

SPECTRUM PHARMACEUTICALS INC

Reported by GUSTAFSON KURT A

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 06/05/17 for the Period Ending 06/03/17

Address 11500 S. EASTERN AVE., SUITE 240

HENDERSON, NV 89052

Telephone 702-835-6300

CIK 0000831547

Symbol SPPI

SIC Code 2834 - Pharmaceutical Preparations

Industry Biotechnology & Medical Research

Sector Healthcare

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | | 2. I | 2. Issuer Name and Ticker or Trading Symbol | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|--|---|------------|-------------------------------------|---|---|--|----------|--|-------------|---------------|---|---|--------------------|------------------------|---|---|--|--|
| GUSTAFSON KURT A | | | | | | SPECTRUM PHARMACEUTICALS INC [SPPI] | | | | | | | Director 10% Owner | | | | | |
| (Last | st) (First) (Middle) | | | 3. I | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | Officer (give title below) Other (specify below) EVP & Chief Financial Officer | | | | | | |
| 11500 S. EASTERN AVE., SUITE 240 | | | | | 6/3/2017 | | | | | | | _,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | | | | | |
| (Street) | | | | 4. I | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | | |
| HENDERSON, NV 89052 (City) (State) (Zip) | | | | | | | | | | | X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
| | | | Table | I - Non | -Der | ivati | | | • | ed, Dis | posed o | f, or l | Bene | eficially Owne | d | | | |
| 1.Title of Security (Instr. 3) | | | | 2A. Deemed Execution Date, if any | | 3. Trans. Cod (Instr. 8) | | 4. Securities Acquor Disposed of (E) (Instr. 3, 4 and 5) | | | Fo | Amount of Securities Beneficially Owned ollowing Reported Transaction(s) nstr. 3 and 4) | | Ownership Form: | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | | | | | | Code | V | Amoun | (A) or (D) | Price | e | | | | or Indirect (I) (Instr. 4) | (Instr. 4) |
| Common Stock, \$0.001 par value 6/3/2017 | | | | 7 | | | F | | 9395 (1) | D | \$5.96 | 5 | 1' | 171393 | | D | | |
| Common Stock, \$0.001 par value | | | | | | | | | | | | | 5992 | | I | By 401(k) Plan | | |
| | Tab | le II - De | rivative | Securi | ties I | Bene | ficially | Owned (| e.g. | , puts, | calls, wa | arran | ıts, o | options, conve | rtible sec | urities) | | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative | se | 3A. Deer Execution Date, if a | n (Ins | rans. str. 8) | Acquire Dispose | | per of (ve Securities of (A) or (D) (4 and 5) | | I | | | ities U | Inderlying Security | 8. Price of Derivative Security (Instr. 5) | derivative Securities Beneficially Owned | Security: | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | Security | | | C | ode | v | (A) | (D) | Date Exe | e rcisable | Expiration Date | | Amor | unt or Number of es | | Following Reported Transaction(s) (Instr. 4) | Direct (D) or Indirect (I) (Instr. 4) | |

Explanation of Responses:

(1) Represents shares withheld by Spectrum to satisfy the Reporting Person's tax withholding obligations. The shares have not been sold by the Reporting Person or by Spectrum. The shares have been cancelled by the transfer agent.

Reporting Owners

| Panorting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|-------------------------------|-------|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | |
| GUSTAFSON KURT A 11500 S. EASTERN AVE. SUITE 240 HENDERSON, NV 89052 | | | EVP & Chief Financial Officer | | | | | |

Signatures

| /s/ Kurt A. Gustafson | 6/5/2017 | | | |
|----------------------------------|----------|--|--|--|
| ** Signature of Reporting Person | Date | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.