

### **CELGENE CORP /DE/**

# Reported by MARIO ERNEST

#### FORM 4

(Statement of Changes in Beneficial Ownership)

#### Filed 07/05/17 for the Period Ending 07/01/17

Address 86 MORRIS AVENUE

**SUMMIT, NJ 07901** 

Telephone (908)673-9000

CIK 0000816284

Symbol CELG

SIC Code 2834 - Pharmaceutical Preparations

Industry Biotechnology & Medical Research

Sector Healthcare

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2	2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
MARIO ER	NEST			(	CEL	GENI	E CORP	<b>/D</b>	E/ [ (	CELG						
(Last) (First) (Middle)				3	3. Date of Earliest Transaction (MM/DD/YYYY)						X Director 10% Owner					
												Officer (giv	ve title below	')O	ther (specify	below)
C/O CELGE		RPORA	ΓΙΟΝ,	, 86			7/	1/20	017							
MORRIS A																
	(Stre	eet)		4	. If A	mendm	ent, Date (	Orig	inal Fi	led (MM/I	DD/YYYY)	6. Individual	or Joint/G	roup Filing	(Check App	licable Line)
SUMMIT, N	J 07901											X Form filed l	oy One Repo	rting Person		
, (C	ity) (Sta	ite) (Zi	p)									Form filed by	More than (	One Reporting F	erson	
			<i>-</i>								a D	<i>m</i> . 11 . 0				
									1			neficially Own			1	
1. Title of Security (Instr. 3)		. Trans. Date	s. Date 2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)  4. Securities Acquir Disposed of (D) (Instr. 3, 4 and 5)				A) or 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			6. Ownership Form: 7. Nature of Indirect Beneficial				
							Code	V	Amoun	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock 7/1/201			7/1/2017	7		M		734	A	\$0.00 (1)	87930		D			
Common Stock													2574		I	By Spouse
	Tab	le II - Deri	ivative S	Securitie	s Ben	eficially	y Owned (	e.g.	. , puts	s, calls, v	varrants,	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	Date Execu	3A. Deen Execution Date, if a	n (Instr.	Acquir Dispos			6. Date Exercisable and Expiration Date		7. Title and Securities Derivative (Instr. 3 and	Jnderlying Derivative Security Security (14) (Instr. 5)		9. Number of derivative Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security	rity		Code	e V	(A)	(D)	Date	e rcisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(1)(3)	7/1/2017		М			734		<u>(1)</u>	<u>(1)</u>	Commor Stock	734	\$0.00 (4)	0	D	

#### **Explanation of Responses:**

- (1) One-third of the restricted stock units granted to the reporting person on July 1, 2014 vested and such vested portion of the restricted stock units settled for shares of the Company's common stock.
- (2) The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (3) Each restricted stock unit represents a contingent right to receive one share of the Company's common stock.
- (4) The restricted stock units were issued pursuant to the Company's 2017 Stock Incentive Plan (formerly known as the 2008 Stock Incentive Plan (as amended and restated on April 15, 2015)).

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
MARIO ERNEST C/O CELGENE CORPORATION 86 MORRIS AVENUE SUMMIT, NJ 07901	X					

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/s/Peter N. Kellogg	Peter N. Kellogg Attorney-in-Fact	7/5/2017
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.