

ROLLING HISTORY

TD AMERITRADE HOLDING CORP.

Monthly Metrics	2009	2009	2009	2009	2009	2009	2009	2009	2009	2009	2008	2008	
	Nov	Oct	Sep	Aug	Jul	Jun (1)	May	Apr	Mar	Feb	Jan	Dec	Nov
Trading Days	19.5	22.0	21.0	21.0	22.0	22.0	20.0	21.0	22.0	19.0	20.0	21.5	18.5
Average Trades/Day (K) <sup>(2)</sup>	370	420	431	431	371	378	408	390	358	306	306	302	354
Client Assets (\$B)	\$ 309.8	\$ 297.3	\$ 302.0	\$ 289.4	\$ 280.5	\$ 265.0	\$ 258.1	\$ 244.3	\$ 224.9	\$ 210.4	\$ 224.0	\$ 233.8	\$ 228.0
Avg. Interest Earning Assets (\$B)	\$ 15.3	\$ 15.5	\$ 15.3	\$ 14.7	\$ 14.2	\$ 13.0	\$ 8.8	\$ 8.2	\$ 7.3	\$ 7.3	\$ 7.4	\$ 7.4	\$ 7.2
Avg. IDA Balances (\$B) <sup>(3)</sup>	\$ 32.9	\$ 30.8	\$ 30.0	\$ 28.5	\$ 26.5	\$ 24.6	\$ 21.9	\$ 21.0	\$ 20.0	\$ 18.9	\$ 18.9	\$ 18.4	\$ 17.8
Avg. Spread-Based Balances (\$B)	\$ 48.1	\$ 46.3	\$ 45.3	\$ 43.1	\$ 40.8	\$ 37.6	\$ 30.7	\$ 29.2	\$ 27.3	\$ 26.2	\$ 26.4	\$ 25.8	\$ 25.0
Avg. Fee-Based Balances (\$B)	\$ 58.5	\$ 59.0	\$ 58.6	\$ 57.0	\$ 55.5	\$ 56.5	\$ 61.1	\$ 59.3	\$ 57.2	\$ 58.8	\$ 60.8	\$ 60.9	\$ 61.5
Avg. Conduit-Based Balances (\$B)	\$ 0.6	\$ 0.6	\$ 0.7	\$ 0.7	\$ 0.9	\$ 1.0	\$ 1.2	\$ 1.3	\$ 1.4	\$ 1.4	\$ 1.4	\$ 1.3	\$ 1.3

Quarterly Metrics	FY09	FY09	FY09	FY09	FY08
	Sep Q	Jun Q <sup>(1)</sup>	Mar Q	Dec Q	Sep Q
Trading Days	64.0	63.0	61.0	63.0	63.5
Average Trades/Day (K) <sup>(2)</sup>	411	392	325	357	305
Client Assets (\$B)	\$ 302.0	\$ 265.0	\$ 224.9	\$ 233.8	\$ 278.0
Net New Assets (\$B) <sup>(4)</sup>	\$ 5.4	\$ 6.9	\$ 6.4	\$ 7.8	\$ 2.8
Avg. Interest Earning Assets (\$B)	\$ 14.7	\$ 10.0	\$ 7.3	\$ 7.5	\$ 9.6
Avg. IDA Balances (\$B) <sup>(3)</sup>	\$ 28.3	\$ 22.5	\$ 19.3	\$ 17.9	\$ 16.2
Avg. Spread-Based Balances (\$B)	\$ 43.0	\$ 32.5	\$ 26.6	\$ 25.4	\$ 25.8
Avg. Fee-Based Balances (\$B)	\$ 57.0	\$ 59.0	\$ 58.9	\$ 62.8	\$ 75.4
Avg. Conduit-Based Balances (\$B)	\$ 0.8	\$ 1.2	\$ 1.4	\$ 1.6	\$ 4.4
New Accounts (K)	151	176	194	217	137
Purchased Accounts (K)	-	197	-	-	-
Closed Accounts (K)	79	77	51	60	52
Total Accounts (K) <sup>(5)</sup>	7,563	7,491	7,195	7,052	6,895
Funded Accounts (K) <sup>(6)</sup>	5,279	5,291	5,105	5,013	4,918

(1) - Beginning June 12, 2009, assets/accounts/trades include those acquired through the purchase of thinkorswim Group Inc.

(2) - Effective in Oct 2007, Average Trades/Day have been revised to exclude non-revenue generating mutual fund trades.

(3) - Formerly known as money market deposit account (MMDA). Cash is held in an FDIC-insured deposit account (IDA) at TD Bank USA, N.A. TD AMERITRADE and TD Bank USA, N.A. are affiliated through The Toronto- Dominion Bank.

