**ROLLING HISTORY**
**TD AMERITRADE HOLDING CORP.**

| *Monthly Metrics* | 2013 Mar | 2013 Feb | 2013 Jan | 2012 Dec | 2012 Nov | 2012 Oct | 2012 Sep | 2012 Aug | 2012 Jul | 2012 Jun | 2012 May | 2012 Apr | 2012 Mar |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Trading Days | 20.0 | 19.0 | 21.0 | 19.5 | 20.5 | 21.0 | 19.0 | 23.0 | 20.5 | 21.0 | 22.0 | 20.0 | 22.0 |
| Average Trades/Day (K) | 361 | 386 | 387 | 331 | 341 | 330 | 355 | 303 | 332 | 328 | 370 | 368 | 381 |
| Client Assets ($B) | $ 516.8 | $502.5 | $ 499.3 | $ 480.8 | $ 475.1 | $ 469.9 | $ 472.3 | $ 461.2 | $ 449.3 | $ 445.0 | $ 431.7 | $ 450.9 | $ 452.4 |
| Avg. Interest Earning Assets ($B) (1) | $ 15.6 | $ 15.4 | $ 15.6 | $ 15.4 | $ 15.1 | $ 14.8 | $ 14.9 | $ 14.8 | $ 14.6 | $ 14.1 | $ 15.3 | $ 16.3 | $ 16.1 |
| Avg. IDA Balances ($B) (2) | $ 67.0 | $ 66.4 | $ 67.8 | $ 65.8 | $ 63.9 | $ 62.9 | $ 62.3 | $ 61.5 | $ 60.4 | $ 60.5 | $ 58.5 | $ 58.0 | $ 58.2 |
| Avg. Spread-Based Balances ($B) | $ 82.7 | $ 81.8 | $ 83.4 | $ 81.1 | $ 79.0 | $ 77.7 | $ 77.2 | $ 76.3 | $ 75.0 | $ 74.6 | $ 73.7 | $ 74.3 | $ 74.4 |
| Avg. Fee-Based Balances ($B) | $ 114.0 | $110.8 | $ 107.8 | $ 102.4 | $ 99.4 | $ 98.1 | $ 96.7 | $ 93.4 | $ 90.7 | $ 87.8 | $ 87.8 | $ 89.0 | $ 88.0 |

| *Quarterly Metrics* | FY13 Mar Q | FY13 Dec Q | FY12 Sep Q | FY12 Jun Q | FY12 Mar Q |
|---|---|---|---|---|---|
| Trading Days | 60.0 | 61.0 | 62.5 | 63.0 | 62.0 |
| Average Trades/Day (K) | 378 | 334 | 328 | 355 | 388 |
| Client Assets ($B) | $ 516.8 | $480.8 | $ 472.3 | $ 445.0 | $ 452.4 |
| Net New Assets ($B) (3) | $ 12.9 | $ 15.6 | $ 10.1 | $ 9.7 | $ 10.8 |
| Avg. Interest Earning Assets ($B) (1) | $ 15.5 | $ 15.1 | $ 14.8 | $ 15.2 | $ 15.7 |
| Avg. IDA Balances ($B) (2) | $ 67.1 | $ 64.2 | $ 61.4 | $ 59.0 | $ 58.4 |
| Avg. Spread-Based Balances ($B) | $ 82.6 | $ 79.3 | $ 76.2 | $ 74.2 | $ 74.1 |
| Avg. Fee-Based Balances ($B) | $ 110.9 | $100.0 | $ 93.6 | $ 88.2 | $ 84.9 |
| New Accounts (K) | 197 | 174 | 190 | 171 | 183 |
| Funded Accounts (K) (4) | 5,880 | 5,836 | 5,764 | 5,736 | 5,703 |

(1) - Avg. Interest Earning Assets includes Conduit-Based Assets
(2) - Client cash is held in FDIC-insured deposit accounts (IDA) at TD Bank, N.A. and TD Bank USA, N.A.
TD Ameritrade, TD Bank, N.A., and TD Bank USA, N.A. are affiliated through The Toronto-Dominion Bank.
(3) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.
(4) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.
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**FY12**
**TD AMERITRADE HOLDING CORP.**

