

**ROLLING HISTORY
TD AMERITRADE HOLDING CORP.**

| Monthly Metrics | 2010 | 2010 | 2010 | 2010 | 2010 | 2010 | 2010 | 2009 | 2009 | 2009 | 2009 | 2009 | 2009 |
|--|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| | Jul | Jun | May | Apr | Mar | Feb | Jan | Dec | Nov | Oct | Sep | Aug | Jul |
| Trading Days | 21.0 | 22.0 | 20.0 | 21.0 | 23.0 | 19.0 | 19.0 | 21.5 | 19.5 | 22.0 | 21.0 | 21.0 | 22.0 |
| Average Trades/Day (K) | 327 | 337 | 484 | 426 | 370 | 347 | 421 | 344 | 370 | 420 | 431 | 431 | 371 |
| Client Assets (\$B) | \$ 340.1 | \$ 323.8 | \$ 332.4 | \$ 350.5 | \$ 341.5 | \$ 324.0 | \$ 314.5 | \$ 318.6 | \$ 309.8 | \$ 297.3 | \$ 302.0 | \$ 289.4 | \$ 280.5 |
| Avg. Interest Earning Assets (\$B) | \$ 12.0 | \$ 12.1 | \$ 12.6 | \$ 13.0 | \$ 12.8 | \$ 12.3 | \$ 13.7 | \$ 15.8 | \$ 15.3 | \$ 15.5 | \$ 15.3 | \$ 14.7 | \$ 14.2 |
| Avg. IDA Balances (\$B) ⁽²⁾ | \$ 42.8 | \$ 42.6 | \$ 41.8 | \$ 41.0 | \$ 40.5 | \$ 40.0 | \$ 37.5 | \$ 34.1 | \$ 32.9 | \$ 30.8 | \$ 30.0 | \$ 28.5 | \$ 26.5 |
| Avg. Spread-Based Balances (\$B) | \$ 54.8 | \$ 54.7 | \$ 54.4 | \$ 54.0 | \$ 53.3 | \$ 52.4 | \$ 51.2 | \$ 49.9 | \$ 48.1 | \$ 46.3 | \$ 45.3 | \$ 43.1 | \$ 40.8 |
| Avg. Fee-Based Balances (\$B) | \$ 63.4 | \$ 60.8 | \$ 62.2 | \$ 64.1 | \$ 61.5 | \$ 58.1 | \$ 58.7 | \$ 57.8 | \$ 58.5 | \$ 59.0 | \$ 58.6 | \$ 57.0 | \$ 55.5 |
| Avg. Conduit-Based Balances (\$B) | \$ 0.4 | \$ 0.4 | \$ 0.5 | \$ 0.6 | \$ 0.5 | \$ 0.5 | \$ 0.6 | \$ 0.5 | \$ 0.6 | \$ 0.6 | \$ 0.7 | \$ 0.7 | \$ 0.9 |

| Quarterly Metrics | FY10 | FY10 | FY10 | FY09 | FY09 |
|--|----------|----------|----------|----------|----------------------|
| | Jun Q | Mar Q | Dec Q | Sep Q | Jun Q ⁽¹⁾ |
| Trading Days | 63.0 | 61.0 | 63.0 | 64.0 | 63.0 |
| Average Trades/Day (K) | 413 | 379 | 379 | 411 | 392 |
| Client Assets (\$B) | \$ 323.8 | \$ 341.5 | \$ 318.6 | \$ 302.0 | \$ 265.0 |
| Net New Assets (\$B) ⁽³⁾ | \$ 8.9 | \$ 10.2 | \$ 8.7 | \$ 5.4 | \$ 6.9 |
| Avg. Interest Earning Assets (\$B) | \$ 12.6 | \$ 13.0 | \$ 15.5 | \$ 14.7 | \$ 10.0 |
| Avg. IDA Balances (\$B) ⁽²⁾ | \$ 41.8 | \$ 39.3 | \$ 32.6 | \$ 28.3 | \$ 22.5 |
| Avg. Spread-Based Balances (\$B) | \$ 54.4 | \$ 52.3 | \$ 48.1 | \$ 43.0 | \$ 32.5 |
| Avg. Fee-Based Balances (\$B) | \$ 62.4 | \$ 59.5 | \$ 58.5 | \$ 57.0 | \$ 59.0 |
| Avg. Conduit-Based Balances (\$B) | \$ 0.5 | \$ 0.5 | \$ 0.6 | \$ 0.8 | \$ 1.2 |
| New Accounts (K) | 175 | 187 | 180 | 151 | 176 |
| Purchased Accounts (K) | - | - | - | - | 197 |
| Closed Accounts (K) | 73 | 74 | 68 | 79 | 77 |
| Total Accounts (K) ⁽⁴⁾ | 7,890 | 7,788 | 7,675 | 7,563 | 7,491 |
| Funded Accounts (K) ⁽⁵⁾ | 5,440 | 5,379 | 5,327 | 5,279 | 5,291 |

(1) - Beginning June 12, 2009, assets/accounts/trades include those acquired through the purchase of thinkorswim Group Inc.

