

WHIRLPOOL CORP /DE/

Reported by **PETERS JAMES W**

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 02/22/17 for the Period Ending 02/17/17

Address WHIRLPOOL CNTR 2000 M 63

C/O CORPORATE SECRETARY

BENTON HARBOR, MI 49022-2692

Telephone 2699235000

CIK 0000106640

Symbol WHR

SIC Code 3630 - Household Appliances

Industry Appliances, Tools & Housewares

Sector Consumer Cyclicals

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Issuer Name and Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Peters James	s W				W]	HII	RLPO	OOL	CO	RP /I	DE	/ [W	HR]	(, ,			
(Last) (First) (Middle)					3. I	Date	of Ear	rliest	Transa	ction	(MM	/DD/YY	YY)	Director 10% Owner				
WHIRLPOO	DL CORI	PORATI	ON, 20	000					2/1	7/201	17			X Officer (g	•		Other (special CFO	fy below)
N. M-63																		
	(Stre	eet)			4. I	f An	nendm	nent, I	Oate O	rigina	ıl Fil	led (MN	M/DD/YYYY)	6. Individual	or Joint/G	roup Filing (Check Appl	icable Line)
BENTON H.	ARBOR,													X Form filed by		rting Person One Reporting P	erson	
(0.	,	(2.4)	<u>, </u>		-									!				
		7	Гable I -	Non-	Deri	ivati	ive Se	curiti	ies Ac	quired	d, D	ispose	d of, or Bei	neficially Own	ed			
1.Title of Security (Instr. 3) 2. Trans.			ıns. Dat	Exe	2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securiti Disposed of (Instr. 3, 4				5. Amount of Securities Ben Following Reported Transac (Instr. 3 and 4)			6. Ownership Form:	7. Nature of Indirect Beneficial	
						,				(,			(4			Direct (D)	Ownership (Instr. 4)
								Code	e V	Amo		(A) or (D)	Price				(I) (Instr. 4)	(msu. 1)
Common Stock			2/17	//2017				M (1)	192	2	A	<u>(1)</u>		10348		D	
Common Stock			2/17	//2017				F		60)	D	\$177.19		10288		D	
Common Stock			2/17	//2017				M		126	4	A	\$138.56		11855		D	
Common Stock 2			2/17	//2017				M		112	3	A	\$132.19		12675		D	
Common Stock 2/17			2/17	//2017				s		238	7	D	\$177.3282 ⁽²⁾		10288		D	
Common Stock			2/20	/2017				M (3	0	442	2	A	<u>(3)</u>		10730		D	
Common Stock			2/20	/2017				F		139	9	D	\$177.19		10591		D	
Common Stock 2/21/2017				/2017				s		600	0	D	\$179.6092 (4)	9991			D	
Common Stock													2	2078.638		I	401(k) Stock Fund	
	Tabl	le II - Deri	vative Se	curit	ies B	Bene	ficiall	v Ow	ned (e.g. , r	outs	. calls	. warrants.	options, conve	rtible sec	urities)		
1. Title of Derivate	2.	3. Trans.	3A. Deemo	ed 4. T	rans.		5. Num	ber of		6. Date	Exer	cisable a	and 7. Title and	d Amount of	8. Price of	9. Number of	10.	11. Nature
Security (Instr. 3)	Conversion or Exercise Price of Derivative		Execution Date, if an	Coc (Ins	de str. 8)		Acquire Dispose	ed (A) o ed of (E	ed (A) or ed of (D) , 4 and 5)		Expiration I		Securities Derivative (Instr. 3 an		Security (Instr. 5)	derivative Securities Beneficially Owned	Form of Derivative	of Indirect Beneficial Ownership (Instr. 4)
	Security									Date Exercis	able	Expirati Date	on Title	Amount or Number of Shares		Following Reported Transaction(s)	Direct (D) or Indirect (I) (Instr.	(111311. 4)
Restricted Stock	<u>(1)</u>	2/17/2017			ode M	V	(A)		(D) 192	<u>(1)</u>)	<u>(1)</u>	Common	192	\$0	(Instr. 4) 0	4) D	
Units Employee Stock Options (Right to	\$138.56	2/17/2017		N	м			1	264	2/17/20)16	2/17/20	24 Common	1264	\$0	0	D	
Buy) Employee Stock				+								2/15/20	26 Common					
Options (Right to Buy)	\$132.19	2/17/2017		N	М			1	123	2/15/20)17	#/ 13/ 4U		1123	\$0	2176	D	
Restricted Stock Units	<u>(3)</u>	2/17/2017		N	М			4	442	<u>(3)</u>)	<u>(3)</u>	Common	442	\$0	0	D	
Employee Stock Options (Right to Buy)	\$177.19	2/20/2017		1	A		17033	3		<u>(5)</u>	1	2/20/20	27 Common	17033	\$0	17033	D	

Explanation of Responses:

Vesting of restricted stock unit award made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt under Rule 16b-3.

- (The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$177.31 to \$177.3502,
- 2) inclusive. The reporting person undertakes to provide to Whirlpool Corporation, any security holder of Whirlpool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the prices set forth in this footnote.
- (Vesting of performance-based restricted stock unit award made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt
- 3) under Rule 16b-3.
- (The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$179.58 to \$179.62,
- 4) inclusive. The reporting person undertakes to provide to Whirlpool Corporation, any security holder of Whirlpool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the prices set forth in this footnote.
- Options will vest and become exercisable as follows: one-third on 02/20/2018; one-third on 02/20/2019; and one-third on 02/20/2020.

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Peters James W WHIRLPOOL CORPORATION 2000 N. M-63			EXEC VICE PRESIDENT AND CFO					
BENTON HARBOR, MI 49022								

Signatures

/s/ Bridget K. Quinn, Attorney-in-Fact

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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