| *Monthly Metrics* | 2011 Oct | 2011 Nov | 2011 Dec | 2012 Jan | 2012 Feb | 2012 Mar | 2012 Apr | 2012 May | 2012 Jun | 2012 Jul | 2012 Aug | 2012 Sep |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Trading Days | 21.0 | 20.5 | 21.0 | 20.0 | 20.0 | 22.0 | 20.0 | 22.0 | 21.0 | 20.5 | 23.0 | 19.0 |
| Average Trades/Day (K) | 407 | 377 | 318 | 374 | 409 | 381 | 368 | 370 | 328 | 332 | 303 | 355 |
| Client Assets ($B) | $ 405.2 | $ 404.2 | $ 406.3 | $ 426.3 | $ 441.8 | $ 452.4 | $ 450.9 | $ 431.7 | $ 445.0 | $ 449.3 | $ 461.2 | $ 472.3 |
| Avg. Interest Earning Assets ($B) (1) | $ 12.9 | $ 14.1 | $ 14.6 | $ 15.5 | $ 15.6 | $ 16.1 | $ 16.3 | $ 15.3 | $ 14.1 | $ 14.6 | $ 14.8 | $ 14.9 |
| Avg. IDA Balances ($B) (2) | $ 58.0 | $ 59.1 | $ 59.2 | $ 58.7 | $ 58.2 | $ 58.2 | $ 58.0 | $ 58.5 | $ 60.5 | $ 60.4 | $ 61.5 | $ 62.3 |
| Avg. Spread-Based Balances ($B) | $ 70.9 | $ 73.1 | $ 73.8 | $ 74.2 | $ 73.7 | $ 74.4 | $ 74.3 | $ 73.7 | $ 74.6 | $ 75.0 | $ 76.3 | $ 77.2 |
| Avg. Fee-Based Balances ($B) | $ 77.6 | $ 77.8 | $ 78.3 | $ 81.4 | $ 85.4 | $ 88.0 | $ 89.0 | $ 87.8 | $ 87.8 | $ 90.7 | $ 93.4 | $ 96.7 |

| *Quarterly Metrics* | FY12 Dec Q | FY12 Mar Q | FY12 Jun Q | FY12 Sep Q | FY12 |
|---|---|---|---|---|---|
| Trading Days | 62.5 | 62.0 | 63.0 | 62.5 | 250.0 |
| Average Trades/Day (K) | 367 | 388 | 355 | 328 | 360 |
| Client Assets ($B) | $ 406.3 | $ 452.4 | $ 445.0 | $ 472.3 | $ 472.3 |
| Net New Assets ($B) (3) | $ 10.2 | $ 10.8 | $ 9.7 | $ 10.1 | $ 40.8 |
| Avg. Interest Earning Assets ($B) (1) | $ 13.8 | $ 15.7 | $ 15.2 | $ 14.8 | $ 14.9 |
| Avg. IDA Balances ($B) (2) | $ 58.8 | $ 58.4 | $ 59.0 | $ 61.4 | $ 59.4 |
| Avg. Spread-Based Balances ($B) | $ 72.6 | $ 74.1 | $ 74.2 | $ 76.2 | $ 74.3 |
| Avg. Fee-Based Balances ($B) | $ 77.9 | $ 84.9 | $ 88.2 | $ 93.6 | $ 86.1 |
| New Accounts (K) | 140 | 183 | 171 | 190 | 684 |
| Funded Accounts (K) (4) | 5,645 | 5,703 | 5,736 | 5,764 | 5,764 |

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(4) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.
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**FY11**
**TD AMERITRADE HOLDING CORP.**

| *Monthly Metrics* | 2010 Oct | 2010 Nov | 2010 Dec | 2011 Jan | 2011 Feb | 2011 Mar | 2011 Apr | 2011 May | 2011 Jun | 2011 Jul | 2011 Aug | 2011 Sep |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Trading Days | 21.0 | 20.5 | 22.0 | 20.0 | 19.0 | 23.0 | 20.0 | 21.0 | 22.0 | 20.0 | 23.0 | 21.0 |
| Average Trades/Day (K) | 362 | 392 | 362 | 452 | 453 | 416 | 402 | 378 | 333 | 364 | 484 | 390 |
| Client Assets ($B) | $ 366.7 | $ 369.2 | $ 386.4 | $ 394.5 | $ 407.5 | $ 412.3 | $ 423.1 | $ 419.6 | $ 413.7 | $ 412.5 | $ 400.5 | $ 378.7 |
| Avg. Interest Earning Assets ($B) (1) | $ 13.3 | $ 13.2 | $ 13.4 | $ 13.7 | $ 14.0 | $ 14.1 | $ 14.4 | $ 14.4 | $ 14.3 | $ 14.7 | $ 13.3 | $ 12.6 |
| Avg. IDA Balances ($B) (2) | $ 44.2 | $ 44.6 | $ 45.4 | $ 46.2 | $ 46.7 | $ 47.5 | $ 47.5 | $ 48.5 | $ 49.8 | $ 50.9 | $ 54.8 | $ 56.4 |
| Avg. Spread-Based Balances ($B) | $ 57.5 | $ 57.9 | $ 58.8 | $ 59.9 | $ 60.8 | $ 61.6 | $ 61.9 | $ 62.8 | $ 64.1 | $ 65.6 | $ 68.0 | $ 68.9 |
| Avg. Fee-Based Balances ($B) | $ 71.7 | $ 72.5 | $ 74.1 | $ 76.7 | $ 78.7 | $ 79.6 | $ 81.9 | $ 82.5 | $ 81.6 | $ 83.7 | $ 79.0 | $ 77.6 |

