

WISDOMTREE INVESTMENTS, INC.

FORM 8-K (Current report filing)

Filed 06/22/17 for the Period Ending 06/20/17

Address	245 PARK AVENUE 35TH FLOOR NEW YORK, NY 10167
Telephone	212-801-2080
CIK	0000880631
Symbol	WETF
SIC Code	6211 - Security Brokers, Dealers, and Flotation Companies
Industry	Investment Management & Fund Operators
Sector	Financials
Fiscal Year	12/31

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

Form 8-K

**CURRENT REPORT
Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): June 20, 2017

WisdomTree Investments, Inc.
(Exact name of registrant as specified in its charter)

Commission File Number: 001-10932

Delaware
(State or other jurisdiction
of incorporation)

13-3487784
(IRS Employer
Identification No.)

**245 Park Avenue
35th Floor
New York, NY 10167**
(Address of principal executive offices, including zip code)

(212) 801-2080
(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07. Submission of Matters to a Vote of Security Holders.

On June 20, 2017, WisdomTree Investments, Inc. (“Company”) held its 2017 Annual Meeting of Stockholders. A total of 122,771,561 shares of the Company’s common stock were present or represented by proxy at the Annual Meeting, representing approximately 90% of the total outstanding common stock. At the Annual Meeting, the stockholders voted on the following two proposals and cast their votes as described below.

1. The Company’s stockholders re-elected the following three nominees as Class III members to the Board of Directors of the Company to hold office until the 2020 annual meeting of stockholders and until their respective successors have been duly elected and qualified.

<u>NAME</u>	<u>FOR</u>	<u>% VOTED FOR</u>	<u>WITHHELD</u>	<u>BROKER NON-VOTES</u>
FRANK SALERNO	100,878,674	97.89%	2,175,487	19,717,400
R. JARRETT LILIE	94,343,403	91.55%	8,710,758	19,717,400
JONATHAN STEINBERG	101,629,531	98.62%	1,424,630	19,717,400

2. The Company’s stockholders ratified the appointment of Ernst & Young LLP as the Company’s independent registered public accounting firm for the fiscal year ending December 31, 2017.

<u>FOR</u>	<u>% VOTED FOR</u>	<u>AGAINST</u>	<u>ABSTAIN</u>
121,804,913	99.21%	282,656	683,992

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

WISDOMTREE INVESTMENTS, INC.

Date: June 22, 2017

By: /s/ Peter M. Ziembra

Peter M. Ziembra
Executive Vice President – Business and Legal Affairs,
Chief Legal Officer