

## TOBIRA THERAPEUTICS, INC.

# Reported by **BLAIR JAMES C**

#### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 11/04/16 for the Period Ending 11/01/16

Address 701 GATEWAY BLVD

SUITE 300

SOUTH SAN FRANCISCO, CA 94080

Telephone 650-741-6625

CIK 0001311596

Symbol TBRA

Fiscal Year 12/31





[X] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2.	2. Issuer Name <b>and</b> Ticker or Trading Symbol						nbol		5. Relationship of Reporting Person(s) to Issuer				
					Т.	Taking Thomas and an Inc. (TDD 4.)							A 1	(Check all ap	(Check all applicable)			
DOMAIN ASSOCIATES						Tobira Therapeutics, Inc. [ TBRA ]								Director		<b>V</b> 1	00/ Owner	
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)							YY)	DirectorX10% Owner  Officer (give title below) Other (specify below)					
														Officer (gi	ve title below	")O	ther (specify	below)
ONE PALM	IER SQU	J <b>ARE,</b>							11/	/1/2	016							
	(St	reet)			4.	If Ar	nendm	ent, D	ate (	Origi	inal Fi	led (MM	/DD/YYYY	6. Individual	or Joint/G	roup Filing (	Check Appl	icable Line)
	NI NIT O	05.40																
PRINCETO	ON, NJ U	8542												Form filed by One Reporting Person  X Form filed by More than One Reporting Person				
(0	City) (S	tate) (Z	p)											_ A _ I oilli illed	by wore man	One resporting	T CISON	
			<b></b>					•.•						<i>.</i>				
			Tabl											neficially Own			· · · · · · · · · · · · · · · · · · ·	
1. Title of Security (Instr. 3)				2. Trans					3. Trans. Code (Instr. 8)			rities Acqued of (D)	uired (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership	7. Nature of Indirect	
(111511. 3)						Date, if any		(Instr. 0)		(Instr. 3, 4 and 5)			(Instr. 3 and 4)			Form: Bene	Beneficial	
																		Ownership (Instr. 4)
								~ .				(A) or					(I) (Instr.	(111511: 1)
								Cod		V	Amoun	t (D)	Price				4)	
Common Stock				11/1/2	016			U			38094	D	\$28.35		0		D (2)	
	Tal	ble II - Der	ivativ	e Secu	rities	Bene	eficially	y Owi	ned (	e.g.	, put	s, calls,	warrants	, options, conve	ertible sec	urities)		
1. Title of Derivate		3. Trans.			4. Trans.									d Amount of		9. Number of	10.	11. Nature
Security (Instr. 3)	Conversion or Exercise	Date	Execution Date, if		Instr. 8)		Derivative Securitie Acquired (A) or		ecurities Expiration Date			ate	Securities Derivative		derivative Securities	Ownership Form of	of Indirect Beneficial	
()	Price of			,			Dispose	Disposed of (D) Instr. 3, 4 and 5)					(Instr. 3 a			Beneficially Owned Following	Derivative Ov	Ownership
	Derivative Security			-		(Instr.		3, 4 and 5)						1.	-			(Instr. 4)
	-									Date		Expiratio	n Title	Amount or Number of		Reported Transaction(s)	or Indirect	
					Code	V	(A)		(D)	Exer	cisable	Date		Shares		(Instr. 4)	(1) (IIISII. 4)	
Stock Option										C	2) (4)	(3) (4)	Commo	1	(2) (4)	_		Held by
(Right to Buy)	\$36.00	11/1/2016			D		1996			1	3) (4)	(3) (4)	Stock	1996	(3) (4)	0	I	Jesse I. Treu
Stock Option													Commo	,				Held by
(Right to Buy)	\$42.93	11/1/2016			D		1071			<u>C</u>	3) (4)	(3) (4)	Stock	1071	(3) (4)	0	I	Jesse I. Treu
			-			1							+					Held by
Stock Option (Right to Buy)	\$8.19	11/1/2016			D		17695	5		C	3) (4)	(3) (4)	Commo Stock	17695	(3) (4)	0	I	Jesse I.
·															1			Treu

#### **Explanation of Responses:**

- ( Pursuant to the terms of a merger agreement, pursuant to which the Issuer was acquired by Allergan Holdco US, Inc., the shares were tendered for \$28.35 per
- 1) share in cash plus one non-transferable contingent value right per share, which represents the contractual right to receive one or more payments in cash of up to \$49.84 per share in the aggregate, contingent upon the achievement of certain milestones.
- ( The securities reported as directly beneficially owned by the designated Reporting Person may be deemed to be indirectly beneficially owned by each of the
- 2) Reporting Owners listed below, each of whom is a managing member of the designated Reporting Person. Pursuant to Instruction 4(b)(iv) of Form 4, each such individual has elected to report as indirectly beneficially owned the entire number of securities owned by the designated Reporting Person, however each of them disclaims beneficial ownership of any securities, and any proceeds thereof, that exceed his or her pecuniary interest therein and/or that are not actually distributed to him or her.
- ( Pursuant to the terms of the merger described above, each outstanding option to purchase Common Stock which had an exercise price less than \$28.35 per
- 3) share was cancelled and converted into the right to receive (i) a cash payment equal to (A) the excess, if any, of (x) \$28.35 over (y) the exercise price per share, multiplied by (B) the total number of shares subject to such option immediately prior to the effective time of the merger and (ii) a contingent value right with respect to the total number of shares subject to such option immediately prior to the effective time of the merger.
- ( Each other outstanding option to purchase Common Stock was cancelled and converted into the right to receive a cash payment, if any, from Allergan Holdco
- 4) US, Inc. with respect to each share subject to such option upon each date that a payment would be required to a holder of a contingent value right under the terms of the contingent value rights agreement that was entered into in connection with the merger agreement on or prior to the fifth anniversary of the effective time of the merger.

Reporting Owners		

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
DOMAIN ASSOCIATES						
ONE PALMER SQUARE		X				
PRINCETON, NJ 08542						
BLAIR JAMES C						
C/O DOMAIN ASSOCIATES, LLC		X				
ONE PALMER SQUARE		Λ				
PRINCETON, NJ 08542						
DOVEY BRIAN H						
C/O DOMAIN ASSOCIATES, LLC		X				
ONE PALMER SQUARE		A				
PRINCETON, NJ 08542						
TREU JESSE I						
C/O DOMAIN ASSOCIATES, LLC		X				
ONE PALMER SQUARE						
PRINCETON, NJ 08542						
VITULLO NICOLE						
C/O DOMAIN ASSOCIATES, LLC		X				
ONE PALMER SQUARE						
PRINCETON, NJ 08542						
Halak Brian K						
C/O DOMAIN ASSOCIATES, LLC	X					
ONE PALMER SQUARE	- A					
PRINCETON, NJ 08542						
Kamdar Kim P.						
C/O DOMAIN ASSOCIATES, LLC		X				
ONE PALMER SQUARE		A				
PRINCETON, NJ 08542						

#### **Signatures**

/s/ Lisa A. Kraeutler, as attorney-in-fact for Domain Associates, LLC, J. Blair, B. Dovey, J. Treu, N. Vitullo, B. Halak and K. Kamdar

11/3/2016

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.