| *Quarterly Metrics* | FY11 Dec Q | FY11 Mar Q | FY11 Jun Q | FY11 Sep Q | | FY11 |
|---|---|---|---|---|---|---|
| Trading Days | 63.5 | 62.0 | 63.0 | 64.0 | | 252.5 |
| Average Trades/Day (K) | 372 | 439 | 370 | 416 | | 399 |
| Client Assets ($B) | $ 386.4 | $ 412.3 | $ 413.7 | $ 378.7 | | $ 378.7 |
| Net New Assets ($B) (3) | $ 9.7 | $ 11.5 | $ 7.9 | $ 12.4 | | $ 41.5 |
| Avg. Interest Earning Assets ($B) (1) | $ 13.4 | $ 13.9 | $ 14.4 | $ 13.5 | | $ 13.8 |
| Avg. IDA Balances ($B) (2) | $ 44.7 | $ 46.8 | $ 48.6 | $ 54.0 | | $ 48.5 |
| Avg. Spread-Based Balances ($B) | $ 58.1 | $ 60.7 | $ 63.0 | $ 67.5 | | $ 62.3 |
| Avg. Fee-Based Balances ($B) | $ 72.7 | $ 78.3 | $ 82.0 | $ 80.1 | | $ 78.3 |
| New Accounts (K) | 164 | 176 | 154 | 150 | | 645 |
| Funded Accounts (K) (4) | 5,491 | 5,547 | 5,592 | 5,617 | | 5,617 |

(1) - Avg. Interest Earning Assets includes Conduit-Based Assets
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(3) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.
(4) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.
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**FY10**
**TD AMERITRADE HOLDING CORP.**

| *Monthly Metrics* | 2009 Oct | 2009 Nov | 2009 Dec | 2010 Jan | 2010 Feb | 2010 Mar | 2010 Apr | 2010 May | 2010 Jun | 2010 Jul | 2010 Aug | 2010 Sep |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Trading Days | 22.0 | 19.5 | 21.5 | 19.0 | 19.0 | 23.0 | 21.0 | 20.0 | 22.0 | 21.0 | 22.0 | 21.0 |
| Average Trades/Day (K) | 420 | 370 | 344 | 421 | 347 | 370 | 426 | 484 | 337 | 327 | 309 | 318 |
| Client Assets ($B) | $ 297.3 | $ 309.8 | $ 318.6 | $ 314.5 | $ 324.0 | $ 341.5 | $ 350.5 | $ 332.4 | $ 323.8 | $ 340.1 | $ 332.0 | $ 354.8 |
| Avg. Interest Earning Assets ($B) (1) | $ 16.1 | $ 15.8 | $ 16.3 | $ 14.3 | $ 12.9 | $ 13.3 | $ 13.6 | $ 13.1 | $ 12.5 | $ 12.3 | $ 12.3 | $ 12.5 |
| Avg. IDA Balances ($B) (2) | $ 30.8 | $ 32.9 | $ 34.1 | $ 37.5 | $ 40.0 | $ 40.5 | $ 41.0 | $ 41.8 | $ 42.6 | $ 42.8 | $ 42.8 | $ 43.6 |
| Avg. Spread-Based Balances ($B) | $ 46.9 | $ 48.7 | $ 50.4 | $ 51.7 | $ 52.9 | $ 53.8 | $ 54.6 | $ 54.9 | $ 55.1 | $ 55.1 | $ 55.1 | $ 56.2 |
| Avg. Fee-Based Balances ($B) | $ 59.0 | $ 58.5 | $ 57.8 | $ 58.7 | $ 58.1 | $ 61.5 | $ 64.1 | $ 62.2 | $ 60.8 | $ 63.4 | $ 66.4 | $ 68.1 |

| *Quarterly Metrics* | FY10 Dec Q | FY10 Mar Q | FY10 Jun Q | FY10 Sep Q | | FY10 |
|---|---|---|---|---|---|---|
| Trading Days | 63.0 | 61.0 | 63.0 | 64.0 | | 251.0 |
| Average Trades/Day (K) | 379 | 379 | 413 | 318 | | 372 |
| Client Assets ($B) | $ 318.6 | $ 341.5 | $ 323.8 | $ 354.8 | | $ 354.8 |
| Net New Assets ($B) (3) | $ 8.7 | $ 10.2 | $ 8.9 | $ 6.0 | | $ 33.9 |
| Avg. Interest Earning Assets ($B) (1) | $ 16.1 | $ 13.5 | $ 13.0 | $ 12.4 | | $ 13.7 |
| Avg. IDA Balances ($B) (2) | $ 32.6 | $ 39.3 | $ 41.8 | $ 43.1 | | $ 39.2 |
| Avg. Spread-Based Balances ($B) | $ 48.7 | $ 52.8 | $ 54.8 | $ 55.5 | | $ 52.9 |
| Avg. Fee-Based Balances ($B) | $ 58.5 | $ 59.5 | $ 62.4 | $ 65.9 | | $ 61.6 |
| New Accounts (K) | 180 | 187 | 175 | 125 | | 667 |
| Funded Accounts (K) (4) | 5,327 | 5,379 | 5,440 | 5,455 | | 5,455 |

(1) - Avg. Interest Earning Assets includes Conduit-Based Assets
(2) - Client cash is held in FDIC-insured deposit accounts (IDA) at TD Bank, N.A. and TD Bank USA, N.A.
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(3) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.
(4) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.
*NOTE: Certain totals may not foot due to rounding.
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