

# PROOFPOINT INC

# Reported by **HAHN ERIC**

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 07/10/17 for the Period Ending 07/10/17

Address 892 ROSS DRIVE

SUNNYVALE, CA 94089

Telephone 408-517-4710

CIK 0001212458

Symbol PFPT

SIC Code 7374 - Computer Processing and Data Preparation and Processing Services

Industry IT Services & Consulting

Sector Technology

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *					2	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Hahn Eric					P	PRO	OFPC	INT I	NC	[ PFP	T]							
(Last) (First) (Middle)				3	3. Date of Earliest Transaction (MM/DD/YYYY)								X _ Director 10% Owner Officer (give title below) X Other (specify below)					
C/O PROOFPOINT, INC., 892 ROSS DRIVE						7/10/2017								Chairman	e the below	) _A_	Other (speci	ry ociow)
	(Str	eet)			4	. If Aı	nendm	ent, Date	Ori	ginal Fi	led (MN	A/DD	O/YYYY	6. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
SUNNYVAI	· ·		(Zip)											X Form filed by		rting Person One Reporting F	Person	
			Tab	le I - No	on-D	erivat	ive Sec	urities A	Acqu	iired, D	ispose	d of	, or Be	eneficially Own	ed			
1. Title of Security (Instr. 3)			. Date	Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			(A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Ownership Form: of Indire Benefic	7. Nature of Indirect Beneficial Ownership		
								Code	v	Amount	(A) or (D)	]	Price					(Instr. 4)
Common Stock 7/10/2017				017			s (1)		5000 (2)	D	\$85.5123 (3)		63001		D			
Common Stock															202008		I	By Family Trust (4)
	Tab	ole II - Do	erivati	ve Secu	ırities	s Bene	eficially	Owned	l ( e.¿	g., puts	s, calls	, wa	rrants	, options, conve	rtible sec	urities)	•	
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative		Execu		4. Tran (Instr. 8	Acq Disp		mber of ative Securities ired (A) or used of (D) . 3, 4 and 5)		6. Date Exercisable and Expiration Date			Securitie	s Underlying ve Security	Underlying Derivative Security Security		Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			(	Code	e V	(A)	(D)		oate exercisable	Expirat Date	tion		mount or Number of ares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) This transaction was effected pursuant to a 10b5-1 trading plan adopted by the reporting person on December 5, 2016.
- (2) Represents the aggregate of sales effected on the same day at different prices
- (3) Represents the weighted average sales price per share. The shares sold at prices ranging from \$85.12 to \$85.96 per share. Full information regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.
- (4) Shares directly held by the Hahn Family Trust dated 10/20/1999, of which the Reporting Person is a trustee and may be deemed to share voting and dispositive power over these shares.

### **Reporting Owners**

Panarting Owner Name / Addre	Relationships							
Reporting Owner Name / Addres	Director	10% Owner	Officer	Other				
Hahn Eric								
C/O PROOFPOINT, INC.	X			Chairman				
892 ROSS DRIVE	71			Chan man				
SUNNYVALE, CA 94089								

### **Signatures**

/s/ Eric Hahn By Michael Yang, Attorney-In-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.