

ALIGN TECHNOLOGY INC

Reported by KOLLI SREELAKSHMI

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 02/23/17 for the Period Ending 02/20/17

Address 2560 ORCHARD PARKWAY

SAN JOSE, CA 95131

Telephone 4087381500

CIK 0001097149

Symbol ALGN

SIC Code 3842 - Orthopedic, Prosthetic, and Surgical Appliances and Supplies

Industry Medical Equipment, Supplies & Distribution

Sector Healthcare

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol							ool	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Kolli Sreelakshmi				ALIGN TECHNOLOGY INC [ALGN]							LGN]						
(Last) (First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)							Y)	Director 10% Owner X Officer (give title below) Other (specify below) VP, Info Technology				fy below)	
C/O ALIGN TECHNOLOGY INC., 2560 ORCHARD PARKWAY					2/20/2017												
1110., 2500 0	(Stre		X 11 2 X		4. I	f An	nendm	ent, Date	Orig	inal Fi	led (MM/I	DD/YYYY)	6. Individual	or Joint/G	roup Filing	(Check Appl	licable Line)
SAN JOSE, CA 95131 (City) (State) (Zip)												X Form filed by One Reporting Person Form filed by More than One Reporting Person					
,			•	- Non-	Der	ivati	ive Se	curities A	cqui	red, D	isposed	of, or Be	neficially Own	ed			
1.Title of Security (Instr. 3) 2. Trans. Da			2A. Deemed Execution Date, if any		3. Trans. Co (Instr. 8)	de	4. Securities Acquired (A) of Disposed of (D) (Instr. 3, 4 and 5)		` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Form:	7. Nature of Indirect Beneficial Ownership			
								Code	V	Amoun	(A) or (D)	Price				or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock				2/20/2017	2/20/2017		M		10741	A	\$0.00	2	8300 (1)		D		
Common Stock 2/20/2017 Common Stock				2/20/2017		F		4515	D	\$101.765		23785 2400		I	See Footnote		
	Tab	le II - Deri	vative S	Securiti	es I	Bene	ficiall	y Owned	(e.g.	. , puts	, calls, w	varrants,	options, conve	ertible sec	urities)		•
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deer Execution Date, if a	ution Code		e Der dr. 8) Acc Dis		5. Number of Derivative Securities Acquired (A) or Disposed of (D) Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date					derivative Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Co	ode	V	(A)	(D)	Dat Exe	e ercisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	\$0.0001 (3)	2/20/2017	2/20/20	17 N	1			2350		<u>(4)</u>	<u>(4)</u>	Common Stock	2350	\$0.00	0	D	
Restricted Stock Unit	\$0.0001 (3)	2/20/2017	2/20/20	17 N	1			2070		<u>(5)</u>	<u>(5)</u>	Common Stock	2070	\$0.00	2070	D	
Restricted Stock Unit	\$0.0001 (3)	2/20/2017	2/20/20	17 N	1			2598		<u>(6)</u>	<u>(6)</u>	Common Stock	2598	\$0.00	0	D	
Restricted Stock Unit	\$0.0001 (3)	2/20/2017	2/20/20	17 N	1			1820		<u>(7)</u>	<u>(7)</u>	Common Stock	n 1820	\$0.00	3640	D	
Restricted Stock Unit	\$0.0001 (3)	2/20/2017	2/20/20	20/2017 N				1903		<u>(8)</u>	<u>(8)</u>	Common Stock	n 1903	\$0.00	5706	D	
Restricted Stock Unit	\$0.0001 (2)	2/20/2017	2/20/20	17 A			5000			<u>(9)</u>	<u>(9)</u>	Common Stock	n 5000	\$0.00	5000	D	
Market Stock Unit	\$0.0001 (2)	2/20/2017	2/20/20	17 A	١		10000			(10)	<u>(10)</u>	Common Stock	n 10000	\$0.00	10000	D	

Explanation of Responses:

- Includes 453 shares issued on January 31, 2017 under the ALGN ESPP.
- (Held jointly with spouse.
- Represents par value of ALGN common stock.
- (1/4th of the restricted stock unit granted on February 20, 2013 became vested on February 20, 2017 and shares were delivered to reporting person on
- **4)** February 21, 2017.
- (1/4th of the restricted stock unit granted on February 20, 2014 became vested on February 20, 2017 and shares were delivered to reporting person on
- 5) February 21, 2017. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

- (1/4th of the restricted stock unit granted on February 20, 2014 became vested on February 20, 2017 and shares were delivered to reporting person on
- **6)** February 21, 2017.
- (1/4th of the restricted stock unit granted on February 20, 2015 became vested on February 20, 2017 and shares were delivered to reporting person on
- 7) February 21, 2017. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- (1/4th of the restricted stock unit granted on February 20, 2016 became vested on February 20, 2017 and shares were delivered to reporting person on
- 8) February 21, 2017. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- (1/4th of the restricted stock unit granted on February 20, 2017 will become vested on February 20, 2018 and shares were delivered to reporting person on
- 9) such vest date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- (Represents the maximum number of shares which may be issued under the market stock unit. All of the shares which may be issued under the market stock
- 10) unit will vest on the last day of the third year of the Performance Period (as defined in the market stock unit agreement).

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Kolli Sreelakshmi							
C/O ALIGN TECHNOLOGY INC.			VP, Info Technology				
2560 ORCHARD PARKWAY			vr, into recumology				
SAN JOSE, CA 95131							

Signatures

Roger E. George Atty-In-Fact for Sreelakshmi Kolli	2/22/2017	
**Signature of Reporting Person	Date	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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