

# GLADSTONE CAPITAL CORP

## **FORM 8-K** (Current report filing)

Filed 10/10/17 for the Period Ending 10/10/17

Address	1521 WESTBRANCH DRIVE SUITE 100 MCLEAN, VA, 22102
Telephone	703-287-5800
CIK	0001143513
Symbol	GLAD
SIC Code	2200 - Textile mill products
Industry	Closed End Funds
Sector	Financials
Fiscal Year	09/30

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

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**FORM 8-K**

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**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event Reported): October 10, 2017

**Gladstone Capital Corporation**

(Exact Name of Registrant as Specified in Charter)

**Maryland**  
(State or Other Jurisdiction of  
Incorporation)

**814-00237**  
(Commission File Number)

**54-2040781**  
(I.R.S. Employer Identification Number)

**1521 Westbranch Drive, Suite 100**  
**McLean, Virginia**  
(Address of Principal Executive Offices)

**22102**  
(Zip Code)

**(703) 287-5800**  
(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**Item 7.01. Regulation FD Disclosure.**

On October 10, 2017, Gladstone Capital Corporation (the “Company”) issued a press release, filed herewith as Exhibit 99.1, announcing that its board of directors declared monthly cash distributions for the Company’s common stock and 6.0% Series 2024 Term Preferred Stock for each of October, November and December 2017.

The information disclosed under this Item 7.01, including Exhibit 99.1 hereto, is being furnished and shall not be deemed “filed” for purposes of Section 18 of the Securities and Exchange Act of 1934, and shall not be deemed incorporated by reference into any filings made under the Securities Act of 1933, except as expressly set forth by specific reference in such filing.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits.

**Exhibit No.   Description**

[99.1](#)      Press Release issued by Gladstone Capital Corporation on October 10, 2017.

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Gladstone Capital Corporation**

Date: October 10, 2017

By: /s/ Nicole Schaltenbrand  
Nicole Schaltenbrand  
Chief Financial Officer & Treasurer

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## EXHIBIT INDEX

<b><u>Exhibit No.</u></b>	<b><u>Description</u></b>
<a href="#">99.1</a>	Press Release issued by Gladstone Capital Corporation on October 10, 2017.

**Gladstone Capital Announces Monthly Cash Distributions for October, November and December 2017**

MCLEAN, Va., Oct. 10, 2017 (GLOBE NEWSWIRE) -- Gladstone Capital Corporation (NASDAQ:GLAD) (the "Company") announced today that its board of directors declared the following monthly cash distributions for October, November and December 2017.

**Cash Distributions:**

**Common Stock:** \$0.07 per share of common stock for each of October, November and December 2017, payable per the table below. The Company has paid 168 consecutive monthly cash distributions on its common stock. Prior to paying distributions on a monthly basis, the Company paid eight consecutive quarterly common stock cash distributions.

<b>Record Date</b>	<b>Payment Date</b>	<b>Cash Distribution</b>
October 20	October 31	\$0.07
November 20	November 30	\$0.07
December 19	December 29	\$0.07
<b>Total for the Quarter :</b>		<b>\$0.21</b>

**Term Preferred Stock:** \$0.141667 per share of the Company's 6.00% Series 2024 Term Preferred Stock ("Term Preferred Stock") for the prorated period from and including the issuance date (September 27, 2017) to and including September 30, 2017, plus the full month of October 2017, and \$0.125 per share for each of November and December 2017, payable per the table below. The Term Preferred Stock trades on the NASDAQ under the symbol "GLADN."

<b>Record Date</b>	<b>Payment Date</b>	<b>Cash Distribution</b>
October 20	October 31	\$0.141667
November 20	November 30	\$0.125
December 19	December 29	\$0.125
<b>Total for the Quarter :</b>		<b>\$0.391667</b>

The Company offers a dividend reinvestment plan (the "DRIP") to its common stockholders. For more information regarding the DRIP, please visit [www.gladstonecapital.com](http://www.gladstonecapital.com).

Gladstone Capital Corporation is a publicly traded business development company that invests in debt and equity securities consisting primarily of secured first and second lien term loans to lower middle market businesses in the United States. Information on the business activities of all the Gladstone funds can be found at [www.gladstonecompanies.com](http://www.gladstonecompanies.com).

For Investor Relations inquiries related to any of the monthly dividend paying Gladstone funds, please visit [www.gladstone.com](http://www.gladstone.com).

For further information: Gladstone Capital Corporation, +1-703-287-5893