

# GROUPON, INC. Reported by WILLIAMS RICH

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 04/04/17 for the Period Ending 03/31/17

Address 600 WEST CHICAGO AVENUE, SUITE 400

CHICAGO, IL 60654

Telephone (312) 334-1579

CIK 0001490281

Symbol GRPN

SIC Code 7311 - Advertising Agencies

Industry Discount Stores

Sector Consumer Cyclicals

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Williams Rich				G	rou	pon, l	inc. [ GF	RPN	]				, incubic)			
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)							X Director10% Owner				
							2/2	1/00					XOfficer (give title below)Other (specify below)  Chief Executive Officer			
C/O GROUPON, INC., 600 WEST CHICAGO AVENUE, SUITE 400							3/3	31/20	17			Cinci Exceut	ive office	,•		
	(Stre	,	100	4.	If Ar	nendm	ent, Date C	Origin	al File	d (MM/D	D/YYYY	6. Individual	or Joint/G	roup Filing	Check Appl	icable Line)
CHICAGO,			`									X Form filed b	oy One Repo	-		
(Ci	ty) (Sta	te) (Zip	)													
		,	Гable I -	Non-De	rivat	ive Sec	curities Ac	equire	ed, Dis	posed o	of, or Be	eneficially Own	ed			
1.Title of Security			Trans. Date			3. Trans. C		4. Secur			5. Amount of Securities Beneficia			6.	7. Nature	
(Instr. 3)				Execution Date, if any		(Instr. 8)		or Dispo (Instr. 3	or Disposed of (D) (Instr. 3, 4 and 5)		Following Reported Transaction(s) (Instr. 3 and 4)		s)	Form:	of Indirect Beneficial	
															or Indirect	Ownership (Instr. 4)
							Code	V	Amount	(A) or (D)	Price				(I) (Instr. 4)	
Common Stock			3/	/31/2017			M		25000	A	\$0	1	608690		D	
Common Stock			3/	/31/2017			F (1)		11426	D	\$3.93	1597264		D		
Common Stock			3/	/31/2017			M		38038	A	\$0	1	635302		D	
Common Stock			3,	/31/2017			F (1)		17384	D	\$3.93	1617918		D		
Common Stock			3,	/31/2017			M		66338	A	\$0	1684256		D		
Common Stock				/31/2017			F (1)		30317	D	\$3.93		653939		D	
			3/31/2017			M	-	55153	A	\$0	1709092		D			
Common Stock			3,	/31/2017			F (1)		25205	D	\$3.93	1	683887		D	
	Tabl	e II - Deriv	vative Se	curities	Bene	ficially	y Owned (	e.g. ,	puts,	calls, w	arrants	, options, conve	rtible sec	urities)		
Security Conversion Date Execution		3A. Deeme Execution Date, if any	Code		(A) or I (D)		6. Date Exercisable and Expiration Date			Underlying e Security	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	V	(A)	(D)	Date Exerc	isable Da	apiration ate	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Units	<u>(2)</u>	3/31/2017		M			25000	12/31	/2014 <u>3)</u>	<u>(3)</u>	Commo Stock	n 25000.0	\$0	25000	D	
Restricted Stock Units	<u>(2)</u>	3/31/2017		M			38038	12/31	/2015 <u>4)</u>	<u>(4)</u>	Commo Stock	n 38038.0	\$0	114114	D	
Restricted Stock Units	<u>(2)</u>	3/31/2017		М			66338	3/31/2		<u>(5)</u>	Commo Stock	n 66338.0	\$0	1249163	D	
Restricted Stock Units	(2)	3/31/2017		M			55153	12/31	/2015 6)	<u>(6)</u>	Commo Stock	55153.0	\$0	165456	D	

#### **Explanation of Responses:**

- ( Shares withheld by the issuer to satisfy the mandatory tax withholding requirement upon vesting of restricted stock units. This is not an open market sale of
- 1) securities.
- Each restricted stock unit represents a contingent right to receive one share of Common Stock.
- ( The restricted stock units reported on this line will vest in equal increments on the last day of last month of each calendar quarter through December 31, 2017,
- 3) subject to Mr. Williams' continued employment with the Company through each vesting date.
- ( 16,624 of the restricted stock units reported on this line vested on December 31, 2015; 68,900 of the restricted stock units vested quarterly in equal increments
- 4) during calendar year 2016, beginning on March 31, 2016; and 152,152 of the restricted stock units will vest quarterly in equal increments during calendar year 2017, beginning on March 31, 2017, in each case subject to Mr. Williams' continued employment with the Company through each vesting date.
- ( 75,694 of the restricted stock units reported on this line vested on the last day of each calendar quarter over a one-year period beginning on March 31, 2016;

- 5) 66,338 of the restricted stock units will vest on the last day of each calendar quarter over a one-year period beginning on March 31, 2017; 140,427 of the restricted stock units will vest on the last day of each calendar quarter over a one-year period beginning on March 31, 2018; and 122,110 of the restricted stock units will vest on the last day of each calendar quarter over a nine month period beginning on March 31, 2019 with 122,111 of the restricted stock units vesting on December 31, 2019, in each case subject to Mr. Williams' continued employment with the Company through each vesting date.
- ( 118,250 of the restricted stock units reported on this line vested on December 31, 2015; 81,700 of the restricted stock units vested quarterly in equal
- 6) increments during calendar year 2016, beginning on March 31, 2016, and 220,609 of the restricted stock units will vest quarterly in equal increments during calendar year 2017, beginning on March 31, 2017, in each case subject to Mr. Williams' continued employment with the Company through each vesting date.

**Reporting Owners** 

Reporting Owner Name / Address		Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Williams Rich								
C/O GROUPON, INC.	X		Chief Evenutive Officer					
600 WEST CHICAGO AVENUE, SUITE 400	Λ		Chief Executive Officer					
CHICAGO, IL 60654								

#### **Signatures**

/s/ Erin G. Stone, by Power of Attorney

\*\* Signature of Reporting Person

Add/2017

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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