

FERROGLOBE PLC

Filed by
KESTENBAUM ALAN

FORM SC 13G/A (Amended Statement of Ownership)

Filed 02/14/17

| | |
|-------------|---------------|
| Telephone | 4408009758080 |
| CIK | 0001639877 |
| Symbol | GSM |
| Fiscal Year | 12/31 |

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G*
(Rule 13d-102)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT
TO RULES 13d-1(b), (c), AND (d) AND AMENDMENTS THERETO FILED
PURSUANT TO RULE 13d-2
(Amendment No. 1)*

Ferroglobe PLC
(Name of Issuer)

Ordinary Shares, \$7.50 nominal value
(Title of Class of Securities)

G33856108
(CUSIP Number)

December 31, 2016
(Date of Event Which Requires Filing of the Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
 Rule 13d-1(c)
 Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, *see the Notes*).

| | | | |
|--|--|----------------------------------|--------------------------|
| 1. | NAME OF REPORTING PERSONS Alan Kestenbaum | | |
| 2. | CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (see instructions) Not Applicable | | |
| | | (a) | <input type="checkbox"/> |
| | | (b) | <input type="checkbox"/> |
| 3. | SEC USE ONLY | | |
| 4. | CITIZENSHIP OR PLACE OF ORGANIZATION United States | | |
| NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH | 5. | SOLE VOTING POWER 6,502,363 | |
| | 6. | SHARED VOTING POWER | |
| | 7. | SOLE DISPOSITIVE POWER 6,502,363 | |
| | 8. | SHARED DISPOSITIVE POWER | |
| 9. | AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 6,502,363 | | |
| 10. | CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES <input type="checkbox"/> | | |
| 11. | PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 3.78% | | |
| 12. | TYPE OF REPORTING PERSON (See Instructions) IN | | |

Item 1(a). **Name of Issuer:** Ferroglobe PLC

Item 1(b). **Address of Issuer's Principal Executive Offices:**
2nd Floor West Wing, Lansdowne House
57 Berkeley Square
London, W1J 6ER

Item 2(a). **Name of Person Filing:** Alan Kestenbaum

Item 2(b). **Address of Principal Business Office or, if none, Residence:**
600 Brickell Avenue, 16th Floor, Miami, Florida 33131

Item 2(c). **Citizenship:** United States

Item 2(d). **Title of Class of Securities:** Ordinary Shares, \$7.50 nominal value

Item 2(e). **CUSIP Number:** G33856108

Item 3. If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

- (a) Broker or dealer registered under Section 15 of the Act (15 U.S.C 78o);
- (b) Bank as defined in Section 3(a)(6) of the Act (15 U.S.C 78c);
- (c) Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C 78c);
- (d) Investment company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e) An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) An employee benefit plan or endowment fund in accordance with § 240.13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h) A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act (15 U.S.C. 80a-3);
- (j) A non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J);
- (k) Group, in accordance with § 240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with § 240.13d-1(b)(1)(ii)(J), please specify the type of institution: _____

Item 4. Ownership:

Provide the following information regarding the aggregate number and percentage of class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned: 6,502,363
- (b) Percent of Class: 3.78%
- (c) Number of shares as to which such person has:
 - (i) sole power to vote or to direct the vote:
6,502,363
 - (ii) shared power to vote or to direct the vote:
0
 - (iii) sole power to dispose or to direct the disposition of:
6,502,363
 - (iv) shared power to dispose or to direct the disposition of:
0

Item 5. Ownership of Five Percent or Less of a Class:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following .

Item 6. Ownership of More than Five Percent on Behalf of Another Person: N/A

Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being Reported on by the Parent Holding Company or Control Person: N/A

Item 8. Identification and Classification of Members of the Group: N/A

Item 9. Notice of Dissolution of Group: N/A

Item 10. Certifications: N/A

SIGNATURE

After reasonable inquiry and to the best of its knowledge and belief, I certify (the undersigned certifies) that the information set forth in this statement is true, complete and correct.

February 14, 2017

(Date)

/s/ Alan Kestenbaum

(Signature)

Alan Kestenbaum

Name and Title