(4) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.

(5) - All open client accounts (funded and unfunded), except clearing accounts.

(6) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.

\*NOTE: Certain totals may not foot due to rounding.

## TD AMERITRADE HOLDING CORP.

Monthly Metrics	2008	2008	2008	2009	2009	2009	2009	2009	2009	2009	2009	2009
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun <sup>(1)</sup>	Jul	Aug	Sep
Trading Days	23.0	18.5	21.5	20.0	19.0	22.0	21.0	20.0	22.0	22.0	21.0	21.0
Average Trades/Day (K) <sup>(2)</sup>	411	354	302	306	306	358	390	408	378	371	431	431
Client Assets (\$B)	\$ 241.0	\$ 228.0	\$ 233.8	\$ 224.0	\$ 210.4	\$ 224.9	\$ 244.3	\$ 258.1	\$ 265.0	\$ 280.5	\$ 289.4	\$ 302.0
Avg. Interest Earning Assets (\$B)	\$ 7.9	\$ 7.2	\$ 7.4	\$ 7.4	\$ 7.3	\$ 7.3	\$ 8.2	\$ 8.8	\$ 13.0	\$ 14.2	\$ 14.7	\$ 15.3
Avg. IDA Balances (\$B) <sup>(3)</sup>	\$ 17.6	\$ 17.8	\$ 18.4	\$ 18.9	\$ 18.9	\$ 20.0	\$ 21.0	\$ 21.9	\$ 24.6	\$ 26.5	\$ 28.5	\$ 30.0
Avg. Spread-Based Balances (\$B)	\$ 25.5	\$ 25.0	\$ 25.8	\$ 26.4	\$ 26.2	\$ 27.3	\$ 29.2	\$ 30.7	\$ 37.6	\$ 40.8	\$ 43.1	\$ 45.3
Avg. Fee-Based Balances (\$B)	\$ 65.9	\$ 61.5	\$ 60.9	\$ 60.8	\$ 58.8	\$ 57.2	\$ 59.3	\$ 61.1	\$ 56.5	\$ 55.5	\$ 57.0	\$ 58.6
Avg. Conduit-Based Balances (\$B)	\$ 2.2	\$ 1.3	\$ 1.3	\$ 1.4	\$ 1.4	\$ 1.4	\$ 1.3	\$ 1.2	\$ 1.0	\$ 0.9	\$ 0.7	\$ 0.7

Quarterly Metrics	FY09	FY09	FY09	FY09	FY09
	Dec Q	Mar Q	Jun Q <sup>(1)</sup>	Sep Q	
Trading Days	63.0	61.0	63.0	64.0	251.0
Average Trades/Day (K) <sup>(2)</sup>	357	325	392	411	372
Client Assets (\$B)	\$ 233.8	\$ 224.9	\$ 265.0	\$ 302.0	\$ 302.0
Net New Assets (\$B) <sup>(4)</sup>	\$ 7.8	\$ 6.4	\$ 6.9	\$ 5.4	\$ 26.6
Avg. Interest Earning Assets (\$B)	\$ 7.5	\$ 7.3	\$ 10.0	\$ 14.7	\$ 9.9
Avg. IDA Balances (\$B) <sup>(3)</sup>	\$ 17.9	\$ 19.3	\$ 22.5	\$ 28.3	\$ 22.0
Avg. Spread-Based Balances (\$B)	\$ 25.4	\$ 26.6	\$ 32.5	\$ 43.0	\$ 31.9
Avg. Fee-Based Balances (\$B)	\$ 62.8	\$ 58.9	\$ 59.0	\$ 57.0	\$ 59.4
Avg. Conduit-Based Balances (\$B)	\$ 1.6	\$ 1.4	\$ 1.2	\$ 0.8	\$ 1.2
New Accounts (K)	217	194	176	151	737
Purchased Accounts (K)	-	-	197	-	197
Closed Accounts (K)	60	51	77	79	266
Total Accounts (K) <sup>(5)</sup>	7,052	7,195	7,491	7,563	7,563
Funded Accounts (K) <sup>(6)</sup>	5,013	5,105	5,291	5,279	5,279

(1) - Beginning June 12, 2009, assets/accounts/trades include those acquired through the purchase of thinkorswim Group Inc.

(2) - Effective in Oct 2007, Average Trades/Day have been revised to exclude non-revenue generating mutual fund trades.

(3) - Formerly known as money market deposit account (MMDA). Cash is held in an FDIC-insured deposit account (IDA) at TD Bank USA, N.A. TD AMERITRADE and TD Bank USA, N.A. are affiliated through The Toronto-Dominion Bank.

