

# **NEWLINK GENETICS CORP**

Filed by  
**LINK CHARLES J. JR.**

## **FORM SC 13G/A** (Amended Statement of Ownership)

Filed 02/13/17

Address	2503 SOUTH LOOP DRIVE SUITE 5100 AMES, IA 50010
Telephone	515-296-5555
CIK	0001126234
Symbol	NLNK
SIC Code	2834 - Pharmaceutical Preparations
Industry	Biotechnology & Medical Research
Sector	Healthcare
Fiscal Year	12/31

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

**SCHEDULE 13G**

Under the Securities Exchange Act of 1934  
(Amendment No. 5)\*

**NewLink Genetics Corporation**  
(Name of Issuer)

**Common Stock**  
(Title of Class of Securities)

**651511 107**  
(CUSIP Number)

**December 31, 2016**  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
- Rule 13d-1(c)
- Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, *see the Notes* ).

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Names of Reporting Persons  
**Charles J. Link, Jr. M.D.**

1.		
2.	Check the Appropriate Box if a Member of a Group (see instructions)	
	(a)	<input type="checkbox"/>
	(b)	<input type="checkbox"/>
3.	SEC USE ONLY	
4.	Citizenship or Place of Organization <b>United States of America</b>	
	Number of Shares Beneficially Owned by Each Reporting Person With:	
	5. Sole Voting Power <b>1,996,392 shares of Common Stock (includes 1,751,727 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2016).</b>	
	6. Shared Voting Power <b>0</b>	
	7. Sole Dispositive Power <b>1,996,392 shares of Common Stock (includes 1,751,727 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2016).</b>	
	8. Shared Dispositive Power <b>0</b>	
9.	Aggregate Amount Beneficially Owned by Each Reporting Person <b>1,996,392 shares of Common Stock (includes 1,751,727 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2016).</b>	<input type="checkbox"/>
10.	Check if the Aggregate Amount in Row (9) Excludes Certain Shares (see instructions)	
11.	Percent of Class Represented by Amount in Row 9 <b>6.5% (1)</b>	
12.	Type of Reporting Person (see instructions) <b>IN</b>	

(1) This percentage is calculated based upon 29,163,673 shares of the Issuer's common stock outstanding as of December 31, 2016.

- Item 1(a).** Name of Issuer: **NewLink Genetics Corporation**
- Item 1(b).** Address of Issuer's Principal Executive Offices: **2503 South Loop Drive, Ames, Iowa 50010**
- Item 2(a).** Name of Person Filing: **Charles J. Link, Jr. M.D.**
- Item 2(b).** Address of Principal Business Office or, if none, Residence: **2503 South Loop Drive, Ames, Iowa 50010**
- Item 2(c).** Citizenship: **United States of America**
- Item 2(d).** Title of Class of Securities: **Common Stock**
- Item 2(e).** CUSIP Number: **651511 107**
- Item 3.** **If this statement is filed pursuant to §§240.13d-1(b), or 240.13d-2(b) or (c), check whether the person filing is a:**

- (a)  Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78o);
- (b)  Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c)  Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d)  Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e)  An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
- (f)  An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g)  A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G);
- (h)  A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i)  A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j)  A non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J);
- (k)  Group, in accordance with §240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J), please specify the type of institution: \_\_\_\_\_

**Item 4. Ownership**

- (a) Amount Beneficially Owned: **1,996,392 shares of Common Stock (includes 1,751,727 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2016).**
- (b) Percent of Class: **6.5%**
- (c) Number of shares as to which the person has:
- (i) Sole power to vote or to direct the vote: **1,996,392 shares of Common Stock (includes 1,751,727 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2016).**
- (ii) Shared power to vote or to direct the vote: **0**
- (iii) Sole power to dispose or to direct the disposition of: **1,996,392 shares of Common Stock (includes 1,751,727 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2016).**
- (iv) Shared power to dispose or to direct the disposition of: **0**

**Item 5. Ownership of 5 Percent or Less of a Class**

Not Applicable.

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**Item 6. Ownership of More than 5 Percent on Behalf of Another Person**

Not Applicable.

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.**

Not Applicable.

**Item 8. Identification and Classification of Members of the Group**

Not Applicable.

**Item 9. Notice of Dissolution of a Group**

Not Applicable.

**Item 10. Certification**

Not Applicable. This statement is being filed pursuant to 13d-1(d).

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**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 13, 2017

Date

/s/ Charles J. Link, Jr. M.D.

Signature

Charles J. Link, Jr. M.D.

Name/Title