(2) - Formerly known as money market deposit account (MMDA). Client cash is held in FDIC-insured deposit accounts (IDA) at TD Bank, N.A. and TD Bank USA, N.A. TD AMERITRADE, TD Bank, N.A., and TD Bank USA, N.A. are affiliated through The Toronto-Dominion Bank.

(3) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.

(4) - All open client accounts (funded and unfunded), except clearing accounts.

(5) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.

*NOTE: Certain totals may not foot due to rounding.

FY09
TD AMERITRADE HOLDING CORP.

| Monthly Metrics | 2008 | 2008 | 2008 | 2009 | 2009 | 2009 | 2009 | 2009 | 2009 | 2009 | 2009 | 2009 |
|--|----------|----------|----------|----------|----------|----------|----------|----------|--------------------|----------|----------|----------|
| | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | Jun ⁽¹⁾ | Jul | Aug | Sep |
| Trading Days | 23.0 | 18.5 | 21.5 | 20.0 | 19.0 | 22.0 | 21.0 | 20.0 | 22.0 | 22.0 | 21.0 | 21.0 |
| Average Trades/Day (K) | 411 | 354 | 302 | 306 | 306 | 358 | 390 | 408 | 378 | 371 | 431 | 431 |
| Client Assets (\$B) | \$ 241.0 | \$ 228.0 | \$ 233.8 | \$ 224.0 | \$ 210.4 | \$ 224.9 | \$ 244.3 | \$ 258.1 | \$ 265.0 | \$ 280.5 | \$ 289.4 | \$ 302.0 |
| Avg. Interest Earning Assets (\$B) | \$ 7.9 | \$ 7.2 | \$ 7.4 | \$ 7.4 | \$ 7.3 | \$ 7.3 | \$ 8.2 | \$ 8.8 | \$ 13.0 | \$ 14.2 | \$ 14.7 | \$ 15.3 |
| Avg. IDA Balances (\$B) ⁽²⁾ | \$ 17.6 | \$ 17.8 | \$ 18.4 | \$ 18.9 | \$ 18.9 | \$ 20.0 | \$ 21.0 | \$ 21.9 | \$ 24.6 | \$ 26.5 | \$ 28.5 | \$ 30.0 |
| Avg. Spread-Based Balances (\$B) | \$ 25.5 | \$ 25.0 | \$ 25.8 | \$ 26.4 | \$ 26.2 | \$ 27.3 | \$ 29.2 | \$ 30.7 | \$ 37.6 | \$ 40.8 | \$ 43.1 | \$ 45.3 |
| Avg. Fee-Based Balances (\$B) | \$ 65.9 | \$ 61.5 | \$ 60.9 | \$ 60.8 | \$ 58.8 | \$ 57.2 | \$ 59.3 | \$ 61.1 | \$ 56.5 | \$ 55.5 | \$ 57.0 | \$ 58.6 |
| Avg. Conduit-Based Balances (\$B) | \$ 2.2 | \$ 1.3 | \$ 1.3 | \$ 1.4 | \$ 1.4 | \$ 1.4 | \$ 1.3 | \$ 1.2 | \$ 1.0 | \$ 0.9 | \$ 0.7 | \$ 0.7 |

