

# ALIGN TECHNOLOGY INC

Reported by  
**WRIGHT EMORY**

## FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 11/29/17 for the Period Ending 11/28/17

|             |   |
|-------------|---|
| Address     | 2560 ORCHARD PARKWAY<br>SAN JOSE, CA, 95131                         |
| Telephone   | 4087381500  |
| CIK         | 0001097149  |
| Symbol      | ALGN  |
| SIC Code    | 3842 - Orthopedic, Prosthetic, and Surgical Appliances and Supplies |
| Industry    | Medical Equipment, Supplies & Distribution                          |
| Sector      | Healthcare  |
| Fiscal Year | 12/31   |

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |  |   |  |   |  |
|--|--|---|--|---|--|
| 1. Name and Address of Reporting Person *              |  | 2. Issuer Name and Ticker or Trading Symbol       |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)   |  |
| <b>Wright Emory</b>                                    |  | <b>ALIGN TECHNOLOGY INC [ ALGN ]</b>              |  | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> <b>X</b> Officer (give title below) <input type="checkbox"/> Other (specify below)<br><b>VP, Operations</b> |  |
| (Last) (First) (Middle)                                |  | 3. Date of Earliest Transaction (MM/DD/YYYY)      |  |   |  |
| <b>C/O ALIGN TECHNOLOGY INC., 2650 ORCHARD PARKWAY</b> |  | <b>11/28/2017</b>                                 |  |   |  |
| (Street)   |  | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |  | 6. Individual or Joint/Group Filing (Check Applicable Line)   |  |
| <b>SAN JOSE, CA 95131</b>                              |  |   |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person   |  |
| (City) (State) (Zip)                                   |  |   |  |   |  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Trans. Date | 2A. Deemed Execution Date, if any | 3. Trans. Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |                     | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|----------------|-----------------------------------|---------------------------|---|---|------------|---------------------|---|--|---|
|                                 |                |                                   | Code                      | V | Amount  | (A) or (D) | Price               |   |  |   |
| Common Stock                    | 11/28/2017     | 11/28/2017                        | M                         |   | 5025  | A          | \$20.79             | 80762   | D  |   |
| Common Stock                    | 11/28/2017     | 11/28/2017                        | S                         |   | 5025  | D          | \$263.6782 <u>U</u> | 75737   | D  |   |

**Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|----------------|-----------------------------------|---------------------------|---|--|-----|---|-----------------|---|----------------------------|--|--|--|--|
|  |  |                |                                   | Code                      | V | (A)  | (D) | Date Exercisable                        | Expiration Date | Title   | Amount or Number of Shares |  |  |  |  |
| Right to buy (Common Stock)              | \$20.79  | 11/28/2017     | 11/28/2017                        | M                         |   | 5025   |     | 2/18/2012                               | 2/18/2018       | Common Stock  | 5025                       | \$0  | 0  | D  |  |

### Explanation of Responses:

- (1) The price reported in column is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$263.48 to \$264.26, inclusive. The reporting person undertakes to provide Align Technology Inc., any security holder of Align Technology Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

### Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                       |       |
|--|---------------|-----------|-----------------------|-------|
|  | Director      | 10% Owner | Officer               | Other |
| <b>Wright Emory</b><br>C/O ALIGN TECHNOLOGY INC.<br>2650 ORCHARD PARKWAY<br>SAN JOSE, CA 95131 |               |           | <b>VP, Operations</b> |       |

### Signatures

Roger E. George Atty-in-Fact for Emory Wright

11/29/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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