

# NEVADA GOLD & CASINOS INC

## **FORM 8-K** (Current report filing)

Filed 10/12/16 for the Period Ending 10/10/16

Address	133 E. WARM SPRINGS ROAD SUITE 102 LAS VEGAS, NV 89119
Telephone	(702) 685-1000
CIK	0000277058
Symbol	UWN
SIC Code	7990 - Miscellaneous Amusement And Recreation
Industry	Casinos & Gaming
Sector	Consumer Cyclical
Fiscal Year	04/24

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

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WASHINGTON, D.C. 20549

FORM 8-K  
CURRENT REPORT

Pursuant To Section 13 or 15 (d) of the  
Securities Exchange Act of 1934

Date of Report (date of earliest event reported):

October 10, 2016

**NEVADA GOLD & CASINOS, INC.**

(Exact name of registrant as specified in its charter)

**Nevada**

(State or other jurisdiction of incorporation or  
organization)

**1-15517**

(Commission File Number)

**88-0142032**

(I.R.S. Employer Identification No.)

**133 E. Warm Springs Road  
Suite 102**

**Las Vegas, Nevada**

(Address of principal executive offices)

**89119**

(Zip Code)

**(702) 685-1000**

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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### Item 5.07. Submission of Matters to a Vote of Security Holders

The annual meeting of shareholders of Nevada Gold & Casinos, Inc. (the "Company") was held on Monday, October 10, 2016, at the New Tropicana Las Vegas, 3801 Las Vegas Boulevard South, Las Vegas, Nevada 89109. At the meeting 15,177,627 shares of the Company's common stock were represented, either in person or by proxy, constituting 85.28% of the outstanding stock of the Company. The following matters were acted upon:

#### 1. Election of Directors

Three Class III directors, William J. Sherlock, William G. Jayroe and Shawn W. Kravetz, were elected to the Company's board of directors for a term to expire at the annual meeting of shareholders to be held in 2019. One Class II director, Rudolph K. Kluiber, was elected to the Company's board of directors for a term to expire at the annual meeting of shareholders to be held in 2018. The result of the election of the directors was as follows:

<u>Director Nominee:</u>	<u>For:</u>	<u>Withheld:</u>	<u>Broker Non-Votes:</u>
William J. Sherlock	5,418,789	494,840	9,263,998
William G. Jayroe	5,418,379	495,250	9,263,998
Shawn W. Kravetz	5,277,814	635,815	9,263,998
Rudolph K. Kluiber	5,277,793	635,836	9,263,998

#### 2. Advisory vote to approve named executive officer compensation.

The Advisory vote to approve named executive officer compensation was approved by the following vote:

<u>For:</u>	<u>Against:</u>	<u>Abstain:</u>	<u>Broker Non-Votes:</u>
4,551,725	1,275,379	86,525	9,263,998

#### 3. Ratification of the Selection of Ernst & Young LLP as Independent Auditors for the 2017 Fiscal Year

The selection of Ernst & Young LLP as the independent registered public accounting firm of the Company for the 2017 fiscal year was approved by the following vote:

<u>For:</u>	<u>Against:</u>	<u>Abstain:</u>	<u>Broker Non-Votes:</u>
14,462,520	673,540	41,567	0

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned who is duly authorized.

NEVADA GOLD & CASINOS, INC.

Date: October 10, 2016

By: /s/James D. Meier

James D. Meier

Vice President and CFO

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