

## SEQUENTIAL BRANDS GROUP, INC.

# Reported by **KLEIN GARY**

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 01/04/17 for the Period Ending 01/03/17

Address 5 BRYANT PARK

30TH FLOOR

NEW YORK, NY 10018

Telephone 646-564-2577

CIK 0001648428

Symbol SQBG

SIC Code 2300 - Apparel & Other Finishd Prods of Fabrics & Similar Matl

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
						Sequential Brands Group, Inc. [ SQBG ]									Director	Í	109	% Owner	
(Last)	(First)	(First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)												Other (speci	fy below)
C/O SEQUENTIAL BRANDS GROUP,						1/3/2017									Chief Financi	al Office	r		
INC., 601 W FLOOR	EST 26T	H STRI	EET, 9	ТН															
(Street)					4. If Amendment, Date Original Filed (MM/DD/YYYY)								D/YYY	6. Individual or Joint/Group Filing (Check Applicable Line)					
NEW YORK, NY 10001 (City) (State) (Zip)												X_Form filed by One Reporting Person Form filed by More than One Reporting Person							
			Table !	I - Non-	Der	ivati	ve Secu	ırities Ac	quir	ed, Di	spos	sed of	f, or	Ben	eficially Owne	d			
1. Title of Security (Instr. 3)			Date 2A. Dec Executi Date, if		ition (Instr. 8)		de 4. Securities Acquire or Disposed of (D) (Instr. 3, 4 and 5)			red (A	Fo		of Securities Beneficially Owned Reported Transaction(s) d 4)			7. Nature of Indirect Beneficial			
								Code	V	Amou	ınt	(A) or (D)	Prie	ce					Ownership (Instr. 4)
Common Stock 1/3/2017				7		A		6000 (1)		A	\$0	)	152390			D			
	Tabl	le II - Der	ivative	Securiti	ies E	Benet	ficially	Owned (	e.g	, puts.	, cal	ls, wa	ırraı	nts, o	options, conve	rtible sec	urities)		
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deer Executio Date, if a	n (Inst		Code 5. Numb Derivativ Acquired Disposed (Instr. 3,		e Securities (A) or of (D)	6. Date Exercisable and Expiration Date			7. Title and A Securities Un Derivative S (Instr. 3 and		Inderlying Derivative Security Security		9. Number of derivative Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security			Co	ode	V	(A)	(D)	Date Exer	cisable		ration	Title	Amo	ount or Number of		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (Mr. Klein was awarded 60,000 restricted stock units ("RSUs"), par value \$0.01, of Sequential Brands Group, Inc. in connection with his amended
- 1) employment agreement. The RSUs will vest as follows: 30,000 shares on December 31, 2017 and 30,000 shares on December 31, 2018.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Klein Gary C/O SEQUENTIAL BRANDS GROUP, INC. 601 WEST 26TH STREET, 9TH FLOOR NEW YORK, NY 10001			Chief Financial Officer						

#### **Signatures**

/s/ Gary Klein 1/4/2017

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.