| Quarterly Metrics | FY09 | FY09 | FY09 | FY09 | FY09 |
|--|----------|----------|----------------------|----------|----------|
| | Dec Q | Mar Q | Jun Q ⁽¹⁾ | Sep Q | |
| Trading Days | 63.0 | 61.0 | 63.0 | 64.0 | 251.0 |
| Average Trades/Day (K) | 357 | 325 | 392 | 411 | 372 |
| Client Assets (\$B) | \$ 233.8 | \$ 224.9 | \$ 265.0 | \$ 302.0 | \$ 302.0 |
| Net New Assets (\$B) ⁽³⁾ | \$ 7.8 | \$ 6.4 | \$ 6.9 | \$ 5.4 | \$ 26.6 |
| Avg. Interest Earning Assets (\$B) | \$ 7.5 | \$ 7.3 | \$ 10.0 | \$ 14.7 | \$ 9.9 |
| Avg. IDA Balances (\$B) ⁽²⁾ | \$ 17.9 | \$ 19.3 | \$ 22.5 | \$ 28.3 | \$ 22.0 |
| Avg. Spread-Based Balances (\$B) | \$ 25.4 | \$ 26.6 | \$ 32.5 | \$ 43.0 | \$ 31.9 |
| Avg. Fee-Based Balances (\$B) | \$ 62.8 | \$ 58.9 | \$ 59.0 | \$ 57.0 | \$ 59.4 |
| Avg. Conduit-Based Balances (\$B) | \$ 1.6 | \$ 1.4 | \$ 1.2 | \$ 0.8 | \$ 1.2 |
| New Accounts (K) | 217 | 194 | 176 | 151 | 737 |
| Purchased Accounts (K) | - | - | 197 | - | 197 |
| Closed Accounts (K) | 60 | 51 | 77 | 79 | 266 |
| Total Accounts (K) ⁽⁴⁾ | 7,052 | 7,195 | 7,491 | 7,563 | 7,563 |
| Funded Accounts (K) ⁽⁵⁾ | 5,013 | 5,105 | 5,291 | 5,279 | 5,279 |

(1) - Beginning June 12, 2009, assets/accounts/trades include those acquired through the purchase of thinkorswim Group Inc.

(2) - Formerly known as money market deposit account (MMDA). Client cash is held in FDIC-insured deposit accounts (IDA) at TD Bank, N.A. and TD Bank USA, N.A. TD AMERITRADE, TD Bank, N.A., and TD Bank USA, N.A. are affiliated through The Toronto-Dominion Bank.

(3) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.

(4) - All open client accounts (funded and unfunded), except clearing accounts.

(5) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.

*NOTE: Certain totals may not foot due to rounding.

TD AMERITRADE HOLDING CORP.

| Monthly Metrics | 2007 | 2007 | 2007 | 2008 | 2008 | 2008 | 2008 | 2008 | 2008 | 2008 | 2008 | 2008 |
|--|----------|----------|----------|----------|--------------------|----------|----------|----------|----------|----------|----------|----------|
| | Oct | Nov | Dec | Jan | Feb ⁽¹⁾ | Mar | Apr | May | Jun | Jul | Aug | Sep |
| Trading Days | 23.0 | 20.5 | 19.5 | 21.0 | 20.0 | 20.0 | 22.0 | 21.0 | 21.0 | 21.5 | 21.0 | 21.0 |
| Average Trades/Day (K) ⁽²⁾ | 325 | 329 | 277 | 332 | 274 | 296 | 287 | 296 | 279 | 306 | 252 | 356 |
| Client Assets (\$B) | \$ 312.9 | \$ 300.5 | \$ 300.4 | \$ 287.9 | \$ 310.1 | \$ 306.1 | \$ 319.7 | \$ 326.3 | \$ 309.2 | \$ 304.5 | \$ 303.7 | \$ 278.0 |
| Avg. Interest Earning Assets (\$B) | \$ 9.1 | \$ 9.7 | \$ 9.8 | \$ 9.6 | \$ 10.1 | \$ 10.0 | \$ 9.9 | \$ 10.3 | \$ 10.8 | \$ 10.3 | \$ 9.6 | \$ 8.8 |
| Avg. IDA Balances (\$B) ⁽³⁾ | \$ 15.5 | \$ 15.1 | \$ 15.2 | \$ 15.5 | \$ 15.6 | \$ 15.4 | \$ 15.8 | \$ 15.7 | \$ 15.4 | \$ 15.6 | \$ 16.2 | \$ 16.8 |
| Avg. Spread-Based Balances (\$B) | \$ 24.6 | \$ 24.8 | \$ 25.0 | \$ 25.1 | \$ 25.7 | \$ 25.4 | \$ 25.7 | \$ 26.0 | \$ 26.2 | \$ 25.9 | \$ 25.8 | \$ 25.6 |
| Avg. Fee-Based Balances (\$B) | \$ 58.3 | \$ 58.3 | \$ 59.1 | \$ 59.1 | \$ 77.3 | \$ 76.6 | \$ 78.4 | \$ 79.2 | \$ 77.5 | \$ 75.8 | \$ 76.1 | \$ 74.3 |
| Avg. Conduit-Based Balances (\$B) | \$ 6.1 | \$ 6.1 | \$ 6.1 | \$ 5.9 | \$ 6.0 | \$ 5.4 | \$ 5.3 | \$ 5.6 | \$ 5.4 | \$ 4.8 | \$ 4.4 | \$ 4.2 |