(4) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.

(5) - All open client accounts (funded and unfunded), except clearing accounts.

(6) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.

\*NOTE: Certain totals may not foot due to rounding.

## TD AMERITRADE HOLDING CORP.

Monthly Metrics	2007	2007	2007	2008	2008	2008	2008	2008	2008	2008	2008	2008
	Oct	Nov	Dec	Jan	Feb <sup>(1)</sup>	Mar	Apr	May	Jun	Jul	Aug	Sep
Trading Days	23.0	20.5	19.5	21.0	20.0	20.0	22.0	21.0	21.0	21.5	21.0	21.0
Average Trades/Day (K) <sup>(2)</sup>	325	329	277	332	274	296	287	296	279	306	252	356
Client Assets (\$B)	\$ 312.9	\$ 300.5	\$ 300.4	\$ 287.9	\$ 310.1	\$ 306.1	\$ 319.7	\$ 326.3	\$ 309.2	\$ 304.5	\$ 303.7	\$ 278.0
Avg. Interest Earning Assets (\$B)	\$ 9.1	\$ 9.7	\$ 9.8	\$ 9.6	\$ 10.1	\$ 10.0	\$ 9.9	\$ 10.3	\$ 10.8	\$ 10.3	\$ 9.6	\$ 8.8
Avg. IDA Balances (\$B) <sup>(3)</sup>	\$ 15.5	\$ 15.1	\$ 15.2	\$ 15.5	\$ 15.6	\$ 15.4	\$ 15.8	\$ 15.7	\$ 15.4	\$ 15.6	\$ 16.2	\$ 16.8
Avg. Spread-Based Balances (\$B)	\$ 24.6	\$ 24.8	\$ 25.0	\$ 25.1	\$ 25.7	\$ 25.4	\$ 25.7	\$ 26.0	\$ 26.2	\$ 25.9	\$ 25.8	\$ 25.6
Avg. Fee-Based Balances (\$B)	\$ 58.3	\$ 58.3	\$ 59.1	\$ 59.1	\$ 77.3	\$ 76.6	\$ 78.4	\$ 79.2	\$ 77.5	\$ 75.8	\$ 76.1	\$ 74.3
Avg. Conduit-Based Balances (\$B)	\$ 6.1	\$ 6.1	\$ 6.1	\$ 5.9	\$ 6.0	\$ 5.4	\$ 5.3	\$ 5.6	\$ 5.4	\$ 4.8	\$ 4.4	\$ 4.2

Quarterly Metrics	FY08	FY08	FY08	FY08	FY08
	Dec Q	Mar Q <sup>(1)</sup>	Jun Q	Sep Q	
Trading Days	63.0	61.0	64.0	63.5	251.5
Average Trades/Day (K) <sup>(2)</sup>	311	301	287	305	301
Client Assets (\$B)	\$ 300.4	\$ 306.1	\$ 309.2	\$ 278.0	\$ 278.0
Net New Assets (\$B) <sup>(4)</sup>	\$ 9.1	\$ 6.9	\$ 4.0	\$ 2.8	\$ 22.8
Avg. Interest Earning Assets (\$B)	\$ 9.5	\$ 9.9	\$ 10.4	\$ 9.6	\$ 9.9
Avg. IDA Balances (\$B) <sup>(3)</sup>	\$ 15.3	\$ 15.5	\$ 15.6	\$ 16.2	\$ 15.6
Avg. Spread-Based Balances (\$B)	\$ 24.8	\$ 25.4	\$ 26.0	\$ 25.8	\$ 25.5
Avg. Fee-Based Balances (\$B)	\$ 58.6	\$ 70.8	\$ 78.3	\$ 75.4	\$ 70.8
Avg. Conduit-Based Balances (\$B)	\$ 6.1	\$ 5.8	\$ 5.4	\$ 4.4	\$ 5.4
New Accounts (K)	149	214	148	137	648
Purchased Accounts (K)	-	102	-	-	102
Closed Accounts (K)	54	60	69	52	235
Total Accounts (K) <sup>(5)</sup>	6,475	6,731	6,810	6,895	6,895
Funded Accounts (K) <sup>(6)</sup>	4,643	4,814	4,868	4,918	4,918

(1) - Beginning February 5, 2008, assets/accounts include those acquired through the purchase of Fiserv's Investment Support Services business.

(2) - Effective in Oct 2007, Average Trades/Day have been revised to exclude non-revenue generating mutual fund trades.

(3) - Formerly known as money market deposit account (MMDA). Cash is held in an FDIC-insured deposit account (IDA) at TD Bank USA, N.A. TD AMERITRADE and TD Bank USA, N.A. are affiliated through The Toronto-Dominion Bank.

