4)	
								Code	V	Amo			Price				4)	
	Та	hla II - Da	rivotivo	Sacurit	tiae l	Ran	oficially	Owned (	' a G	nut	e colle	war	rante o	ptions, conve	rtible sec	urities)		
Title of Derivate		3. Trans.	3A. Deen			DCII	5. Number					_	Title and A			9. Number of	10.	11. Nature
(Instr. 3)	Conversion or Exercise Price of Derivative Security		Execution Date, if a	Code	Code (Instr. 8)		Derivative Acquired (		Expiration			Sec	curities Un	erlying	Derivative			
			Dute, ir u	(		Disposed of (Instr. 3, 4		f(D)					str. 3 and 4		(Instr. 5)	Beneficially Owned	Derivative Security:	Ownership (Instr. 4)
							(111511. 3, 4	and 3)				-		Amount or	1	Following	Direct (D)	(111501.4)
				Co	ode	v	(A)	(D)	Date Exercisable			Expiration Date Title		Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Deferred Stock	(2)									(1)	(1)		Common			84368.506	_	
Units (1)	<u>(2)</u>	3/31/2017		A			1812.730			<u>(1)</u>	<u>(1)</u>		tock, \$0.10 par value	1812.736	\$0	<u>(3)</u>	D	
Explanation o	•																	
<ul><li>( Issued under</li><li>1) installment</li></ul>								ectors an	d to	be se	ttled by	issua	ance of s	hares of Com	mon Stoc	k either in a	lump sun	n or in
( Deferred S	tock Units	are conver	tible into	Comm	on S	Stoc	k on a on	e-for-one	e bas	is.								
,																		

Includes Deferred Stock Units having similar terms issued under the Company's prior compensation plans for non-employee directors.

#### Remarks:

Exhibit List Exhibit 24 - Power of Attorney

**Reporting Owners** 

_ 1								
Paparting Owner Name / Address	0	Relationships						
Reporting Owner Name / Addres	Director	10% Owner	Officer	Other				
Lofgren Christopher B								
520 MADISON AVENUE	X							
NEW YORK, NY 10022								

#### **Signatures**

/s/ Christopher B. Lofgren by Aaron M. Schleicher as attorney-in-fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### **POWER OF ATTORNEY**

The undersigned, Christopher B. Lofgren, hereby constitutes and appoints Michael C. Bisignano, Kristen W. Prohl and Aaron M. Schleicher, signing singly, as the undersigned's true and lawful attorney-in-fact to:

- (1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of CA, Inc. (the "Company"), Form ID and Forms 3, 4, and 5, and such other forms as may be required to be filed, in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder (each a "Section 16 Form");
- do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Section 16 Form, complete and execute any amendment or amendments thereto, and file such forms with the United States Securities and Exchange Commission and any stock exchange or similar authority; and
- (3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file any Section 16 Form with respect to the undersigned's holdings of and transactions in the securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact. This Power of Attorney supersedes any Power of Attorney executed by the undersigned relating to the authority to execute and deliver Section 16 Forms in the undersigned's capacity as an officer and/or director of the Company.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed on February 2, 2017.

/s/ Christopher B. Lofgren
Christopher B. Lofgren