| Quarterly Metrics | FY08 | FY08 | FY08 | FY08 | FY08 |
|--|----------|----------------------|----------|----------|----------|
| | Dec Q | Mar Q ⁽¹⁾ | Jun Q | Sep Q | |
| Trading Days | 63.0 | 61.0 | 64.0 | 63.5 | 251.5 |
| Average Trades/Day (K) ⁽²⁾ | 311 | 301 | 287 | 305 | 301 |
| Client Assets (\$B) | \$ 300.4 | \$ 306.1 | \$ 309.2 | \$ 278.0 | \$ 278.0 |
| Net New Assets (\$B) ⁽⁴⁾ | \$ 9.1 | \$ 6.9 | \$ 4.0 | \$ 2.8 | \$ 22.8 |
| Avg. Interest Earning Assets (\$B) | \$ 9.5 | \$ 9.9 | \$ 10.4 | \$ 9.6 | \$ 9.9 |
| Avg. IDA Balances (\$B) ⁽³⁾ | \$ 15.3 | \$ 15.5 | \$ 15.6 | \$ 16.2 | \$ 15.6 |
| Avg. Spread-Based Balances (\$B) | \$ 24.8 | \$ 25.4 | \$ 26.0 | \$ 25.8 | \$ 25.5 |
| Avg. Fee-Based Balances (\$B) | \$ 58.6 | \$ 70.8 | \$ 78.3 | \$ 75.4 | \$ 70.8 |
| Avg. Conduit-Based Balances (\$B) | \$ 6.1 | \$ 5.8 | \$ 5.4 | \$ 4.4 | \$ 5.4 |
| New Accounts (K) | 149 | 214 | 148 | 137 | 648 |
| Purchased Accounts (K) | - | 102 | - | - | 102 |
| Closed Accounts (K) | 54 | 60 | 69 | 52 | 235 |
| Total Accounts (K) ⁽⁵⁾ | 6,475 | 6,731 | 6,810 | 6,895 | 6,895 |
| Funded Accounts (K) ⁽⁶⁾ | 4,643 | 4,814 | 4,868 | 4,918 | 4,918 |

(1) - Beginning February 5, 2008, assets/accounts include those acquired through the purchase of Fiserv's Investment Support Services business.

(2) - Effective in Oct 2007, Average Trades/Day have been revised to exclude non-revenue generating mutual fund trades.

(3) - Formerly known as money market deposit account (MMDA). Client cash is held in FDIC-insured deposit accounts (IDA) at TD Bank, N.A. and TD Bank USA, N.A. TD AMERITRADE, TD Bank, N.A., and TD Bank USA, N.A. are affiliated through The Toronto-Dominion Bank.

(4) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.

(5) - All open client accounts (funded and unfunded), except clearing accounts.

(6) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.

*NOTE: Certain totals may not foot due to rounding.

FY07
TD AMERITRADE HOLDING CORP.