(4) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.

(5) - All open client accounts (funded and unfunded), except clearing accounts.

(6) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.

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**FY07**  
**TD AMERITRADE HOLDING CORP.**

Monthly Metrics	2006	2006	2006	2007	2007	2007	2007	2007	2007	2007	2007	2007
	Oct	Nov	Dec	Jan	Feb *	Mar	Apr	May	Jun	Jul	Aug	Sep
Trading Days	20.0	18.5	24.0	17.0	22.0	22.0	20.0	22.0	21.0	20.5	23.0	19.0
Average Trades/Day (K)	232	248	233	266	264	234	253	247	234	284	283	265
Client Assets (\$B)	\$ 270.5	\$ 276.1	\$ 278.2	\$ 280.5	\$ 279.3	\$ 282.2	\$ 292.0	\$ 300.0	\$ 297.2	\$ 292.5	\$ 290.1	\$ 302.7
Avg. Interest Earning Assets (\$B)	\$ 9.3	\$ 9.1	\$ 9.2	\$ 9.0	\$ 9.2	\$ 9.2	\$ 9.1	\$ 9.3	\$ 9.8	\$ 9.7	\$ 8.8	\$ 8.8
Avg. IDA Balances (\$B) <sup>(1)</sup>	\$ 14.2	\$ 14.5	\$ 14.5	\$ 14.8	\$ 14.7	\$ 14.8	\$ 14.8	\$ 15.4	\$ 15.6	\$ 15.3	\$ 15.1	\$ 15.2
Avg. Spread-Based Balances (\$B)	\$ 23.5	\$ 23.6	\$ 23.7	\$ 23.9	\$ 23.9	\$ 24.0	\$ 23.9	\$ 24.7	\$ 25.4	\$ 25.0	\$ 23.9	\$ 24.0
Avg. Fee-Based Balances (\$B)	\$ 43.3	\$ 44.8	\$ 46.0	\$ 47.5	\$ 48.1	\$ 49.3	\$ 50.2	\$ 51.2	\$ 51.5	\$ 53.5	\$ 54.7	\$ 56.0
Avg. Conduit-Based Balances (\$B)	\$ 4.2	\$ 4.2	\$ 4.8	\$ 4.8	\$ 5.3	\$ 5.7	\$ 6.2	\$ 6.0	\$ 5.9	\$ 5.9	\$ 5.5	\$ 5.6

Quarterly Metrics	FY07	FY07 *	FY07	FY07	FY07
	Dec Q	Mar Q **	Jun Q	Sep Q	
Trading Days	62.5	61.0	63.0	62.5	249.0
Average Trades/Day (K)	238	254	245	278	253
Client Assets (\$B)	\$ 278.2	\$ 282.2	\$ 297.2	\$ 302.7	\$ 302.7
Net New Assets (\$B) <sup>(2)</sup>	\$ 5.1	\$ 2.7	\$ 1.8	\$ 2.8	\$ 12.4
Avg. Interest Earning Assets (\$B)	\$ 9.2	\$ 9.1	\$ 9.4	\$ 9.1	\$ 9.3
Avg. IDA Balances (\$B) <sup>(1)</sup>	\$ 14.4	\$ 14.8	\$ 15.3	\$ 15.2	\$ 14.9
Avg. Spread-Based Balances (\$B)	\$ 23.6	\$ 23.9	\$ 24.7	\$ 24.3	\$ 24.2
Avg. Fee-Based Balances (\$B)	\$ 44.7	\$ 48.3	\$ 51.0	\$ 54.7	\$ 49.7
Avg. Conduit-Based Balances (\$B)	\$ 4.4	\$ 5.3	\$ 6.0	\$ 5.7	\$ 5.3
New Accounts (K)	109	166	152	127	554
Purchased Accounts (K)	-	-	-	-	-
Closed Accounts (K)	40	196	61	68	365
Total Accounts (K) <sup>(3)</sup>	6,260	6,230	6,321	6,380	6,380
Funded Accounts (K) <sup>(4)</sup>	4,394	4,459	4,585	4,597	4,597

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(2) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.

(3) - All open client accounts (funded and unfunded), except clearing accounts.

(4) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.

\*NOTE: Beginning February 2007, all metrics are calculated through the last calendar day of the month.

Due to the change from fiscal to calendar reporting, February 2007 metrics include activity from January 29th, 30th, and 31st, 2007.

\*\*NOTE: Approximately 152,000 legacy TDW accounts were closed as part of a dormant account clean-up.

\*\*\*NOTE: Certain totals may not foot due to rounding.