

# ASHLAND GLOBAL HOLDINGS INC

Reported by  
**WULFSOHN WILLIAM A**

## FORM 5

(Annual Statement of Changes in Beneficial Ownership)

Filed 10/31/17 for the Period Ending 09/30/17

|             |   |
|-------------|---|
| Address     | 50 E RIVERCENTER BLVD<br>COVINGTON, KY, 41011 |
| Telephone   | 859-815-3333                                  |
| CIK         | 0001674862                                    |
| Symbol      | ASH   |
| Fiscal Year | 12/16   |

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL  
OMB Number: 3235-0362  
Estimated average burden  
hours per response... 1.0

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
[ ] Form 3 Holdings Reported  
[ ] Form 4 Transactions Reported

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

|  |  |   |
|--|--|---|
| <b>1. Name and Address of Reporting Person *</b><br><br><b>WULFSOHN WILLIAM A</b><br><br>(Last) (First) (Middle)<br><br><b>50 E. RIVERCENTER BLVD.</b><br><br>(Street)<br><br><b>COVINGTON, KY 41011</b><br><br>(City) (State) (Zip) | <b>2. Issuer Name and Ticker or Trading Symbol</b><br><br><b>ASHLAND GLOBAL HOLDINGS INC</b><br><b>[ASH]</b> | <b>5. Relationship of Reporting Person(s) to Issuer</b><br>(Check all applicable)<br><br><input checked="" type="checkbox"/> Director <span style="float:right">_____ 10% Owner</span><br><input checked="" type="checkbox"/> Officer (give title below) <span style="float:right">_____ Other (specify below)</span><br><b>Chief Executive Officer</b> |
| <b>3. Statement for Issuer's Fiscal Year Ended</b><br>(MM/DD/YYYY)<br><br><p align="center"><b>9/30/2017</b></p>   |  | <b>6. Individual or Joint/Group Filing</b> (Check Applicable Line)<br><br><input checked="" type="checkbox"/> Form Filed by One Reporting Person<br><input type="checkbox"/> Form Filed by More than One Reporting Person   |
| <b>4. If Amendment, Date Original Filed (MM/DD/YYYY)</b>   |  |   |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans. Date | 2A. Deemed Execution Date, if any | 3. Trans. Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|----------------|-----------------------------------|---------------------------|---|------------|-------|---|--|---|
|                                 |                |                                   |                           | Amount  | (A) or (D) | Price |   |  |   |
| Common Stock                    |                |                                   |                           |   |            |       | 73308 (1)   | D  |   |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)

| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date (MM/DD/YYYY) |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Issuer's Fiscal Year (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|----------------|-----------------------------------|---------------------------|--|-----|--|-----------------|---|----------------------------|--|---|--|--|
|  |  |                |                                   |                           | (A)  | (D) | Date Exercisable                                     | Expiration Date | Title   | Amount or Number of Shares |  |   |  |  |
| Restricted Stock Units                   |  |                |                                   |                           |  |     | (3)  | (3)             | Common Stock  | 102731                     |  | 102731 (4)  | D  |  |

#### Explanation of Responses:

- (1) Balance includes 37,577 shares of unvested Restricted tock. Balance also includes 260 additional shares acquired in lieu of cash dividends (exempt pursuant to Rule 16b-3) pursuant to Ashland's incentive plans and applicable restricted stock agreements (125 shares acquired on June 15, 2017 and 135 shares on September 15, 2017).
- (2) Each Restricted Stock Unit represents a right to receive one (1) share of Ashland Common Stock.
- (3) Grant of Restricted Stock Units, pursuant to Ashland's incentive plan as approved by the shareholders and exempt pursuant to Rule 16b-3. The shares in this grant will vest in three equal annual installments beginning one year from the date of grant, provided that the Reporting Person remains in continuous employment with the Issuer.
- (4) Balance includes 118 additional Restricted Stock Units acquired in lieu of cash dividends on September 15, 2017. The total includes prior awards that have different vesting and expiration dates.

#### Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                |       |
|---|---------------|-----------|--------------------------------|-------|
|   | Director      | 10% Owner | Officer                        | Other |
| <b>WULFSOHN WILLIAM A</b><br><b>50 E. RIVERCENTER BLVD.</b><br><b>COVINGTON, KY 41011</b> | <b>X</b>      |           | <b>Chief Executive Officer</b> |       |

#### Signatures

/s/ Jennifer I. Henkel, Attorney-in-Fact

10/31/2017

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.