| Monthly Metrics | 2006 Oct | 2006 Nov | 2006 Dec | 2007 Jan | 2007 Feb * | 2007 Mar | 2007 Apr | 2007 May | 2007 Jun | 2007 Jul | 2007 Aug | 2007 Sep |
|--|---------------------|---------------------|---------------------|---------------------|-----------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| Trading Days | 20.0 | 18.5 | 24.0 | 17.0 | 22.0 | 22.0 | 20.0 | 22.0 | 21.0 | 20.5 | 23.0 | 19.0 |
| Average Trades/Day (K) | 232 | 248 | 233 | 266 | 264 | 234 | 253 | 247 | 234 | 284 | 283 | 265 |
| Client Assets (\$B) | \$ 270.5 | \$ 276.1 | \$ 278.2 | \$ 280.5 | \$ 279.3 | \$ 282.2 | \$ 292.0 | \$ 300.0 | \$ 297.2 | \$ 292.5 | \$ 290.1 | \$ 302.7 |
| Avg. Interest Earning Assets (\$B) | \$ 9.3 | \$ 9.1 | \$ 9.2 | \$ 9.0 | \$ 9.2 | \$ 9.2 | \$ 9.1 | \$ 9.3 | \$ 9.8 | \$ 9.7 | \$ 8.8 | \$ 8.8 |
| Avg. IDA Balances (\$B)⁽¹⁾ | \$ 14.2 | \$ 14.5 | \$ 14.5 | \$ 14.8 | \$ 14.7 | \$ 14.8 | \$ 14.8 | \$ 15.4 | \$ 15.6 | \$ 15.3 | \$ 15.1 | \$ 15.2 |
| Avg. Spread-Based Balances (\$B) | \$ 23.5 | \$ 23.6 | \$ 23.7 | \$ 23.9 | \$ 23.9 | \$ 24.0 | \$ 23.9 | \$ 24.7 | \$ 25.4 | \$ 25.0 | \$ 23.9 | \$ 24.0 |
| Avg. Fee-Based Balances (\$B) | \$ 43.3 | \$ 44.8 | \$ 46.0 | \$ 47.5 | \$ 48.1 | \$ 49.3 | \$ 50.2 | \$ 51.2 | \$ 51.5 | \$ 53.5 | \$ 54.7 | \$ 56.0 |
| Avg. Conduit-Based Balances (\$B) | \$ 4.2 | \$ 4.2 | \$ 4.8 | \$ 4.8 | \$ 5.3 | \$ 5.7 | \$ 6.2 | \$ 6.0 | \$ 5.9 | \$ 5.9 | \$ 5.5 | \$ 5.6 |

| Quarterly Metrics | FY07 Dec Q | FY07 * Mar Q ** | FY07 Jun Q | FY07 Sep Q | FY07 |
|--|-----------------------|----------------------------|-----------------------|-----------------------|-------------|
| Trading Days | 62.5 | 61.0 | 63.0 | 62.5 | 249.0 |
| Average Trades/Day (K) | 238 | 254 | 245 | 278 | 253 |
| Client Assets (\$B) | \$ 278.2 | \$ 282.2 | \$ 297.2 | \$ 302.7 | \$ 302.7 |
| Net New Assets (\$B)⁽²⁾ | \$ 5.1 | \$ 2.7 | \$ 1.8 | \$ 2.8 | \$ 12.4 |
| Avg. Interest Earning Assets (\$B) | \$ 9.2 | \$ 9.1 | \$ 9.4 | \$ 9.1 | \$ 9.3 |
| Avg. IDA Balances (\$B)⁽¹⁾ | \$ 14.4 | \$ 14.8 | \$ 15.3 | \$ 15.2 | \$ 14.9 |
| Avg. Spread-Based Balances (\$B) | \$ 23.6 | \$ 23.9 | \$ 24.7 | \$ 24.3 | \$ 24.2 |
| Avg. Fee-Based Balances (\$B) | \$ 44.7 | \$ 48.3 | \$ 51.0 | \$ 54.7 | \$ 49.7 |
| Avg. Conduit-Based Balances (\$B) | \$ 4.4 | \$ 5.3 | \$ 6.0 | \$ 5.7 | \$ 5.3 |
| New Accounts (K) | 109 | 166 | 152 | 127 | 554 |
| Purchased Accounts (K) | - | - | - | - | - |
| Closed Accounts (K) | 40 | 196 | 61 | 68 | 365 |
| Total Accounts (K)⁽³⁾ | 6,260 | 6,230 | 6,321 | 6,380 | 6,380 |
| Funded Accounts (K)⁽⁴⁾ | 4,394 | 4,459 | 4,585 | 4,597 | 4,597 |

(1) - Formerly known as money market deposit account (MMDA). Client cash is held in FDIC-insured deposit accounts (IDA) at TD Bank, N.A. and TD Bank USA, N.A. TD AMERITRADE, TD Bank, N.A., and TD Bank USA, N.A. are affiliated through The Toronto-Dominion Bank.

(2) - Net new assets consists of total client asset inflows, less total client asset outflows, excluding activity from business combinations. Client asset inflows include interest and dividend payments and exclude changes in client assets due to market fluctuations. Net new assets are measured based on the market value of the assets as of the date of the inflows and outflows.

(3) - All open client accounts (funded and unfunded), except clearing accounts.

(4) - All open client accounts with a total liquidation value greater than zero, except clearing accounts.

*NOTE: Beginning February 2007, all metrics are calculated through the last calendar day of the month.

Due to the change from fiscal to calendar reporting, February 2007 metrics include activity from January 29th, 30th, and 31st, 2007.

**NOTE: Approximately 152,000 legacy TDW accounts were closed as part of a dormant account clean-up.

***NOTE: Certain totals may not foot due to rounding.