

# **PINNACLE ENTERTAINMENT, INC.**

Reported by  
**SANFILIPPO ANTHONY MICHAEL**

## **FORM 4**

(Statement of Changes in Beneficial Ownership)

Filed 02/17/17 for the Period Ending 02/15/17

|             |   |
|-------------|---|
| Address     | 3980 HOWARD HUGHES PARKWAY<br>LAS VEGAS, NV 89169 |
| Telephone   | 702-541-7777                                      |
| CIK         | 0001656239  |
| Symbol      | PNK   |
| Fiscal Year | 12/31   |

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL  
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[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or  
Section 30(h) of the Investment Company Act of 1940

|   |  |  |
|---|--|--|
| <b>1. Name and Address of Reporting Person *</b><br><br><b>SANFILIPPO ANTHONY MICHAEL</b><br><br>(Last) (First) (Middle)<br><br><b>C/O PINNACLE ENTERTAINMENT, INC., 3980 HOWARD HUGHES PARKWAY</b><br><br>(Street)<br><br><b>LAS VEGAS, NV 89169</b><br><br>(City) (State) (Zip) | <b>2. Issuer Name and Ticker or Trading Symbol</b><br><br><b>Pinnacle Entertainment, Inc. [ PNK ]</b><br><br><b>3. Date of Earliest Transaction (MM/DD/YYYY)</b><br><br><p align="center"><b>2/15/2017</b></p> | <b>5. Relationship of Reporting Person(s) to Issuer</b><br>(Check all applicable)<br><br><input checked="" type="checkbox"/> Director _____ 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below) _____ Other (specify below)<br><b>Chief Executive Officer</b><br><br><b>6. Individual or Joint/Group Filing (Check Applicable Line)</b><br><br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |
| <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>   |  |  |

| 1. Title of Security (Instr. 3) | 2. Trans. Date | 2A. Deemed Execution Date, if any | 3. Trans. Code (Instr. 8) |     | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                                   |
|---------------------------------|----------------|-----------------------------------|---------------------------|-----|---|------------|-------|---|--|---|-----------------------------------|
|                                 |                |                                   | Code                      | V   | Amount  | (A) or (D) | Price |   |  |   |                                   |
| Common Stock                    | 2/15/2017      |                                   | A                         | (U) | 95808   | (U)        | A     | \$0.00  | 819750   | D   |                                   |
| Common Stock                    | 2/15/2017      |                                   | A                         |     | 50797   |            | A     | \$0.00  | 870547   | D   |                                   |
| Common Stock                    |                |                                   |                           |     |   |            |       |   | 251632   | I   | By Sanfilippo Family Trust        |
| Common Stock                    |                |                                   |                           |     |   |            |       |   | 559214   | I   | By Grantor Retained Annuity Trust |
| Common Stock                    |                |                                   |                           |     |   |            |       |   | 5000   | I   | By Daughter                       |
| Common Stock                    |                |                                   |                           |     |   |            |       |   | 3000   | I   | By Daughter                       |
| Common Stock                    |                |                                   |                           |     |   |            |       |   | 2500   | I   | By Daughter                       |
| Common Stock                    |                |                                   |                           |     |   |            |       |   | 1545   | I   | By 401(k) Plan                    |

**Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|----------------|-----------------------------------|---------------------------|---|--|-----|---|-----------------|---|----------------------------|--|--|--|--|
|  |  |                |                                   | Code                      | V | (A)  | (D) | Date Exercisable                        | Expiration Date | Title   | Amount or Number of Shares |  |  |  |  |

**Explanation of Responses:**

( The securities reported are restricted stock with performance conditions which are subject to a performance period from January 1, 2017 to December 31, 1) 2019.

**Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |
| SANFILIPPO ANTHONY MICHAEL     |               |           |         |       |

|   |   |                         |
|---|---|-------------------------|
| C/O PINNACLE ENTERTAINMENT, INC.<br>3980 HOWARD HUGHES PARKWAY<br>LAS VEGAS, NV 89169 | X | Chief Executive Officer |
|---|---|-------------------------|

**Signatures**

/s/ Elliot D. Hoops, Attorney-In-Fact for Anthony M. Sanfilippo

2/17/2017

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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