

# ASCENT CAPITAL GROUP, INC.

# Reported by **NILES WILLIAM E**

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 04/03/18 for the Period Ending 03/30/18

Address 5251 DTC PARKWAY

**SUITE 1000** 

GREENWOOD VILLAGE, CO, 80111

Telephone 303-628-5600

CIK 0001437106

Symbol ASCMA

SIC Code 7819 - Services-Allied To Motion Picture Production

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)								
NILES WILLIAM E						Ascent Capital Group, Inc. [ ASCMA ]						Director	,	10	0/ 0			
(Last)	(Last) (First) (Middle)				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)						Director 10% Owner  X Officer (give title below) Other (specify below)						
ASCENT CAPITAL GROUP,						3/30/2018						Exec VP/Gen Counsel/Secretary						
INC., 5251 DTC PARKWAY, SUITE 1000																		
(Street)				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)						6. Individual or Joint/Group Filing (Check Applicable Line)							
GREENWOOD VILLLAGE, CO 80111  (City) (State) (Zip)											X Form filed by One Reporting Person Form filed by More than One Reporting Person							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)				2A. Deemed Execution Date, if any		3. Trans. Co (Instr. 8)	de 4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)			F	. Amount of Securit following Reported Instr. 3 and 4)	ies Beneficially Owned Transaction(s)		6. Ownership Form:	Beneficial			
								Code	V	Amou	(A) or (D)	Pric	ce					Ownership (Instr. 4)
Series A Common S	Stock			3/30/20	18			A		50000	A (1)	\$0	<u>(1)</u>	9	7877		D	
	Tab	le II - Der	ivative						e.g. ,	, puts,	calls, wa	arrai	nts,	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deer Execution Date, if a	n (Ins	rans. ( tr. 8)	ns. Code 5. Number Derivative Acquired Disposed (Instr. 3,		e Securities (A) or of (D)	6. Date Exercisable and Expiration Date				Underlying Security	Derivative Security	derivative Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security			С	ode	V	(A)	(D)	Date Exer	cisable	Expiration Date	Title	Amo	ount or Number of res		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

(1) Represents an award of restricted stock units that will vest in four equal quarterly installments, with the first such installment vesting on June 30, 2018.

**Reporting Owners** 

reporting Owners									
Reporting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
NILES WILLIAM E									
ASCENT CAPITAL GROUP, INC.			Even VD/Con Council/Secretory						
5251 DTC PARKWAY, SUITE 1000			Exec VP/Gen Counsel/Secretary						
GREENWOOD VILLLAGE, CO 80111									

#### **Signatures**

/s/ William E. Niles	4/3/2018
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.