

# CHF SOLUTIONS, INC.

# Reported by **LIKENS MATTHEW E.**

### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 06/06/17 for the Period Ending 06/02/17

Address 12988 VALLEY VIEW ROAD

EDEN PRAIRIE, MN 55344

Telephone 952-345-4200

CIK 0001506492

Symbol CHFS

SIC Code 3845 - Electromedical and Electrotherapeutic Apparatus

Industry Advanced Medical Equipment & Technology

Sector Healthcare

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. I	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Likens Matthew E.					CHF Solutions, Inc. [ CHFS ]												
(Last) (First) (Middle)				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)						X _ Director	X _ Director10% Owner  Officer (give title below) Other (specify below)					
12988 VALLEY VIEW ROAD					6/2/2017							Officer (giv	e title below	)0	mer (specify	below)	
				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)						DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)					
EDEN PRAIRIE, MN 55344 (City) (State) (Zip)													X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(C)	, (Sta			· Non-Der	ivat	ive Secu	rities A	cquir	ed, D	oisposed (	of, or Be	neficially Owne	d				
1.Title of Security (Instr. 3) 2. Trans. D						3. Trans. Code (Instr. 8)		4. Securities Acqui or Disposed of (D) (Instr. 3, 4 and 5)		(D) ` [1	5. Amount of Securiti Following Reported T (Instr. 3 and 4)	ies Beneficially Owned Fransaction(s)			Beneficial Ownership		
							Code	v	Amo	(A) o unt (D)	r Price				or Indirect (I) (Instr. 4)	(Instr. 4)	
	Tab	le II - Deri	ivative S	ecurities I	Bene	eficially (	Owned	( e.g. ,	, puts	s, calls, w	varrants	, options, conve	rtible sec	urities)			
(Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deeme Execution Date, if any	(Instr. 8)	Code	de 5. Number of Derivative Securiti Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)						Underlying Security	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security			Code	V	(A)	(D)	Date Exerci	isable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)		
Non-Qualified Stock Option (right to buy)	\$0.57	6/2/2017		A		8000		(	1)	6/1/2027	Common Stock	8000	\$0	8000	D		

#### **Explanation of Responses:**

(1) Vests in 12 approximately equal consecutive monthly increments, commencing on the one-month anniversary of the date of grant, so that all of the options will be vested on the one-year anniversary of the date of grant.

#### **Reporting Owners**

Penorting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Likens Matthew E.							
12988 VALLEY VIEW ROAD	X						
EDEN PRAIRIE, MN 55344							

#### **Signatures**

Stephanie Swan, by Power of Attorney

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.