

# FXCM INC.

## FORM 8-K (Current report filing)

Filed 02/15/17 for the Period Ending 02/15/17

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Telephone 6464322241  
CIK 0001499912  
Symbol FXCM  
SIC Code 6200 - Security & Commodity Brokers, Dealers, Exchanges & Services  
Industry Investment Banking & Brokerage Services  
Sector Financials  
Fiscal Year 12/31

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

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FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): February 15, 2017

**FXCM Inc.**

(Exact Name of Registrant as Specified in its Charter)

Delaware  
(State or Other Jurisdiction of  
Incorporation)

001-34986  
(Commission File Number)

27-3268672  
(IRS Employer  
Identification No.)

55 Water Street, FL 50, New York, NY, 10041  
(Address of Principal Executive Offices) (Zip Code)

(646) 432-2986  
(Registrant's Telephone Number, Including Area Code)

Not Applicable  
(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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**Item 7.01 Regulation FD Disclosure**

On February 15, 2017, FXCM Inc. issued a press release regarding its monthly business metrics for January 2017. The text of the press release is furnished as Exhibit 99.1 to this Form 8-K.

The information in this Current Report on Form 8-K and the Exhibit attached hereto is furnished pursuant to the rules and regulations of the Securities and Exchange Commission and shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934 (the “Exchange Act”) or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act, except as expressly set forth by specific reference in such a filing.

**Item 9.01. Financial Statements and Exhibits.**

- (a) Financial statements of businesses acquired: None
- (b) Pro forma financial information: None
- (c) Shell company transactions: None
- (d) Exhibits: Press release, dated February 15, 2017, issued by FXCM Inc.

**Exhibit No.      Exhibit Description**

99.1\*\*              Press Release dated February 15, 2017

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\*\* Furnished herewith.

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

FXCM INC.

By: /s/ David S. Sassoon

Name: David S. Sassoon

Title: General Counsel

Date: February 15, 2017

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## Exhibit Index

<u>Exhibit No.</u>	<u>Description</u>
99.1	Press Release dated as of February 15, 2017

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## FXCM Reports Monthly Metrics

NEW YORK, February 15, 2017 -- **FXCM Inc.** (NASDAQ:FXCM) today announced certain key customer trading metrics for January 2017 for its retail and institutional foreign exchange business, excluding Forex Capital Markets LLC, ("FXCM US").

### January 2017 Customer Trading Metrics from Continuing Operations (excluding United States business because of imminent accounts sale and business withdrawal)

#### Customer Trading Metrics

- Customer trading volume <sup>(1)</sup> of \$253 billion in January 2017 , 18% higher than December 2016 and 17% lower than January 2016.
- Average customer trading volume <sup>(1)</sup> per day of \$12.0 billion in January 2017 , 18% higher than December 2016 and 21% lower than January 2016.
- An average of 488,917 client trades per day in January 2017 , 15% higher than December 2016 and 18% lower than January 2016.
- Retail Active accounts <sup>(2)</sup> of 132,008 as of January 31, 2017 , a decrease of 448, or 0.3%, from December 31, 2016, and an increase of 1,281, or 1%, from January 31, 2016.
- Retail Tradeable accounts <sup>(3)</sup> of 106,206 as of January 31, 2017 , an increase of 624, or 0.6% from December 31, 2016, and a decrease of 2,887, or 3%, from January 31, 2016.

More information, including historical results for each of the above metrics, can be found on the investor relations page of FXCM's corporate website [www.fxcm.com](http://www.fxcm.com) .

This operating data is preliminary and subject to revision and should not be taken as an indication of the financial performance of FXCM Inc. FXCM undertakes no obligation to publicly update or review previously reported operating data. Any updates to previously reported operating data will be reflected in the historical operating data that can be found on the Investor Relations page of the Company's corporate website [www.fxcm.com](http://www.fxcm.com) .

<sup>(1)</sup> Volume that FXCM customers traded in period is translated into US dollars.

<sup>(2)</sup> An Active Account represents an account that has traded at least once in the previous twelve months.

<sup>(3)</sup> A Tradeable Account is an account with sufficient funds to place a trade in accordance with FXCM trading policies.

#### About Us:

**FXCM Inc.** (NASDAQ:FXCM) is a publicly traded company which owns 50.1% of FXCM Group, LLC (FXCM Group).

**FXCM Group** is a holding company of Forex Capital Markets LLC, (**FXCM US**), Forex Capital Markets Limited, inclusive of all EU branches (**FXCM UK**), FXCM Australia Pty. Limited, (**FXCM AU**), and all affiliates of aforementioned firms, or other firms under the FXCM group of companies (collectively "FXCM"). FXCM Group is owned and operated by **FXCM Inc.** (NASDAQ:FXCM) and Leucadia National Corporation (NYSE:LUK). Leucadia National Corporation is a multi-billion dollar diversified holding company engaged through its consolidated subsidiaries in a variety of businesses.

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**FXCM** is a leading provider of online foreign exchange (FX) trading, CFD trading, spread betting and related services. The company's mission is to provide global traders with access to the world's largest and most liquid market by offering innovative trading tools, hiring excellent trading educators, meeting strict financial standards and striving for the best online trading experience in the market. Clients have the advantage of mobile trading, one-click order execution and trading from real-time charts. In addition, FXCM offers educational courses on FX trading and provides trading tools proprietary data and premium resources. **FXCM Pro** provides retail brokers, small hedge funds and emerging market banks access to wholesale execution and liquidity, while providing high and medium frequency funds access to prime brokerage services via FXCM Prime.

Trading foreign exchange and CFDs on margin carries a high level of risk, which may result in losses that could exceed your deposits, therefore may not be suitable for all investors. **Read full disclaimer**.

#### Contacts

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Vice-President, Corporate Communications and Investor Relations

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